Laboratory Medicine Quality Indicators

A Review of the Literature

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Key Words: Laboratory medicine; Quality indicator; Quality measure; Laboratory test utilization; Laboratory service delivery; Health outcome

Abstract

We summarize information on quality indicators related to laboratory testing from published literature and Internet sources to assess current gaps with respect to stages of the laboratory testing process, the Institute of Medicine (IOM) health care domains, and quality measure evaluation criteria. Our search strategy used various general and specific terms for clinical conditions and laboratory procedures. References related to a potential quality indicator associated with laboratory testing and an IOM health care domain were included. With the exception of disease- and condition-related indicators originating from clinical guidelines, the laboratory medicine quality indicators reviewed did not satisfy minimum standard evaluation criteria for quality or performance measures (ie, importance, scientific acceptability, and feasibility) and demonstrated a need across the total laboratory testing process for consistently specified, useful, and evidence-based, laboratory-related quality and performance measures that are important to health outcomes and meaningful to health care stakeholders for which laboratories can be held accountable.

Laboratory testing and services have an important role in the provision of health care and in utilization and reimbursement. Assessing the quality of laboratory services using quality indicators or performance measures requires a systematic, transparent, and consistent approach to collecting and analyzing data. A comprehensive approach would address all stages of the laboratory total testing process, with a focus on the areas considered most likely to have important consequences on patient care and health outcomes. Quality indicator data should be collected over time to identify, correct, and continuously monitor problems and improve performance and patient safety by identifying and implementing effective interventions and for the purpose of increased consistency and standardization of key processes among clinical laboratories. Certain laboratory medicine quality indicators have been advocated for use as internal quality assessment tools.

Quality Measures

Based on the Institute of Medicine (IOM) definition of quality of care as “the degree to which health care services for individuals and populations increase the likelihood of desired health outcomes and are consistent with current professional knowledge,” a quality indicator is a tool that enables the user to quantify the quality of a selected aspect of care by comparing it with a criterion. A quality indicator may be defined as an objective measure that evaluates critical health care domains as defined by the IOM (patient safety, effectiveness, equity, patient-centeredness, timeliness, and efficiency), is based on evidence associated with those domains, and can be implemented in a consistent and comparable manner across settings and over time.
More specifically, the Agency for Healthcare Research and Quality (AHRQ) National Quality Measures Clearinghouse (NQMC), a public information database promoting widespread access to specifications and details on approximately 2,700 evidence-based health care quality measures (as of January 2009), identifies desirable attributes of a health care quality measure based on a comprehensive review of existing frameworks from national and international organizations committed to health care quality measurement and improvement. These criteria for quality indicators are widely adopted by many health care organizations, they do not vary with an indicator’s proposed use, and they are grouped into 3 conceptual areas: (1) importance, (2) scientific soundness, and (3) feasibility of a measure, each having detailed narrower categories as summarized in the following sections (from the AHRQ desirable measure attributes and based on reviews of quality measure frameworks from the National Committee for Quality Assurance [NCQA], the Joint Commission, Foundation for Accountability, IOM, US Department of Health and Human Services, Performance Measures Coordinating Council, Physician Consortium for Performance Improvement, Australia’s National Health Performance Committee, Britain’s National Health System, and German Agency for Quality in Medicine).

Importance
- Relevance to stakeholders: topic area is of interest and financially and strategically important to stakeholders (eg, businesses, clinicians, and patients)
- Health importance: addresses clinically important aspects of health, defined as high prevalence or incidence and significant effect on disease burden (ie, population morbidity and mortality)
- Equitable distribution: can examine whether disparities exist among patients by analysis of subgroups
- Potential for improvement: evidence indicates overall poor quality or variations in quality indicating a need for the measure
- Health care system influence: results can be improved by feasible actions or interventions under health care system control

Scientific Soundness
- Clinical logic: topic area is explicitly and strongly supported by evidence (ie, indicated to be of great importance to improving quality of care)
- Measure properties: reliable (results reproducible and the degree to which they are free from random error), valid (associated with what it purports to measure), allow for patient and consumer variables (stratification or case-mix adjustment), and comprehensible (understandable for users who will be acting on the data)

Feasibility
- Explicit specification: detailed specifications for the numerator, denominator, and data collection requirements understandable and implementable
- Data availability: needed data source available, accessible, and timely, and consideration given to whether the measurement costs are justified by the potential for improvement in care

Identification of Quality Indicators in Laboratory Medicine

This review is intended as a starting point that identifies and evaluates previously used laboratory medicine quality indicators. For laboratory medicine, quality indicators or performance measures may be developed to evaluate any stage of the total laboratory testing process, IOM health care domains, national health care priorities, and relevant testing environments (eg, hospitals and point-of-care settings). The results of this review are intended to identify gaps and needs related to adequate development and refinement of quality indicators for monitoring and improving laboratory service delivery and utilization.

