

Genome-wide determination of on-target and off-target characteristics for RNA-guided DNA Methylation by dCas9 methyltransferases --Manuscript Draft--

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Abstract:	<p>Background Fusion of DNA methyltransferase domains to the nuclease-deficient clustered regularly interspaced short palindromic repeat (CRISPR) associated protein 9 (dCas9) has been used for epigenome editing, but the specificities of these dCas9 methyltransferases have not been fully investigated.</p> <p>Findings We generated CRISPR-guided DNA methyltransferases by fusing the catalytic domain of DNMT3A or DNMT3B to the C terminus of the dCas9 protein from <i>S. pyogenes</i> and validated its on-target and global off-target characteristics. Using targeted quantitative bisulfite pyrosequencing, we prove that dCas9-BFP-DNMT3A and dCas9-BFP-DNMT3B can efficiently methylate the CpG dinucleotides flanking its target sites at different genomic loci (uPA and TGFBR3) in human embryonic kidney cells (HEK293T). Furthermore, we conducted whole genome bisulfite sequencing (WGBS) to address the specificity of our dCas9 methyltransferases. WGBS revealed that although dCas9-BFP-DNMT3A and dCas9-BFP-DNMT3B did not cause global methylation changes, a substantial number (over 1000) of off-target differentially methylated regions (DMRs) were identified. The off-target DMRs, which were hypermethylated in cells expressing dCas9 methyltransferase and gRNAs, were predominantly found in promoter regions, 5' untranslated regions, CpG islands, and DNase I hypersensitivity sites, whereas, unexpected hypomethylated off-target DMRs were significantly enriched in repeated sequences. Through chromatin immunoprecipitation with massive parallel DNA sequencing analysis, we further revealed that these off-target DMRs were weakly correlated with dCas9 off-target binding sites. Using qPCR, RNA sequencing and fluorescence reporter cells, we also found that dCas9-BFP-DNMT3A and dCas9-BFP-DNMT3B can mediate transient inhibition of gene expression, which might be caused by dCas9-mediated de novo DNA methylation as well as interference with transcription.</p> <p>Conclusion Our results prove that dCas9 methyltransferases cause efficient RNA-guided methylation of specific endogenous CpGs. However, there is significant off-target methylation indicating that further improvements of the specificity of CRISPR-dCas9 based DNA methylation modifiers are required.</p>	

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Response to Reviewers:	<p>Dear Editor and reviewers,</p> <p>We really appreciate the reviewers' comments and concerns of the off-target effects we have discovered for dCas9 methyltransferases.</p> <p>Of course, we fully aware of and understand that any finding of negative effects of the CRISPR technology should be carefully and thoroughly addressed before announcing it to the whole scientific and CRISPR community.</p> <p>Unlike the original CRISPR/Cas9 technology, of which the endonuclease activity of Cas9 depends heavily on the base-pairing between the guide sequences and the</p>

	<p>target site (proto-spacer), the dead Cas9 (dCas9) derived CRISPR technology and applications are more depending on the physical interaction between dCas9/gRNA complex and the DNA loci, and more tolerance to mismatches. As already demonstrated in figure 2 and Supplementary Figure 4 of this study and several previous investigations by ChIP-seq, the criteria of defining off-target sites (based on mismatches) from wild type Cas9 is not suitable for the dCas9 methyltransferases. Although this study only evaluate the dCas9 methyltransferases, we speculate that this off-target effects are most likely to be the same for other kind of dCas9 based effectors.</p> <p>We have conducted more WGBS validation experiment in the revision (Supplementary Figure 14). WGBS analyses are now conducted in HEK293T cells transfected with dCas9-BGP-DNMT3A and uPA gRNA (n = 3), and compared to transfection control (pUC19, n = 3). We validate that the hypermethylated DMRs found in our first WGBS experiments are significantly increased in cells expressing dCas9-BGP-DNMT3A and uPA gRNAs. Furthermore, we also validated DHS sites are prone to unspecific methylation. These results collectively and consistently validate our finding that there is a certain degree of unspecific methylation causing by dCas9 methyltransferase and CRISPR gRNAs, and promoter region and open chromatin regions are prone to unspecific methylation.</p> <p>Kr, Yonglun Luo Associate Professor, Department of Biomedicine, Aarhus University Executive Director, Lars Bolund Institute of Regenerative Medicine, BGI-Qingdao, China</p>
Additional Information:	
Question	Response
Are you submitting this manuscript to a special series or article collection?	No
<p>Experimental design and statistics</p> <p>Full details of the experimental design and statistical methods used should be given in the Methods section, as detailed in our Minimum Standards Reporting Checklist. Information essential to interpreting the data presented should be made available in the figure legends.</p> <p>Have you included all the information requested in your manuscript?</p>	Yes
<p>Resources</p> <p>A description of all resources used, including antibodies, cell lines, animals and software tools, with enough information to allow them to be uniquely identified, should be included in the Methods section. Authors are strongly encouraged to cite Research Resource Identifiers (RRIDs) for antibodies, model organisms and tools, where possible.</p>	Yes

<p>Have you included the information requested as detailed in our Minimum Standards Reporting Checklist?</p>	
<p>Availability of data and materials</p> <p>All datasets and code on which the conclusions of the paper rely must be either included in your submission or deposited in publicly available repositories (where available and ethically appropriate), referencing such data using a unique identifier in the references and in the “Availability of Data and Materials” section of your manuscript.</p> <p>Have you have met the above requirement as detailed in our Minimum Standards Reporting Checklist?</p>	<p>Yes</p>

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4 **1 Genome-wide determination of on-target and off-target characteristics for RNA-guided**
5 **2 DNA Methylation by dCas9 methyltransferases**
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55 **1. Abstract**

56 **Background**

57 Fusion of DNA methyltransferase domains to the nuclease-deficient clustered regularly
58 interspaced short palindromic repeat (CRISPR) associated protein 9 (dCas9) has been used for
59 epigenome editing, but the specificities of these dCas9 methyltransferases have not been fully
60 investigated.

61

62 **Findings**

63 We generated CRISPR-guided DNA methyltransferases by fusing the catalytic domain of
64 DNMT3A or DNMT3B to the C terminus of the dCas9 protein from *S. pyogenes* and validated its
65 on-target and global off-target characteristics. Using targeted quantitative bisulfite
66 pyrosequencing, we prove that dCas9-BFP-DNMT3A and dCas9-BFP-DNMT3B can efficiently
67 methylate the CpG dinucleotides flanking its target sites at different genomic loci (*uPA* and
68 *TGFBR3*) in human embryonic kidney cells (HEK293T). Furthermore, we conducted whole
69 genome bisulfite sequencing (WGBS) to address the specificity of our dCas9 methyltransferases.
70 WGBS revealed that although dCas9-BFP-DNMT3A and dCas9-BFP-DNMT3B did not cause
71 global methylation changes, a substantial number (over 1000) of off-target differentially
72 methylated regions (DMRs) were identified. The off-target DMRs, which were hypermethylated in
73 cells expressing dCas9 methyltransferase and gRNAs, were predominantly found in promoter
74 regions, 5' untranslated regions, CpG islands, and DNase I hypersensitivity sites, whereas,
75 unexpected hypomethylated off-target DMRs were significantly enriched in repeated sequences.
76 Through chromatin immunoprecipitation with massive parallel DNA sequencing analysis, we
77 further revealed that these off-target DMRs were weakly correlated with dCas9 off-target binding
78 sites. Using qPCR, RNA sequencing and fluorescence reporter cells, we also found that dCas9-
79 BFP-DNMT3A and dCas9-BFP-DNMT3B can mediate transient inhibition of gene expression,
80 which might be caused by dCas9-mediated *de novo* DNA methylation as well as interference with
81 transcription.