Quality indicators were identified by using Internet searches and examination of peer-reviewed publications from January 1990 through July 2008. These sources included the following, among others: (1) AHRQ NQMC and National Guideline Clearinghouse (NGC) Web sites, (2) 2007 AHRQ National Healthcare Quality Report, (3) College of American Pathologists (CAP) Web sites for information on past Q-Probes (one-time assessments of laboratory issues) and ongoing Q-Tracks (quarterly monitoring program) studies, (4) Health Employer Data and Information Set measures provided by the NCQA, (5) the AHRQ-sponsored US Preventive Services Task Force, (6) Centers for Disease Control and Prevention (CDC) MMWR Recommendations and Reports, (7) CDC-sponsored US Task Force on Community Preventive Services, and (8) searches of the PubMed database using various terms for clinical conditions and laboratory procedures and general terms such as laboratory, health, quality, effectiveness, guideline, standard, and screening.

Two basic inclusion criteria were used. (These basic inclusion criteria are not as extensive as those used by the AHRQ NQMC because, with 1 exception detailed in “Test Order Appropriateness” [p 421], the laboratory medicine quality indicators included in this review have not met the NQMC inclusion criteria available at http://www.qualitymeasures.org/about/inclusion.aspx. Updated January 19, 2008. Accessed January 24, 2008.) A quality indicator was required to be a previously used quantitative measure (1) associated...
Laboratory Quality Indicators

The 14 laboratory quality indicators identified are grouped according to the stage of the total laboratory testing process. The indicators are listed in Table II, along with the related IOM domains.

The indicators identified span the stages of the total laboratory testing process; however, they do not provide comprehensive coverage. The stages with the least coverage based on the number and nature of the identified indicators are result interpretation and ensuing action, analysis, and patient identification and specimen collection. However, the general lack of reported use of all of the identified indicators may result in insufficient monitoring of all stages of the total testing process.

The indicators identified address multiple IOM health care domains, with safety, timeliness, effectiveness, and efficiency being the most frequent. Relatively few indicators were associated with patient-centeredness, and none of these indicators were associated with equity. Based on the relatively small number of indicators and their lack of widespread use in practice, the stages of the total testing process and IOM domains do not seem to be well covered.

The AHRQ NQMC categorizes measures into the following 7 primary domains: access, outcome (health state), patient experience, process, structure, use of service, and population health. All of the laboratory medicine quality indicators identified except one (patient satisfaction with phlebotomy) are process measures, compared with about half of the NQMC measures. (The NQMC health care measure domains relate to the following descriptions: [1] process: health care service provided to or on behalf of a patient appropriately based on scientific evidence of efficacy or effectiveness; [2] outcome: health state of a patient resulting from health care; [3] access: patient’s or enrollee’s attainment of timely and appropriate health care; [4] patient experience: patient’s or enrollee’s report concerning observations of and participation in health care; [5] structure of care: feature of a health care organization or clinician relevant to its capacity to provide health care; [6] use of service: provision of a service to, on behalf of, or by a group of persons defined by nonclinical characteristics without determination of the appropriateness of the service; and [7] population health: state of health of a group of persons defined by nonclinical characteristics.) With the exception of test order appropriateness, none of the quality indicators identified in this review is listed in any form in the AHRQ...
Table 2
Selected Quality Measures and Guidelines for Recommended Laboratory Tests by Disease and Condition in the Agency for Healthcare Research and Quality National Quality Measures and Guideline Clearinghouses

<table>
<thead>
<tr>
<th>Disease/Condition</th>
<th>Sources</th>
</tr>
</thead>
<tbody>
<tr>
<td>Anemia</td>
<td>CMS (2004)</td>
</tr>
<tr>
<td>Chlamydia infection</td>
<td>NCQA (2005), USPSTF (2008)</td>
</tr>
<tr>
<td>Lead poisoning</td>
<td>Wisconsin Department of Health (2006)</td>
</tr>
<tr>
<td>Renal disease</td>
<td>CMS (2005), Renal Physicians Association (2002)</td>
</tr>
<tr>
<td>Upper respiratory infection</td>
<td>ICSI (2003), NCQA (2006)</td>
</tr>
<tr>
<td>Urinary tract infection</td>
<td>ICSI (2004)</td>
</tr>
<tr>
<td>Venous thromboembolism</td>
<td>ICSI (2006)</td>
</tr>
</tbody>
</table>