82

83 **Conclusion**

84 Our results prove that dCas9 methyltransferases cause efficient RNA-guided methylation of
85 specific endogenous CpGs. However, there is significant off-target methylation indicating that
86 further improvements of the specificity of CRISPR-dCas9 based DNA methylation modifiers are
87 required.

88

89 **Key words**

90 DNA methylation – CRISPR – Cas9 – DNMT3A – DNMT3B – dCas9 – specificity – off-targets –
91 epigenome editing

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92 **2. Background**

93 Owing to its simplicity, efficiency and potential for multiplicity, the type II Clustered Regularly
94 Interspaced Short Palindromic Repeats (CRISPR) and CRISPR-associated protein 9 (Cas9) with
95 engineered variants have been widely used for genome and epigenome editing in many species
96 [1-5]. The Cas9 protein is guided to a specific genomic locus containing a protospacer adjacent
97 motif (PAM) by a small single guide RNA (gRNA), which contains a conserved scaffold sequence
98 and a programmable guide sequence (typically 20 nt) for base pairing with the taret [1]. By
99 introducing double mutations (D10A and H840A) in the *S.pyogenes* Cas9 protein (dCas9) to
100 inactivate its catalytic activity and fusing functional effectors to the C terminus of the dCas9, the
101 applications of CRISPR/Cas9 are expanded to regulation of gene expression (CRISPRa and
102 CRISPRi) [6-8], targeted DNA purification [9], visualization of specific gene regions [10], and
103 acetylation or methylation of chromatin components [11, 12].

104
105 Genome-wide studies have revealed fundamental functional roles of DNA methylation as well as
106 associations between aberrant DNA methylation and human diseases including cancer [13, 14].
107 Methylation of cytosine residues (5mC) in the mammalian genome mainly occurs at CpG
108 dinucleotides. In promoter regions CpG methylation normally associated with repression of gene
109 expression. Currently, insights into DNA methylation-associated biological processes are largely
110 based on correlative data. Methods have been developed to methylate desired gene loci
111 selectively by fusing programmable DNA binding proteins (zinc finger proteins (ZFs) or
112 transcription-activator-like effectors (TALEs)) to DNA methyltransferases³⁻⁹. However, the
113 laborious generation of ZFs- and TALEs hampers their broader applications. Engineered dCas9
114 has been harnessed for targeted DNA methylation by fusing dCas9 to the catalytic domain of
115 mammalian DNA methyltransferases, thus providing an alternative tool for more easily
116 programmable DNA methylation [15, 16].

117
118 Currently, genome-wide characterization of the specificity of dCas9-based epigenetic modifiers is
119 lacking. To gain more insights into the efficiency and specificity of targeted DNA methylation by
120 CRISPR gRNA-guided dCas9 methyltransferases, we used quantitative bisulfite pyrosequencing,
121 whole genome bisulfite sequencing, and ChIP-seq to investigate the characteristics of dCas9
122 methyltransferase-mediated DNA methylation in human cells.

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125 **3. Methods**

126 **3.1 Cell Culture**

127 Human embryonic kidney HEK293T cells (ATCC) were cultured in Dulbecco's modified Eagle's
128 medium (DMEM, Life Technologies), 10% fetal bovine serum (Sigma), 1% penicillin-streptomycin
129 (Sigma), 1X GlutaMAX (Life Technologies) at 37 °C, 5% CO₂.

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131 **3.2 dCas9 methyltransferases plasmids**

132 The dCas9 coding sequence was derived from pHR-SFFV-dCas9-BFP-KRAB (Addgene ID
133 46911) (a gift from Stanley Qi & Jonathan Weissman). The catalytic domains of DNMT1,
134 DNMT3A and DNMT3B were PCR-amplified from pcDNA3/Myc-DNMT1 (Addgene ID 36939),
135 pcDNA3/Myc-DNMT3A (Addgene ID 35521) and pcDNA3/Myc-DNMT3B1 (Addgene ID 35522) (a
136 gift from Arthur Riggs), respectively. The DNMT3A (E752A) and DNMT3B (E697A) catalytically
137 inactivating mutations were introduced by site-directed mutagenesis. All plasmids described in
138 this study have been validated by Sanger sequencing and will be publically available through
139 Addgene (https://www.addgene.org/Yonglun_Luo/) (**Supplementary Table S1**).

140

141 **3.3 CRISPR gRNA design**

142 Based on the observation that dCas9 methyltransferases could efficiently methylate the CpGs
143 flanking the target sites, a web-based gRNA designing tool (dCas9 methyltransferases **gRNA**
144 **finder**, <http://luolab.au.dk/views/gRNA.cgi>) was developed to facilitate dCas9
145 methyltransferase-based gRNA design. All updates regarding the dCas9 methyltransferase
146 protocol are available on the website (<http://luolab.au.dk/>). All gRNA sequences are listed in
147 **Supplementary Table S1**.

148

149 **3.4 Transfection and enrichment transfected cells**

150 Unless stated elsewhere, cells were transfected with gRNAs (total 500 ng) and a dCas9
151 methyltransferase expression vector (500 ng) in six-well plates using X-tremeGENE 9 DNA
152 transfection reagent (Roche). For single gRNA or pUC19 control transfections, the amount of
153 plasmid added was equivalent to the total amount of plasmid added for multiple gRNA
154 transfections. For BFP-based enrichment, cells were harvested 48 hours after transfection, and
155 **dCas9 methyltransferase**-expressing cells were sorted by FACS. Briefly, transfected cells were
156 harvested by trypsinization, washed twice with 2% FBS-PBS, and re-suspended in 500 µL 2%
157 FBS-PBS. Cells were stained with Propidium Iodide (PI) before sorting. PI negative and BFP
158 positive or negative cells were sorted with a 4 Laser BD FACS Aria III instrument. All transfections
159 were performed in at least two independent experiments.

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161 **3.5 Quantitative PCR (qPCR)**

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162 Total RNA was extracted from cells with the RNeasy Plus Mini Kit (Qiagen, 74136) according to
163 the manufacturer's instructions and quantified using a Nanodrop 1000 Spectrophotometer. The
164 first strand cDNA was synthesized from 100-500 ng total RNA with the iScript cDNA synthesis kit
165 (Bio-Rad, 170-8891) following the manufacturer's instructions. qPCR was performed in triplicate
166 for each sample, using the Light Cycler 480 SYBR Green I Master mix (Roche Life Science,
167 04887352001) and a Light Cycler 480 qPCR machine. Each qPCR reaction contained 1 μ L cDNA
168 template (5 times diluted), 7.5 μ L qPCR Master mix (2X), and 5 pmol of each qPCR primer in a
169 total volume of 15 μ L. The following qPCR program was used for *uPA*, *TGFBR3* and *GAPDH*: 1
170 cycle at 95 °C for 5 min; 45 cycles at 95 °C for 10s, 57 °C for 10s, and 72 °C for 10s during which
171 the fluorescence signal was measured. The final product was subjected to melting curve analysis.
172 Primers for qPCR are listed in [Supplementary Table S1](#). Relative gene expression was
173 calculated using the $2^{-\Delta\Delta CT}$ method by first normalizing to the internal control *GAPDH* (ΔCT) and
174 then calibrating to the transfection control pUC19 ($\Delta\Delta CT$) [17].
175

176 **3.6 DNA methylation analysis by bisulfite pyrosequencing with PyroMark Q24**

177 Genomic DNA was extracted using the DNeasy Blood & Tissue Kit (Qiagen, 69506) according to
178 the manufacturer's instructions. A total of 200 ng of genomic DNA was bisulfite treated using the
179 EpiTect Bisulfite Kit (Qiagen, 59104) according to the manufacturer's instructions. This converts
180 unmethylated cytosines to uracils. The bisulfite converted DNA was eluted with 20 μ L elution
181 buffer provided by the kit. Bisulfite PCR reactions for all genes described in this study were
182 performed in a 25 μ L volume containing 0.15 μ L Hotstar Taq polymerase (5U/ μ L) (New England
183 Biolabs, M0495L), 2.5 μ L 10xStandard buffer, 0.5 μ L of 10 mM dNTPs, 1.0 μ L of each primer (10
184 μ M) and 1.5 μ L bisulfite converted genomic DNA. PCR was performed under the following
185 conditions: 95 °C for 5 min followed by 45 cycles of 94 °C for 30 sec, 58 °C for 1 min, and 72 °C
186 for 45 sec, and, finally, by 72 °C for 7 min. 4 μ L PCR product was checked by gel electrophoresis.
187 Pyrosequencing was performed with the PyroMark Q24 Advanced Reagents (Qiagen, 970922)
188 using 20 μ L PCR product from the bisulfite treated DNA and 20 μ L sequencing primer (0.375 μ M)
189 according to the PyroMark Q24 CpG protocol. The general degree of cytosine methylation was
190 determined by pyrosequencing of the bisulfite converted genomic DNA, using the PyroMark Q24
191 Advanced system (Qiagen).
192

193 **3.7 DNA methylation analysis by bisulfite Sanger sequencing**

194 Bisulfite converted DNA was used as template for PCR amplifications with the BS specific PCR
195 primers listed in [Supplementary Table S1](#), using the DreamTaq DNA Polymerase (Life
196 Technologies, EP0701). PCR products were gel purified, sub-cloned in a TA-cloning vector (Life
197 Technologies, 450030) and transformed into chemically competent *E.coli* cells. Cell clones were

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198 manually picked, sub-cultured in 250 ul LB medium overnight, lysed, subjected to Sanger
199 sequencing and analyzed by BISMA [18].

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201 **3.8 Fluorescence reporter cell assay**

202 Five stable fluorescence reporter cell clones were established by randomly inserting various
203 copies of the CMV promoter-driven mCherry expression cassette into HEK293T (pLV-mCherry
204 was a gift from Pantelis Tsoulfas, Addgene ID 36084). Cells were transfected separately with
205 each dCas9 methyltransferase expression vector (50 ng) and gRNAs (total 50 ng) in 24-well
206 plates. One-third of the transfected cells were seeded to a new plate every 2-3 days and the
207 remainder used for flow cytometry analysis. Median mCherry intensity was measured with the BD
208 LSRFortessa™ cell analyzer (FACS CORE facility, Aarhus University). Identical instrument
209 settings and control beads were applied during the time course experiment to ensure valid
210 comparison across different time points. 20,000 events were recorded for each sample. Flow
211 cytometry data were analyzed using the Flowjo software.

212

213 **3.9 Immunostaining**

214 48 hours after transfection, cells were fixed with freshly-made 4% PFA for 15 min at room
215 temperature, followed by three washes with DPBS. Cells were permeabilized in 0.3% Triton X-
216 100 DPBS for 10 min and blocked in 5% goat serum-DPBS for 30 min. Cells were incubated with
217 a primary rabbit anti-HA-tag antibody (C29F4, Cell Signaling 3724, 1:1000) overnight, followed by
218 secondary antibody staining with Alexa Fluor 555 donkey anti-rabbit IgG (A-31572, Life
219 technologies) at room temperature for 2 hours. Images were obtained with a confocal microscope
220 (LSM710, Carl Zeiss).

221

222 **3.10 Southern blot analysis**

223 Genomic DNA (15 µg) was digested with *EcoRI* restriction enzyme overnight and then analyzed
224 by gel electrophoresis with vacuum blotting. Primers for generating the mCherry probe are listed
225 in **Supplementary Table S1**. Probe labelling was performed using the Prime-It II Random Primer
226 Labeling Kit according to the manufacturer's instructions. Pre-hybridization and hybridization
227 steps were carried out at 42 °C. Excess probe was washed from the membrane with SSC buffer,
228 and the hybridization pattern was visualized on X-ray film by autoradiography.

229

230 **3.11 RNA sequencing**

231 Integrity and quantity of extracted RNA was evaluated with an Agilent 2100 Bioanalyzer
232 according to the manufacturer's instructions. After DNase I treatment, mRNA was isolated with
233 Oligo (dT) magnetic beads. Fragmentation buffer was added to generate short fragments of
234 mRNA. cDNA was synthesized using the mRNA fragments as templates, resolved with EB buffer

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235 for end repair and ligated with adaptors. After size selection and purification by agarose gel
236 electrophoresis, cDNA with sizes of approximately 240 bp were used for PCR amplification (12
237 cycles) and library construction. Libraries were sequenced on an Ion Proton platform (>30 million
238 reads per sample). Sequencing reads that contained low quality, adaptor, and/or short (< 30nt)
239 read sequences were filtered out before mapping. tmap was used to align the clean reads to the
240 hg19 UCSC RefSeq (RNA sequences, GRCh37). No more than 3 mismatches were allowed in
241 the alignment. Gene expression levels were calculated by transforming uniquely mapped
242 transcript reads to TPM (transcript per million) [19]. Differentially expressed genes were defined
243 as genes with a Benjamini-Hochberg-adjusted P value (FDR) ≤ 0.001 and fold change ≥ 2
244 compared to pUC19 control.