NQMC and, based on the results of this review, the indicators do not seem to satisfy their inclusion criteria. In particular, one NQMC criterion for process measures requires that a current review of the evidence supports that the measured clinical process has led to improved health outcomes. Other potential US sources of quality indicators and guidelines for clinical laboratories (eg, regulatory, standard-setting, and accrediting organizations) were not included in the AHRQ NQMC and NGC clearinghouses. Summarized information for each of the 14 reviewed laboratory medicine quality indicators is provided in the following format: definition, rationale (brief statement describing supporting health-related reasons), quality gap (AHRQ health importance and potential for improving health), and evidence base (AHRQ scientific soundness—clinical logic criteria associated with quality of care outcomes and interventions).

Test Ordering

Test Order Appropriateness

Definition.—Two types of quality indicators were identified. The first measures test order appropriateness, and the second measures inappropriateness: (1) Percentage of laboratory test orders that meet specific testing guidelines. A list of quality measures has been compiled by the AHRQ in its NQMC database; many involve laboratory tests recommended for specific diseases and conditions (see Table 2 for a selected list). Unlike this measure, which is based on laboratory test orders, the denominators for most of the measures in Table 2 are population-based, and they target not only improving health care quality but also public health. (2) Percentage of laboratory test orders duplicated within defined intervals. There is no standard definition for what constitutes an inappropriate, incorrect, or duplicative test order.

Rationale.—(1) Assess appropriateness of laboratory tests ordered for screening, management, diagnosis, and monitoring of various diseases or clinical conditions consistent with guidelines. (2) Reduce wasteful and unnecessary testing.

Quality Gap.—Many laboratory test orders are not supported by guidelines or are unnecessary duplicate tests. These test orders add unnecessary costs and potentially contribute to delayed, inappropriate, and potentially harmful clinical decisions. On the other hand, evidence-based laboratory testing may be underutilized. Evaluating underutilization requires population-based measures. For many guidelines specifying appropriate use of laboratory tests, including those in the AHRQ NGC, there are no quality indicators, and there is a notable lack of guidelines and indicators related to anatomic pathology.

Evidence Base.—Principal sources of guidelines relating to utilization of laboratory tests are various health care, medical, and condition-specific organizations, many of which are listed in the AHRQ NQMC and NGC databases and identified in Table 2. Although a few studies have shown a significant decrease in hospital length of stay (LOS) associated with
greater test order appropriateness, 24,25 most studies did not indicate an effect on outcomes. 18,19,26-29 Underuse of recommended laboratory tests has been shown to have a negative impact in relation to specific conditions. 30-33 Promotion of guidelines, 18,34,35 and provision of education, 18,36-37 periodic feedback, 18,35,37-40 reminders, 21,41 and electronic decision-support systems 42,43 to clinicians and changes in laboratory requisition forms 34 and in funding policy 34 may decrease the number of inappropriately ordered laboratory tests, resulting in cost savings. Linking clinicians to electronic medical records may decrease errors of omission and improve adherence to practice guidelines. 44

Patient Identification and Specimen Collection

Inpatient Wristband Identification Error

Definition.—This indicator is the percentage of inpatients with absent or wrong wristbands, multiple wristbands having conflicting data, or wristbands containing erroneous, missing, or illegible data. 55,48

Rationale.—Inpatient wristband errors may lead to misidentification of a patient, which could result in inappropriate treatment. 49 Inpatient wristband errors could be associated with incorrectly performed laboratory tests or mislabeled patient specimens, including blood specimens that could lead to a hemolytic transfusion reaction from an incompatible blood type. 46

Quality Gap.—Several studies have documented prevalence of wristband errors or, specifically, absent wristbands to be as high as 2.1% to 5.7%. 45-48 However, a recent longitudinal study of wristband errors suggests the rate is close to 1%, with only 0.1% of these errors representing wristband mix-ups involving 2 patients. 50 There are multiple published studies identifying some type of patient or specimen identification error as a major contributor to acute hemolytic reactions from infusion of ABO-incompatible blood, indicating that 40% to 50% of transfusion-related deaths result from identification errors. 49,51-54 However, there is no information specific to wristbands. There are no consistent and reliable data on the frequency with which wristband and patient and specimen identification errors occur, let alone their consequences.

Evidence Base.—No published studies were found documenting a relationship between wristband errors and any process or intermediate outcomes of interest, nor were there published controlled studies with results demonstrating the effectiveness of interventions or practices at reducing inpatient wristband identification errors. 52 Except for transfusion medicine, no direct evidence was found relating patient misidentification to any adverse impact on clinical, health, or cost outcomes. There is evidence for effectiveness of wristband monitoring to decrease patient misidentification during phlebotomy. 46

Patient Satisfaction With Phlebotomy

Definition.—This indicator is the percentage of patients satisfied with phlebotomy services. There is no standard definition of patient satisfaction with phlebotomy that has been assessed using questionnaires in several hospital-based outpatient 55,56 and inpatient 57 studies.

Rationale.—Specimen collection is one of the few areas of laboratory medicine that involves direct patient contact. As a result, phlebotomy services provide one opportunity to measure patients’ perceptions of their experience with laboratory services.

Quality Gap.—When asked if they were satisfied with their phlebotomy experience in a survey 2 days after the procedure, 15% of outpatients stated that they were not. 58 However, an earlier similar study found patients far less frequently dissatisfied with the overall phlebotomy services. 56 The limitations of these data are that they are dated, as no study published after 1996 was identified assessing patients’ satisfaction with phlebotomy, and no standard measurement tool has been proposed that would assess patients’ satisfaction with the specific aspects of the phlebotomy service.

Evidence Base.—Patient satisfaction with phlebotomy services has not been related to any other outcomes. No study could be found that demonstrated effectiveness of any intervention to improve patient satisfaction with phlebotomy services.

Specimen Identification, Preparation, and Transport

Specimen Inadequacy and Rejection

Definition.—This indicator is the percentage of specimens rejected. 55,59-61 There is no standard definition or specific measure to assess the adequacy of specimens.

Rationale.—Specimen adequacy can affect the accuracy and usefulness of laboratory test results. Monitoring specimen acceptability may facilitate identification of quality improvement (QI) opportunities that could reduce rejection rates and improve patient care.

Quality Gap.—Programs to track laboratory quality have reported aggregated specimen rejection rates ranging from 0.3% to 0.8%. 55,59-61 However, in a single-institution study, the proportion of specimens rejected was up to 2.2% in the emergency department (ED). 59

Evidence Base.—Although some form of this indicator has been used in several hundred hospital laboratories to estimate specimen adequacy, 55,59-61 no systematic study has related it to any other outcomes. The type of specimen collection personnel impacted specimen rejection rates; nonlaboratory personnel were 2 to 4 times more likely to be associated with rejected specimens compared with laboratory personnel. 47,48 Use of a QI monitor for specimen rejection did not result in better performance. 50,61
Blood Culture Contamination

**Definition.**—This indicator is defined as the percentage of positive blood cultures identified as contaminated. The term contaminated has not been uniformly defined.

**Rationale.**—Laboratory evaluation and clinical intervention associated with blood culture contamination consume substantial health care resources. Clinicians rely on blood culture results to diagnose and monitor febrile patients. When acting on a potentially contaminated blood culture, clinicians must choose to ignore a result that could be potentially life-threatening or take a conservative approach of fighting an infection that might not exist.

**Quality Gap.**—False-positive blood cultures lead not only to unnecessary repeated tests, but also to unnecessary drug use with potential harm to patients and significant downstream patient care costs. In 2 separate multi-institutional studies of inpatient blood cultures, one involving more than 600 hospitals and the other more than 300 hospitals, the median estimated blood culture contamination rates were 2.5% and 2.9%, respectively.

**Evidence Base.**—False-positive culture results are costly because they are associated with increased hospital LOS, diagnostic testing, and antibiotic prescriptions. Patients with contaminated blood cultures compared with patients with negative blood cultures have had statistically significantly higher total hospital LOS (13.9 vs 5.5 days), postculture LOS (8.9 vs 4.6 days), postculture number of days of antibiotic therapy (5.9 vs 2.9 days), vancomycin use and postculture cost of antibiotics ($760 vs $120 in 1993 dollars), and postculture hospital cost per patient ($10,500 vs $4,200 in 1993 dollars).

No evidence was found directly linking a reduction in the percentage of contaminated blood cultures to other clinical or health outcomes. Long-term monitoring and use of dedicated phlebotomy teams are interventions associated with sustained reductions in blood culture contamination rates.

**Specimen Container Information Error**

**Definition.**—This indicator is the percentage of all specimens sent to the laboratory with inaccurate or inadequate information on the specimen container (eg, no label or illegible or missing patient information, clinical information, or tissue source for surgical specimens).

There is no standard definition for what constitutes inaccurate or inadequate information.

**Rationale.**—Specimens with inaccurate or inadequate information may adversely impact test result reporting, delay patient diagnosis and treatment, negatively impact patient satisfaction with the health care system, and negatively impact the associated clinical, health, and economic outcomes.