246 **3.12 ChIP-seq**

247 HEK293T cells were transfected with dCas9 methyltransferase and five *uPA* gRNAs (triplicates).
248 48 hours after transfection, transfected cells were subjected to ChIP with a commercially
249 available kit CHIP-IT Express Enzymatic (53009-AF, ActivMotif, distributed by Nordic Biolabs) and
250 an anti-HA tag antibody (C29F4, Cell Signaling) according to the manufacturer's instructions.
251 Next generation sequencing libraries were prepared for Chip and input samples. SE50
252 sequencing was performed on Illumina HiSeq2500. Clean reads were mapped to human genome
253 hg19 using SOAP2 with the parameter "-p 4 -v 2 -s 35". Unique mapping reads was sampled
254 randomly and equally (62723057 reads). Peaks were called using MACS with P value $1e-3$
255 compared to the input samples. Common peaks found in the triplicates were selected.
256 Furthermore, ChIP peaks located in repeat sequences and rDNA were removed. Sequence motifs
257 enriched within 70 bp of peak summits were identified using MEME-CHIP.

259 **3.13 WGBS library preparation and sequencing**

260 Genomic DNA was fragmented by sonication to a mean size of 250bp using a Bioruptor
261 (Diagenode, Belgium), followed by the blunt-ending, dA addition to 3'-end, and adaptor ligation
262 using the TruSeq Sample Preparation kit (Illumina Inc.) according to the manufacturer's
263 instructions. Then, bisulfite conversion was conducted with the EZ DNA Methylation-Gold kit
264 (ZYMO). The fragments with different insert size were excised from the same lane of a 2% TAE
265 agarose gel. Products were purified by using QIAquick Gel Extraction kit (Qiagen) and amplified
266 by 18 PCR cycles. The library quality was monitored using the Agilent 2100 BioAnalyzer (Agilent)
267 and the concentration of the library was determined by quantitative PCR. Finally, the WGBS
268 libraries were paired-end sequenced on Illumina HiSeq X Ten.

269 After filtering out adaptor and low-quality reads, a total of 953.7Gb 150bp paired-end clean data
270 was generated. An average of 106Gb clean data was obtained for each sample. Clean reads
271 were aligned to the human reference genome (hg19) by BSMAP(v2.74) with the parameter "-u -v

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272 5 -z 33 -p 6 -n 0 -w 20 -s 16 -r 0 -f 10 -L 140" [20]. Only the CpG sites with read depths ≥ 4 were
273 taken into consideration for DNA methylation level calculation. The 48502 bp lambda DNA
274 genome was used as an extra reference for calculating the bisulphite conversion rate. Nearly
275 complete ($>99\%$) bisulfite conversion was documented in all libraries. For repeat WGBS
276 experiment, HEK293T cells were transfected with pUC19 as controls or transfected with dCas9-
277 BFP-DNMT3A and uPA gRNAs. Transfections were conducted in triplicates. Genomic DNA were
278 purified from all cells 48 hours after transfection without BFP-based FACS enrichment of
279 transfected cells. WGBS library construction and sequencing were conducted as above but
280 sequenced with less depth, of 10-15X coverage.

281 282 **3.14 Identification of differentially methylated regions (DMRs) and attempts to exclude** 283 **stochastic DMRs unrelated to the dCas9 methyltransferase treatment**

284 The bioconductor package DSS was used to identify DMRs with the parameter "delta ≥ 0.1 ,
285 pvalue ≤ 0.01 , CpG sites ≥ 3 , DMR length ≥ 10 bp, smoothing window 100 bp". Since
286 expressing high amount of dCas9-BFP-DNMT3A and either uPA or TGFBR3 gRNAs caused the
287 highest *de novo* on-target methylation, we reasoned that the authentic off-target DMRs should be
288 detected in these two comparisons. We first compared group 1 (dCas9-BFP-DNMT3A (500 ng) +
289 uPA gRNAs (500 ng)) or group 3 (dCas9-BFP-DNMT3A (500 ng) + TGFBR3 gRNAs (500 ng)) to
290 group 9 (pUC19 control).

291
292 Based on the observation of (1) dose- and gRNA-dependent *de novo* methylation of *uPA*,
293 *TGFBR3* and *GAPDH* by dCas9 methyltransferases and (2) dCas9-BFP-DNMT3A being more
294 efficient than dCas9-BFP-DNMT3B, we reasoned that the authentic DMRs caused by dCas9
295 methyltransferases and uPA gRNAs should have a methylation pattern as described below:

296 297 **Hypermethylated DMRs by dCas9 methyltransferases and uPA gRNAs should meet:**

- 298 % mCpG:
299 **(1) group 9** (pUC19) \leq **group 5** (dCas9-DNMT-3A only (500 ng)) \leq **group 7** (dCas9-DNMT-3A
300 (50 ng) + uPA gRNAs (50 ng)) \leq **group 1** (dCas9-DNMT-3A (500 ng) + uPA gRNAs (500 ng)).
301 **(2) group 2** (dCas9-DNMT-3B (500 ng) + uPA gRNAs (500 ng)) \leq **group 1** (dCas9-DNMT-3A
302 (500 ng) + uPA gRNAs (500 ng))
303 **(3) group 6** (dCas9-DNMT-3B (500 ng)) \leq **group 2** (dCas9-DNMT-3B (500 ng) + uPA gRNAs
304 (500 ng))

305 306 **Hypomethylated DMRs by dCas9 methyltransferases and uPA gRNAs should meet:**

307 % mCpG:

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308 **(1) group 9** (pUC19) >= **group 5** (dCas9-DNMT-3A only (500 ng)) >= **group 7** (dCas9-DNMT-3A
309 (50 ng) + uPA gRNAs (50 ng)) >= **group 1** (dCas9-DNMT-3A (500 ng) + uPA gRNAs (500 ng)).
310 **(2) group 2** (dCas9-DNMT-3B (500 ng) + uPA gRNAs (500 ng)) >= **group 1** (dCas9-DNMT-3A
311 (500 ng) + uPA gRNAs (500 ng))
312 **(3) group 6** (dCas9-DNMT-3B (500 ng)) >= **group 2** (dCas9-DNMT-3B (500 ng) + uPA gRNAs
313 (500 ng))

315 Similarly, the authentic DMRs caused by dCas9 methyltransferases and *TGFBR3* gRNAs should
316 have a methylation pattern as described below:

318 **Hypermethylated DMRs by dCas9 methyltransferases and TGFBR3 gRNAs should meet:**

319 % mCpG:

320 **(1) group 9** (pUC19) =< **group 5** (dCas9-DNMT-3A only (500 ng)) =< **group 8** (dCas9-DNMT-3A
321 (50 ng) + TGFBR3 gRNAs (50 ng)) =< **group 3** (dCas9-DNMT-3A (500 ng) + TGFBR3 gRNAs
322 (500 ng)).

323 **(2) group 4** (dCas9-DNMT-3B (500 ng) + TGFBR3 gRNAs (500 ng)) =< **group 3** (dCas9-DNMT-
324 3A (500 ng) + TGFBR3 gRNAs (500 ng))

325 **(3) group 6** (dCas9-DNMT-3B (500 ng)) =< **group 4** (dCas9-DNMT-3B (500 ng) + TGFBR3
326 gRNAs (500 ng))

328 **Hypomethylated DMRs by dCas9 methyltransferases and TGFBR3 gRNAs should meet:**

329 % mCpG:

330 **(1) group 9** (pUC19) >= **group 5** (dCas9-DNMT-3A only (500 ng)) >= **group 8** (dCas9-DNMT-3A
331 (50 ng) + TGFBR3 gRNAs (50 ng)) >= **group 3** (dCas9-DNMT-3A (500 ng) + TGFBR3 gRNAs
332 (500 ng)).

333 **(2) group 4** (dCas9-DNMT-3B (500 ng) + TGFBR3 gRNAs (500 ng)) >= **group 3** (dCas9-DNMT-
334 3A (500 ng) + TGFBR3 gRNAs (500 ng))

335 **(3) group 6** (dCas9-DNMT-3B (500 ng)) >= **group 4** (dCas9-DNMT-3B (500 ng) + TGFBR3
336 gRNAs (500 ng))

338 We applied this methylation level-based filtering criteria to further remove potential stochastic
339 DMRs. The remaining DMRs were subjected to all analyses as described in this study.

341 **3.15 Analysis of 5nt-SEED-NGG motif density**

342 The 5nt-SEED-NGG density was calculated by counting the frequency of the sequence
343 containing the 5 nt SEED sequences preceding a NGG site on either DNA strand. The PAM
344 density was calculated by counting the frequency of PAM sites (NGG) on either DNA strand. The

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345 median density with standard deviation is shown in the plots. Fisher's exact test was conducted to
346 compare densities between different sequence datasets.

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348 **3.16 Statistics**

349 All values in this study were presented as mean \pm standard deviation. The one-way Analysis of
350 Variance (ANOVA) with Bonferroni multiple testing, linear regression, Wilcoxon matched-pairs
351 signed-rank test, Fisher's exact test and Benjamini-Hochberg-adjusted P value were used for
352 statistical analysis. A p-value < 0.05 was considered statistically significant.

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355 4 Results

356 4.1 On-target DNA methylation by dCas9 methyltransferases: dCas9-BFP-DNMT3A and 357 dCas9-BFP-DNMT3B

358 In mammalian cells, DNA methylation is established by *de novo* DNA methyltransferases
359 (DNMT3A and DNMT3B), and maintained upon replication by DNMT1 [21]. Using a similar
360 approach as Vojta *et al.* and McDonald *et al.* [15, 16]., we fused DNMT1 catalytic domain,
361 DNMT3A catalytic domain, DNMT3B catalytic domain or EGFP to the C-terminal end of dCas9
362 with a blue fluorescent protein (BFP) and a triple tandem repeated flexible linker (3XG4S, Gly-
363 Gly-Gly-Gly-Ser) (Fig. 1a and Supplementary Fig. S1a). Enrichment of cells expressing the
364 fusion dCas9 methyltransferases were validated by BFP-based Fluorescence Activated Cell
365 Sorting (FACS) (Supplementary Fig. S1b) and immunofluorescence staining using anti-HA tag
366 antibody (Supplementary Fig. S1c).

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368 To validate that dCas9 methyltransferases can methylate endogenous CpGs, the dCas9
369 methyltransferases were first targeted by five gRNAs (*uPA* gRNA T1 to T5, Fig. 1b) to the *uPA*
370 promoter, which contains a dense CpG island that is hypomethylated in human cancer cells [22].
371 HEK293T cells were transfected with *uPA* gRNAs and individual dCas9 fusion expression
372 vectors. Following BFP-based FACS enrichment of transfected cells, the percentage of
373 methylated CpGs (mCpGs) at individual CpG sites in the *uPA* promoter (*uPA*-MR1 and *uPA*-MR2
374 genomic regions) was quantified by bisulfite pyrosequencing (Fig. 1c). Compared to the pUC19
375 control, cells expressing *uPA* gRNAs and dCas9-BFP-DNMT3A or dCas9-BFP-DNMT3B, but not
376 dCas9-BFP-DNMT1 or dCas9-BFP-EGFP, had significantly higher mCpG levels (P value < 0.01,
377 ANOVA test). This is consistent with previous reports showing that the C-terminal catalytic
378 domains of DNMT3A and DNMT3B, but not DNMT1, are active [23, 24]. The CpGs most
379 efficiently *de novo* methylated were located 10-50 bp upstream and downstream of the gRNA
380 target sites. CpGs located in the gRNA binding sites were not methylated by the dCas9
381 methyltransferases, most likely because CRISPR/dCas9 binding blocks the interaction of the
382 methyltransferase domain with the CpGs (Fig. 1c). *De novo* methylation by dCas9-BFP-DNMT3A
383 and gRNAs was further validated by bisulfite Sanger sequencing (Supplementary Fig. S1d).

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385 To investigate dCas9 methyltransferase-mediated methylation of another genomic locus, we
386 generated three gRNAs targeting the transforming growth factor beta receptor 3 (*TGFBR3*)
387 promoter. Similar *de novo* methylation effects were observed for dCas9-BFP-DNMT3A or dCas9-
388 BFP-DNMT3B with *TGFBR3* gRNAs (Fig. 1d-g; Supplementary Fig. S2). Our results collectively
389 reveal that fusion of dCas9 to the catalytic domain of DNMT3A/3B can mediate targeted *de novo*
390 DNA methylation.

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392 4.2 Off-target methylation by dCas9 methyltransferases

393 Since high frequency off-target mutagenesis has been observed in previous applications of
394 CRISPR-Cas9 [25], we investigated the specificity of dCas9 methyltransferases. For this purpose,
395 we repeated the experiment with two additional controls: (1) cells expressing dCas9-BFP-
396 DNMT3A or dCas9-BFP-DNMT3B only; (2) cells expressing dCas9-BFP-DNMT3A or dCas9-
397 BFP-DNMT3B and three scrambled gRNAs (gRNAs targeting the CMV promoter). We found that
398 expression of dCas9 methyltransferases and scrambled gRNAs could cause some unspecific *de*
399 *nov*o methylation of the *uPA* promoter, but at much lower levels compared to that obtained for
400 *uPA* gRNAs (Supplementary Fig. S3). A slightly increased *uPA* promoter methylation, although
401 not significant, was also observed in cells expressing dCas9 methyltransferase only
402 (Supplementary Fig. S3).

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404 To further assess the off-target methylation, we investigated three genomic regions with various
405 sequence similarities to the *uPA* gRNA target sites: *SH2D3C* (3 mismatches, Supplementary
406 Fig. S4a), *FAM221A* (3 mismatches, Supplementary Fig. S4b), and *GAPDH* promoter (9
407 mismatches, Fig. 2a). We did not observe significant changes in CpG methylation at *SH2D3C*
408 and *FAM221A* genomic sites. Surprisingly, several CpG sites in the *GAPDH* promoter were
409 significantly methylated in cells expressing dCas9-BFP-DNMT3A and *uPA*, *TGFBR3*, or
410 scrambled (CMV) gRNAs (Fig. 2b-c). The same was observed, but to a lesser extent, in cells
411 expressing dCas9-BFP-DNMT3B (Fig. 2d-e). This effect was less prominent in cells expressing
412 dCas9 methyltransferase only, indicating that unspecific methylation of the *GAPDH* promoter is
413 RNA-guided. Our results collectively reveal the existence of site dependent off-target methylation
414 by dCas9 methyltransferases.