**Quality Gap.**—Studies have not been done that consistently measure the rate of inaccurate or inadequate specimen (labeling) information; however, rates have been reported between 0.01% and 0.03% for chemistry and hematology specimens and between 0.4% and 2% for surgical pathology specimens.

**Evidence Base.**—Inaccurate or inadequate specimen information may impact clinical processes and/or outcomes, however, no direct evidence was found relating this indicator to any outcome. Aside from whether personnel were from the laboratory or elsewhere, no interventions were identified that improved performance using this indicator.

**Analysis**

**Proficiency Testing Performance**

**Definition.**—This indicator is the percentage of correct proficiency testing (PT) results. Criteria for passing vary by analyte (eg, target value ± a fixed concentration limit, ± a fixed percentage, or ± 3 SD for results of a given laboratory group).

**Rationale.**—There is some evidence that PT performance relates to performance using actual patient specimens; however, there is no direct evidence to support it. The Clinical Laboratory Improvement Amendments of 1988 (CLIA) regulations have minimum PT requirements that must be met for US laboratories to be certified.

**Quality Gap.**—Based on data collected from up to 7,000 physician office, clinic, and small hospital laboratories, PT failure (defined as unacceptable PT result for an individual sample as determined by CLIA criteria) rates in 2004 for 8 chemistry and hematology analytes were 1.1% to 5.5% and were 2.8% to 7.3% for 3 positive culture tests and 0.6% to 1.9% for 3 negative culture tests. An analysis of the PT data from the Centers for Medicare & Medicaid Services for laboratories inspected by the CAP, the Joint Commission, the states, and the Commission on Laboratory Accreditation (COLA) during the 1999-2003 period showed PT failure (defined as unsatisfactory PT performance [<4 of 5 PT samples with an acceptable result in a testing event as determined by CLIA criteria] on 2 consecutive or 2 of 3 testing events) rates ranging from 4% to 6% (for CAP-inspected laboratories) to 11% to 13% (for COLA-inspected laboratories).

**Evidence Base.**—Although PT performance has been positively correlated with performance in blind PT and with routine patient testing, there is no direct evidence that improved PT performance positively impacts actual test performance or any other outcome. There is evidence that PT failure rates decrease with increased experience performing PT. PT failure (defined as an unacceptable PT result for an individual sample as determined by CLIA criteria) rates for chemistry and hematology decreased from 1994 to 2004 for 8 analytes most often tested in physician office and clinical laboratories: 18.7% to 3.2% for cholesterol, 6.3% to 1.1% for potassium, and 5.7% to 2.4% for creatinine. In addition,
microbiology failure rates decreased for positive and negative cultures between 1994 and 2004. Similar downward trends for PT failure (defined as unsatisfactory PT performance [<4 of 5 PT samples with an acceptable result in a testing event as determined by CLIA criteria\(^{74}\) on 2 consecutive or 2 of 3 testing events) rates were also observed using the 1999-2003 Centers for Medicare & Medicaid Services data for COLA-inspected laboratories (failure rate decreasing from 13% to 11%) and for state-inspected laboratories (from 9% to 8%).\(^{80}\) There is no published evidence for the effectiveness of any intervention to improve PT performance. In one study of PT, despite consistent feedback on PT errors, there was no significant change in participants’ subsequent performance over time.\(^{81}\)

Gynecologic Cytology-Biopsy Discrepancy

**Definition.**—This indicator is the percentage of patients with discordant cervical cytology and cervical biopsy results\(^{82}\) for whom a Papanicolaou (Pap) smear was submitted within the previous 3 months.\(^{83}\) There are no standardized criteria or practices for measuring this discrepancy rate.\(^{84}\)

**Rationale.**—Cytohistologic correlation may be a useful tool to monitor performance and to identify specimen types prone to error.\(^{74,85}\) An annual evaluation of the number of gynecologic cases in which cytologic and histologic results are discrepant is required by CLIA regulations.\(^{74}\) Although sampling variables account for the majority of false-negative results,\(^{82,85,86}\) interpretation variability is substantial for all types of cervical specimens.\(^{87}\)

**Quality Gap.**—There seems to be great variability in practices and standards for identifying a discrepant pair of cytologic-biopsy results, and many laboratories have found that most cervical cytology-biopsy noncorrelation is the result of sampling problems.\(^{83,84}\) Institutional gynecologic cytologic-histologic discrepency rates of 1.8% to 9.4% of all result pairs have been documented\(^{82}\); and one estimate of Pap smear discrepancies is that they occur in 0.9% of all cytologic specimens.\(^{85}\) One study of cervical cytologic-biopsy specimens revealed a predictive value for a positive cytologic result of 89%.\(^{88}\)