415 416 4.3 Effects of DNMT3A/3B catalytic activity and dCas9 methyltransferase expression level 417 on on-target and off-target DNA methylation

418 *De novo* methylation by dCas9 methyltransferases could be mediated either by the catalytic
419 activity of DNMT3A and DNMT3B, or by the recruitment of additional DNA methylation enzymes
420 to the binding sites facilitated by protein interactions. To elucidate the mechanism of on-target
421 and off-target DNA methylation, we introduced the E752A and E697A catalytically inactivating
422 mutations [26] in the DNMT3A and DNMT3B catalytic domains, respectively. To investigate the
423 effect of dCas9 methyltransferase expression levels on on-target and off-target DNA methylation,
424 cells were sorted into four populations based on BFP signal intensity, a marker of dCas9
425 methyltransferase expression level: 1. very low: +; 2. low: ++; 3. medium: +++; and 4. high: ++++
426 (Fig. 3a). Bisulfite pyrosequencing analysis of the *uPA* (Fig. 3b) and *TGFBR3* (Fig. 3c,
427 Supplementary Fig. S5) promoters revealed that only dCas9 methyltransferases but not dCas9
428 methyltransferase catalytic mutants cause dose-dependent *de novo* methylation, suggesting that

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4 429 *de novo* on-target methylation by dCas9 methyltransferases is mediated by the catalytic activity of
5 430 DNMT3A and DNMT3B.

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8 432 We next investigated the effect of dCas9 methyltransferase expression level on off-target
9 433 methylation by analyzing the *GAPDH* promoter methylation in the FACS-sorted cells with different
10 434 BFP signal intensity (+, ++, +++, and ++++). Consistent with previous results, co-expression of
11 435 dCas9-BFP-DNMT3A or dCas9-BFP-DNMT3B (Fig. 4a, b) with either *uPA* or *TGFBR3* gRNAs
12 436 significantly increased *de novo* methylation of *GAPDH* promoter CpGs compared to cells
13 437 expressing dCas9 methyltransferase without gRNAs or pUC19. Furthermore, titrating dCas9
14 438 methyltransferase expression levels decreased unspecific methylation of the *GAPDH* promoter
15 439 (Fig. 4a, b). Similarly, methyltransferase catalytic mutants do not cause *de novo* methylation of
16 440 *GAPDH*. Since *de novo* methylation of gRNA-targeted genes was also decreased by dCas9
17 441 methyltransferase titration (Fig. 3), our results collectively suggest that altering dCas9
18 442 methyltransferase expression levels cannot efficiently reduce unspecific methylation relative to
19 443 targeted methylation.

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22 445 To investigate global methylation levels, repetitive *LINE1* elements were investigated as they
23 446 represent a surrogate marker for global DNA methylation [27]. We measured the *LINE1* 5'UTR
24 447 methylation by bisulfite pyrosequencing which revealed that expression of dCas9-BFP-DNMT3A
25 448 and *uPA* gRNAs did not result in significant *LINE1* methylation changes (Fig. 4c).

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28 450 4.4 Genome-wide bisulfite sequencing revealed off-target methylation by dCas9 29 451 methyltransferases

30 452 Prompted by the unspecific methylation of *GAPDH* promoter by dCas9 methyltransferases, we
31 453 investigated the genome-wide off-target methylation characteristics by CRISPR dCas9
32 454 methyltransferases using whole-genome bisulfite sequencing (WGBS). WGBS were conducted in
33 455 HEK293T cells transfected with (i) pUC19 (control), (ii) dCas9-BFP-DNMT3A or dCas9-BFP-
34 456 DNMT3B alone, and (iii) dCas9-BFP-DNMT3A or dCas9-BFP-DNMT3B with either *uPA* or
35 457 *TGFBR3* gRNAs with two difference doses (50 ng or 500 ng) (Supplementary Fig. S6a). Using
36 458 the Illumina HiSeq X platform, we generated over 100 giga bases (Gb) of clean data for each
37 459 sample (more than 30X coverage with a 99.5% bisulfite conversion rate). This allowed us to
38 460 analyze the methylation pattern at single-base pair resolution. Since mainly CpG dinucleotides
39 461 are subject to methylation in HEK293T cells (Supplementary Fig. S6b), all following analyses
40 462 are based on CpG methylation in the entire genome (approximately 40,000,000 CpG sites). We
41 463 firstly examined *uPA*, *TGFBR3* and *GAPDH* promoter methylation as revealed by WGBS in all
42 464 nine groups. WGBS confirmed that the *uPA* and *TGFBR3* gRNAs could target dCas9-BFP-
43 465 DNMT3A or dCas9-BFP-DNMT3B to the *uPA* and *TGFBR3* loci and methylate CpGs flanking the

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4 466 gRNA binding sites in a dose- and gRNA-dependent manner (Fig. 5). Furthermore, our WGBS
5 467 data revealed that some dCas9 methyltransferase-mediated *de novo* methylation of *uPA*,
6 468 *TGFBR3* and *GAPDH* (off-target) promoters occurred in a broad region surrounding the gRNA
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8 469 binding site.
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11 471 Next, we analyzed the global DNA methylation profile. Consistent with the *LINE1* assay (Fig. 3c),
12 472 expression of dCas9 methyltransferase alone or together with gRNAs was not associated with
13 473 global methylation changes (Supplementary Fig. S6c, d). Since we have only one replicate per
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15 474 group and stochastic methylations frequently occur in cancer cells during cultivation [28], we
16 475 analyzed the data with DSS-single (a method developed by Wu et al. for detecting differentially
17 476 methylated regions (DMRs) from WGBS data without replicates [29]) to identify differentially
18 477 methylated regions (DMRs) caused by dCas9 methyltransferase and gRNAs. Firstly, we
19 478 compared cells transfected with dCas9 methyltransferases with or without gRNAs to control cells
20 479 (transfected with pUC19 control plasmid). Over 10,000 hyper or hypo DMRs were identified by
21 480 DSS-single (Supplementary Fig. S7). Secondly, based on the observation that: (1) there is
22 481 dose- and gRNA-dependency of *uPA*, *TGFBR3* and *GAPDH* methylation by dCas9
23 482 methyltransferase and (2) dCas9-BFP-DNMT3A is more efficient than dCas9-BFP-DNMT3B, we
24 483 applied a stringent filtering step to remove potentially stochastic DMRs. Following this filtering, we
25 484 identified over 1000 DMRs resulting from dCas9 methyltransferase together with either *uPA*
26 485 gRNAs (hypermethylated DMRs (hyper-DMRs) = 3671; hypomethylated DMRs (hypo-DMRs) =
27 486 1807) or *TGFBR3* gRNAs (hyper-DMRs = 2267; hypo-DMRs = 1662) (Supplementary Table S2-
28 487 S5). These DMRs were on average 63-81 bp and contained an average of 5-9 CpGs
29 488 (Supplementary Fig. S8). The average methylation levels of these hyper/hypo-DMRs differ
30 489 significantly between pUC19 control cells, cells expressing dCas9 methyltransferase only, cells
31 490 expressing low amounts of dCas9 methyltransferase and gRNAs, and cells expressing high
32 491 amounts of dCas9 methyltransferase and gRNAs (Fig. 6a, Supplementary Fig. S9a). Only a
33 492 very small portion of the DMRs (hyper-DMRs = 192; hypo-DMRs = 81) were commonly found
34 493 among DMRs caused by dCas9 methyltransferase and *uPA* compared to *TGFBR3* gRNAs (Fig.
35 494 6b), suggesting that the majority of the off-target DMRs are RNA-guided. Taken together, our
36 495 WGBS result revealed that expression of dCas9 methyltransferases together with gRNAs can
37 496 cause substantial off-target methylation.
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52 498 **4.5 Characteristics of dCas9 methyltransferase off-targets**