**Evidence Base.**—The percentage of cytologic-histologic gynecologic discrepancies that was deemed to result in severe harm (eg, loss of life or limb or long-lasting morbidity secondary to an unnecessary diagnostic test) ranged from 0% to 6% by site in a study done in 4 hospitals.\(^{84}\) Based on aggregate data from these hospitals, the frequency of physician-perceived severity for discrepancies was 46% for no harm to the patient, 8% for any harm avoided by addressing such discrepancies (ie, near misses), and 45% for any patient harm.\(^{82}\) No improvement trend was identified for hospital laboratories participating in a program monitoring cervical cytology-biopsy discrepancy rates.\(^{83}\) No evidence was found demonstrating that any intervention to reduce gynecologic cytology-histology discrepancy rates is effective or that this indicator is associated with any actual outcomes.

**Result Reporting**

**Inpatient Laboratory Result Availability**

**Definition.**—This indicator is the percentage of test results available for morning rounds as stipulated in the institution policy.\(^{89}\) There are no standard definitions for what constitutes compliance because this indicator is institution-specific.\(^{89,90}\)

**Rationale.**—If laboratory results are not available for clinicians’ morning rounds, there may be a delay in the treatment and diagnosis of a patient that may unnecessarily prolong the LOS. The objective of this measure is to assess the compliance rate for meeting morning test reporting deadlines, which may identify opportunities for improvement.

**Quality Gap.**—A survey of more than 300 hospitals found 10% of CBC and electrolyte tests were not reported on or before the reporting deadlines that the participating laboratories set for themselves.\(^{89}\) When more than 2,000 physicians from these hospitals were asked how often delayed morning laboratory test results contributed to delays in inpatient treatments or increased hospital LOS, only 1 in 4 indicated that delayed result reporting might contribute. There was no association between physician satisfaction and morning reporting compliance rates.\(^{89}\)

**Evidence Base.**—No published evidence was found relating this indicator to any outcomes or for interventions that are effective at improving performance.

**Corrected Laboratory Reports**

**Definition.**—This indicator is the percentage of specific laboratory reports corrected.\(^{91,92}\) There is no standard definition for the basis of correction of such laboratory reports.

**Rationale.**—This indicator may be used to determine causes of the corrections so that preventive actions can reduce the release of incorrect reports.

**Quality Gap.**—Aggregate mean and median rates of corrected reports were less than 2 per 1,000 cases based on a survey of more than 1.5 million surgical pathology specimens.\(^{91}\)

**Evidence Base.**—In one study of microbiology laboratory reports, clinician interviews revealed that 7% of 480 corrected reports were associated with an adverse clinical impact; of these 32 cases, 59% involved delayed therapy, 25% involved unnecessary therapy, and 25% were associated with inappropriate therapy.\(^{92}\) Most of these errors were considered amenable to laboratory-based interventions. No published evidence was found relating this indicator to any actual outcome or for interventions that are effective at improving performance.
Critical Values Reporting

**Definition.**—Critical values reporting is the percentage of all critical laboratory test results reported to a health care provider. Critical values are defined as those for which reporting delays can result in serious adverse outcomes for patients. There is no standard list of laboratory tests included in this indicator, nor are there standard critical value limits for specific laboratory tests. In this, this is because of variation in test methods, patient population, and individual patient characteristics. There is no widely accepted, standard method of reporting or the appropriate people who should receive these laboratory test results.

**Rationale.**—Critical values reporting is considered an important laboratory process because it can impact clinical decision making, patient safety, and operational efficiency. Critical laboratory test results, by definition, represent potentially life-threatening situations and require rapid and timely evaluation by clinicians. Reporting of critical values is required by CLIA regulations and the Joint Commission 2009 National Patient Safety Goals for hospitals include multiple requirements related to critical values reporting under the goal of improving the effectiveness of communication among caregivers.

**Quality Gap.**—Reported occurrences of critical values ranged from 1 in 2,000 to 1 in 100 tests. In a survey of about 200 hospital laboratories self-reporting their unreported critical values, there was wide variation among hospitals, with the rate of unreported critical values of 6.6% or more for the 25% worst-performing institutions in 2001. The 25% best-performing hospitals had unreported critical value rates of up to 0.9%, and half of the institutions had unreported critical value rates of 2.3% or more.

**Evidence Base.**—No studies were found relating critical values reporting to any outcomes; however, critical values have been found to influence patient care. In a survey of nursing supervisors and physicians, the majority of medical staff interviews (63%) and reviews of medical records (65%) indicated that critical values resulted in a change in therapy, and 95% of surveyed physicians indicated that critical laboratory results were valuable for patient care. No published studies were identified on any interventions that were effective in improving the rate of critical values reporting.

**Turnaround Time**

**Definition.**—This indicator refers to the percentage of specific laboratory tests that do not meet a reporting deadline. There are no widely accepted turnaround time (TAT) goals for specific laboratory tests. Laboratories most commonly defined TAT as time of specimen receipt in the laboratory to time of results reporting. However, order-to-reporting TAT is the most common clinician definition for TAT.

**Rationale.**—Timely reporting of laboratory tests may improve patient care efficiency, effectiveness, and satisfaction. In particular, the speed of diagnosis of acute myocardial infarction using cardiac troponin tests in the ED may determine the type of therapy and patient outcomes.

**Quality Gap.**—Of about 500 hospital laboratories returning data on more than 2.2 million stat (results expected to be reported within 1 hour from the time ordered per CAP definitions used in past Q-Probes studies [1991-2008], http://www.cap.org/apps/docs/q_probes/q-probes_definitions.pdf. Updated December 8, 2008. Accessed January 24, 2009) tests, TATs in excess of 70 minutes were observed for 11% of such tests. In another study using a different definition of unacceptable TAT, of approximately 300 hospitals monitoring the TAT for 225,000 stat ED potassium levels, 15% fell short of the expectations of the ordering clinicians.

**Evidence Base.**—Many stat tests are not used for urgent clinical decisions; therefore, faster results may not impact outcomes. Some studies have shown shorter TATs can shorten LOS in certain ED situations but the impact on other outcomes is unclear. Except for implementation of point-of-care testing, no published studies were identified on any intervention that was consistently effective in improving laboratory TAT.

**Clinician Satisfaction With Laboratory Services**

**Definition.**—This indicator is the percentage of clinicians satisfied with various aspects of laboratory services such as TAT, accessibility, and communication. There are no standardized measures.

**Rationale.**—Customer satisfaction is generally considered a quality measure, with clinicians being the immediate customer for most laboratory services.

**Quality Gap.**—The lowest satisfaction scores have been related to poor communication, including timely reporting, communication of relevant information, and notification of significant abnormal results. The following dissatisfaction rates have been reported for clinicians: 5% for overall surgical consultation process, 10% to 47% for various aspects of reference laboratory telephone services, 9% to 47% for various aspects of anatomic pathology services, 22% for a hospital transfusion service, and 10% to 21% for chemical pathology services (communication with laboratory, TAT, and reporting format).

**Evidence Base.**—Specific aspects of clinician dissatisfaction may be related to diagnostic or treatment errors or delays and inappropriate utilization of laboratory services and their associated costs; however, no evidence was found related to any outcomes. Except for indirect evidence that implementation of point-of-care testing reduces TAT, there is no direct evidence for any interventions that would improve clinician satisfaction with laboratory services.
Result Interpretation and Ensuing Action

Follow-up of Abnormal Cervical Cytologic Results

Definition.—This indicator is the percentage of abnormal cervical cytologic (Pap smear) results that were not followed up within 6 months.128 Follow-up procedures, however, have not been uniformly defined.

Rationale.—For Pap smear screening to be effective in preventing cervical cancer, appropriate and timely clinical follow-up for patients with abnormal findings is needed.128

Quality Gap.—A survey of more than 300 hospital laboratories reported follow-up information for approximately 16,000 patients with cervical cytologic diagnoses of carcinoma, high-grade squamous intraepithelial lesion (SIL), low-grade SIL, or glandular intraepithelial lesion.128 Within 6 months, the following percentages of patients with the following diagnoses had not received any follow-up procedures: 18% with carcinoma, 18% with high-grade SIL, 28% with low-grade SIL, and 26% with glandular intraepithelial lesions. More than 12% of patients with cytologic findings of high-grade SIL or carcinoma had no documentation of follow-up within 1 year.128 Similarly, an earlier study found 12% of abnormal cervical cytologic results lacked follow-up.129 Of 60 adolescent patients referred to a colposcopy clinic, 38% did not keep their colposcopy appointment despite outreach, and 13% to 17% of patients had no documented procedural follow-up 1 year later.130

Evidence Base.—There is no published, direct evidence that follow-up of women with abnormal cervical cytologic results is related to any clinical, health, or cost outcomes. However, considering the strong evidence supporting Pap smear screening,30 follow-up of abnormal cytologic results can be linked by inference to health outcomes. Involvement of the family physician,129 outreach interventions,131,132 enhancement of teamwork and functional coordination,133 direct-mail communications with and without phone intervention,134 intensive follow-up protocol,135 and provision of risk communication packages136 and economic vouchers135 have been shown to increase the rate of cervical cytology follow-up.