53 499 To better describe the characteristics of dCas9 methyltransferase off-targets, we stratified hyper-
54 500 and hypo-DMRs according to their localization in particular types of genomic regions, including
55 501 promoters, coding sequences (CDS), introns, 5' untranslated regions (5-UTR), 3-UTR, CpG
56 502 islands (CGI), CGI shores, Alu sequences, LINE1 (L1) sequences, and LINE2 (L2) sequences.
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503 Our results showed that hyper-DMRs were predominantly enriched in promoters, 5-UTR and CGI,
504 whereas hypo-DMRs were enriched in repeated sequences Alu and LINE1 (Fig. 6c-d,
505 Supplementary Fig. S9b-c). Consistent with this finding, a metaplot of average methylation
506 levels for all genes before the DSS-single call also showed that transcription start site flanking
507 regions (overlapping with promoters and 5'UTR) were hypermethylated in cells expressing dCas9
508 methyltransferase and gRNAs (Supplementary Fig. 10).

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510 Since dCas9 preferentially binds open chromatin regions [30], we further analyzed DNase I
511 hypersensitivity regions based on ENCODE data from HEK293T cells (GEO#: GSM1008573) and
512 quantified the average methylation level in DNase I hypersensitivity sites (DHS) (as an indication
513 of sites with an open chromatin state). The DHS flanking regions (1 kb upstream and
514 downstream) were used as a control. Compared to cells transfected with pUC19, cells expressing
515 dCas9 methyltransferase and gRNAs had significantly higher methylation levels in the DHS sites
516 (P value < 0.05; Wilcoxon matched-pairs signed-rank test) (Fig. 6e, Supplementary Fig. 9d).
517 Furthermore, only hyper-DMRs but not hypo-DMRs were significantly enriched in DHS (P value <
518 $1e-300$, Fisher's exact test, Fig. 6f-g and Supplementary Fig. 9e-f), which collectively suggests
519 that open chromatin regions are prone to unspecific methylation by dCas9 methyltransferase and
520 gRNAs.

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522 Previous studies have discovered that complementary base pairing between gRNA guide
523 sequences and the PAM-proximal 5nt region (5ntSEED-PAM) is crucial for off-target binding [30,
524 31]. We also assessed the density of individual gRNA 5ntSEED-PAM sequence (5'-NNNNNNGG-
525 3') in the hyper- and hypo-DMRs. For each DMR, we included the 100-bp flanking sequences
526 when calculating the presence of 5ntSEED-PAM sequence density. This is based on the previous
527 observation that dCas9 methyltransferases methylate CpGs flanking the gRNA binding site. We
528 consistently observed significant enrichment of 5ntSEED-PAM sequences for all gRNAs in the
529 hyper-DMRs but not hypo-DMRs (Fig. 6h, Supplementary Fig. 9g). Taken together, this shows
530 that, if guided by gRNAs, dCas9 methyltransferases can cause substantial off-target methylation
531 of genomic regions with open chromatin accessibility i.e. promoters and 5'UTR, as well as CpG
532 islands. Our finding between the off-target methylation and the chromatin accessibility is also
533 consistent with our recent discovery that CRISPR/Cas9 cleaves more efficiently in euchromatin
534 than heterochromatin regions [32].

535
536 **4.6 dCas9 methyltransferase-mediated hypermethylated DMRs are weakly correlated with**
537 **off-target binding**

538 To further investigate the association between dCas9 methyltransferase off-target methylation
539 and dCas9 off-target DNA binding, we studied off-target binding sites in HEK293T cells

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540 expressing dCas9 methyltransferase and *uPA* gRNAs using ChIP-seq. Using pair-wise
541 comparison as previous approach for dCas9 [31], 805 enriched peaks (P value < 0.001,
542 **Supplementary Table S6**) were identified. These ChIP peaks were scattered throughout the
543 genome and significantly enriched in DHS genomic regions (**Fig. 7a, b**). Using MEME motif
544 scanning of ChIP peaks [33], we identified the most significant motif GGGAGAGGGAGNGG (P =
545 1.0e-593). This motif is identical to the 11-bp seed sequences of *uPA* gRNA T2
546 (GAGCCGGGCGGGAGAGGGAG(GGG)) and the PAM (NGG) site (**Fig. 7c**), suggesting that T2
547 is dominant compared to other *uPA* gRNAs in mediating off-target binding. Analysis of 5ntSEED-
548 PAM sequence density further confirmed that *uPA* T2 binding sites were over-represented in the
549 ChIP peaks (**Fig. 7d**). A previous study has shown that the choice of gRNAs has a great effect on
550 dCas9 off-target binding [31]. The *uPA* gRNA T2 is highly G-rich or AG-rich in the seed region.
551 This can potentially be the cause of most of the off-target activities. This could be the explanation
552 of why we have found 40 times more off-target binding sites compared to the study by Liu et al.
553 [34].

554
555 We next analyzed the correlation between the ChIP peaks and the *uPA* DMRs (including the
556 flanking 100 bp of each DMR). There is a significantly increased overlap between ChIP peaks
557 and *uPA* hyper-DMRs (p = 0.006, Fisher's exact test) but not *uPA* hypo-DMRs (p = 1, Fisher's
558 exact test) (**Fig. 7e**). However, the percentage of *uPA* hyper-DMRs overlaps with ChIP peaks is
559 still very low (11 out of 3671 hyper DMRs, 0.3%). Since the average methylation level of all ChIP
560 peak regions exceeds 60% (**Supplementary Fig. 11**), and this may partially explain why there is
561 a low correlation between ChIP peaks and DMRs given potential functional difficulty in further
562 increasing the methylation level. Furthermore, ChIP-seq only identified sites to which the dCas9
563 methyltransferase binds strongly.

564 565 **4.7 Effects of dCas9 methyltransferases on gene expression**

566 Methylation of promoter DNA can be correlated with inhibition of gene transcription. To determine
567 whether the dCas9 methyltransferase-mediated *uPA* and *TGFBR3* promoter methylation could
568 inhibit gene expression, we measured *uPA* and *TGFBR3* mRNA levels by quantitative PCR
569 (qPCR) in HEK293T cells. Compared to the pUC19 transfection control, both *uPA* and *TGFBR3*
570 expression was significantly decreased in cells expressing dCas9-BFP-DNMT3A or dCas9-BFP-
571 DNMT3B and either *uPA* or *TGFBR3* gRNAs (**Fig. 8a**). However, the reduced *uPA* and *TGFBR3*
572 expression does not appear to be only associated with the *de novo* DNA methylation by dCas9
573 methyltransferases (**Fig. 8a**), as inactivating dCas9 methyltransferase mutants dCas9-BFP-
574 DNMT3A(E752A) and dCas9-BFP-DNMT3B(E697A) also cause similar degrees of expression
575 inhibition despite their lack of *de novo* DNA methylation activity.