Discussion

This review summarizes published information on certain laboratory testing–related quality indicators and is, therefore, subject to publication bias. A more detailed evaluation of the indicators reviewed was not completed owing to the paucity of published information; considerable variation and inconsistency in key terms, definitions, implementation, and measurement and reporting practices; and a lack of basic supporting evidence. These problems resulted in a general lack of evidence supporting the importance, scientific soundness, and usefulness of most of these indicators, particularly those typically used for internal QI because laboratories do not generally publish their internal monitoring data.

For the laboratory indicators reviewed, standardized terminology, measurement specifications, data collection methods and evidence establishing quality gaps, and relationships to process, clinical, health, and economic outcomes are needed. The relevance of the identified quality indicators to various health system stakeholders and their use to positively impact the health care system were typically not addressed in the information that was available, indicating their selection was not made on the basis of evidence-based evaluation but instead relied on opinion within the laboratory community. Although most of the quality indicators identified may be useful for internal QI, for the many reasons identified, they are not meaningful for external comparisons or public reporting.

One of the limitations of the reviewed indicators is that they do not apply as well to commercial laboratories despite the considerable proportion of testing conducted by these entities. A reason that these quality indicators do not apply as well in such settings is that there has been a lack of effort by commercial laboratories and the broader field of laboratory medicine to develop such indicators and to make them publicly accessible despite their disproportionately large share of laboratory testing volume.

Many of these indicators are based primarily on self-reported surveys rather than on scientific study designs and/ or adequately specified, standardized, and consistently implemented data collection methods. The general lack of evidence supporting many laboratory medicine indicators results in part from the difficulty inherent in such studies because it is not easy to attribute effects on outcomes to specific laboratory processes considering many other confounding variables.

There seems to be a dearth of data even from any retrospective, observational studies scientifically validating many of these indicators. Laboratory testing and related process improvements certainly have the potential to improve outcomes of interest and consequences that are also relevant to the IOM domains; however, this has not been demonstrated for the quality indicators identified in this review with the exception of blood culture contamination and population-based testing measures consistent with guidelines that have originated in the broader health care community (Table 2).

This review highlights the fact that the reviewed laboratory medicine quality indicators do not adequately address the stages of the total laboratory testing process or the IOM domains of health care, most notably equity and patient-centeredness. The most germane elements of patient-centeredness (eg, participatory and shared decision making137) are not usually evaluated in the area of laboratory testing. These include involving patients in the decision to order a test consistent with their values and preferences and understanding
of laboratory results and possible future clinical or preventive actions.138

Other areas that have not been adequately monitored are metrics related to laboratory-driven clinical and preventive actions in which effective use of health information technology and medical decision-support systems have been shown to improve the provision of service.139,140 Notwithstanding the lack of published evidence-based indicators for laboratory performance, a great deal of collective and individual expert review and effort went into developing laboratory indicators by organizations such as the CAP and the Joint Commission based on consensus around accreditation standards, best practices, and measures of performance. Until the advent of new evidence-based laboratory medicine guidelines and quality indicators, however, it seems prudent to continue relying on accepted industry and clinical time-tested standards to guide laboratory practice in lieu of other available and reasonable alternatives.

Because there are so many processes involved in laboratory testing, there is considerable challenge in identifying, defining, and, ultimately, implementing indicators that cover the various stages of the total laboratory testing process, in general and specific to different diseases and conditions, that address the IOM domains, various testing environments, and multiple relevant stakeholders. We did not present any review of quality indicators for some steps in the laboratory testing process such as specimen receipt, log in, and processing, even though they are frequent sources of errors, because metrics for assessing these steps have not been well defined and standardized, and published laboratory literature has not evaluated these steps in multi-institutional settings. Progress requires developing common priorities, standards, and definitions to facilitate meaningful measurement development initiatives and data collection for external comparisons. This requires substantial effort because these basic, necessary requirements have not yet been met for laboratory testing–related indicators. Ultimately, future efforts should be directed to developing a set of laboratory medicine quality indicators that have significant health importance and are scientifically sound, implementable with standardized and available data elements, and useful to multiple stakeholders.23 This set of indicators should make it possible to develop meaningful public reporting on the status of laboratory-based health care with the ultimate goal of improving the provision and utilization of laboratory services consistent with contributing to improved health care quality and population health.

References