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4 577 To investigate whether the inhibition of gene expression is specific to the gRNA targeted genes,
5 578 we conducted RNA sequencing in HEK293T cells expressing dCas9 methyltransferase and uPA
6 579 gRNAs. A large number (> 1000) of differentially expressed genes (DEG) significantly (FDR P
7 580 value < 0.001, fold change > 2) were found in cells expressing uPA gRNAs and either dCas9-
8 581 BFP-DNMT3A or dCas9-BFP-DNMT3B (Fig. 8b-c). However, similar effects on the global
9 582 transcription profile were observed in cells expressing uPA gRNAs with dCas9-BFP-DNMT1 or
10 583 with dCas9-BFP-EGFP lacking *de novo* DNA methylation activity (Fig. 8d-e). We cross-compared
11 584 DEGs among the four groups and 342 (18-32%) genes were commonly identified (Fig. 8f). For
12 585 DEGs found in cells expressing dCas9-BFP-DNMT3A and uPA gRNAs, we also performed
13 586 integrative analyses of the expression change, promoter methylation, and promoter binding
14 587 intensity (Fig. 8g). Very weak but significant correlation was identified for a few clusters of DEGs.
15 588 Taken together, these results suggest that the non-specific alteration of transcription is not merely
16 589 caused by promoter methylation or binding of dCas9 methyltransferase. Since uPA is an
17 590 important factor in regulating cell proliferation and inhibition of cell growth was found in cells
18 591 expressing dCas9 methyltransferases and uPA gRNAs (Supplementary Fig. S12), the large
19 592 number of differentially expressed genes might be a result of altered cellular functions. Taken
20 593 together, our results clearly indicate that inhibition of uPA and TGFBR3 expression by dCas9
21 594 methyltransferase and corresponding gRNAs is not merely due to *de novo* DNA methylation of
22 595 their promoters.

23 596
24 597 To investigate whether longer term inhibition of gene expression can be facilitated by dCas9
25 598 methyltransferases, five HEK293T fluorescent reporter cell clones carrying different copies of a
26 599 CMV-mCherry expression cassette (Supplementary Fig. 13a, b) were generated. We quantified
27 600 mCherry level by FACS for two weeks after transfection. We observed that the number of dCas9
28 601 methyltransferase-expressing cells peaked on day 2 and decreased gradually (Supplementary
29 602 Fig. 13c). Maximal inhibition of mCherry levels were observed on day 5 after transfection
30 603 (Supplementary Fig. 13d-h). Compared to other dCas9 fusion proteins, the dCas-BFP-DNMT3A
31 604 fusion resulted in the highest and longest inhibition of mCherry expression in the reporter cells
32 605 (four out of five clones) (Supplementary Fig. 13d-h). The transient and prolonged inhibition
33 606 efficacy varied among the five cell clones. For example, clone 2, which has the lowest copy
34 607 number of transgene, showed the highest transient and longest inhibition by dCas-BFP-DNMT3A
35 608 (Supplementary Fig. 13e). However, expression of mCherry was, in all clones, not significantly
36 609 different from the pUC19 control after two weeks, suggesting that inhibition of gene expression by
37 610 dCas9 methyltransferases is not stably maintained.

611 612 Discussion

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613 Since dCas9 methyltransferases are targeted to a specific genomic locus simply by a small
614 gRNA, this system is more convenient than ZF- or TALE-based methyltransferases [26, 35, 36].
615 Recently, Vojta *et al.* and McDonald *et al.* reported that directly fusing DNMT3A to dCas9 could
616 be used to induce DNA methylation at specific loci in HEK293T cells [15, 16]. Consistent with
617 that, we show that dCas9-BFP-DNMT3A can methylate CpGs flanking the gRNA binding sites in
618 genomic loci, further proving the general applicability of dCas9 methyltransferases for targeted
619 DNA methylation in mammalian cells. In addition, our study shows for the first time that the fusion
620 of dCas9 to DNMT3B is also capable of inducing specific DNA methylation, although the
621 efficiency is lower than that of DNMT3A. Additionally, Peter *et al.* showed that the dCas9-
622 DNMT3A-DNMT3L fusion can further improve *de novo* methylation efficiency compared to
623 dCas9-DNMT3A [37]. Together with the reported systems, the dCas9 methyltransferases system
624 reported in this study further broadens the availability and applicability of CRISPR-based
625 reprogramming of DNA methylation. Based on the observation that dCas9 methyltransferases
626 can efficiently methylate the flanking CpG sites from the gRNA binding site, we have developed
627 an open-source web-based gRNA designing tool for dCas9 methyltransferase gRNAs
628 (<http://luolab.au.dk/views/gRNA.cgi>).

629
630 On the basis of extensive gene-specific bisulfite pyrosequencing and whole-genome bisulfite
631 sequencing (WGBS), we identified novel off-target methylation characteristics that appear to be
632 predominantly enriched in promoter, 5'UTR, CGI, and open chromatin regions. Since most of
633 these genomic regions are hypomethylated in HEK293T cells, it was expected that the off-target
634 DMRs were enriched in such regions. In other genomic regions, which already have a high level
635 of methylation, a further methylation by dCas9 methyltransferase is not achievable. We
636 discovered that open chromatin regions are highly prone to off-target methylation by dCas9-
637 methyltransferase. Since the *GAPDH* promoter is located a DHS region, this explains why this
638 region is subjected to highly off-target methylation. To further confirm our finding, we repeat the
639 WGBS experiment in triplicates (Supplementary Fig. 14). Our result confirmed that the hyper-
640 methylated DMRs identified in previous WGBS experiment (Fig. 6a) are significantly increased in
641 cells overexpressing dCas9-BFP-DNMT3A and *uPA* in the repeated experiments
642 (Supplementary Fig. 14a). Consistently, DHS regions were significantly methylated in cells
643 expressing dCas9-BFP-DNMT3A and *uPA* (Supplementary Fig. 14b).

644
645 Our study also revealed the gRNA-dependency of off-target methylation. This is consistent with
646 the observations of McDonald *et al.* and Vojta *et al.* [15, 16]. Additionally, we have discovered
647 that even in the absence of gRNAs, expression of the dCas9-BFP-DNMT3A or dCas9-BFP-
648 DNMT3B alone can cause some unspecific DNA methylation. This gRNA-independent off-target
649 methylation effect is even more pronounced when too many dCas9 methyltransferases, or the

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650 DNMT3A catalytic domain, enter the nucleus. For example, increasing dCas9 methyltransferase
651 expression level, fusing the catalytic domain of DNMT3A or DNMT3B directly to Cas9 without the
652 BFP linker, or overexpressing the DNMT3A catalytic domain will cause increased gRNA-
653 independent off-target methylation (see extended data and description in **Supplementary File 1**).

654
655 In this study, we found that expressing dCas9 methyltransferases and gRNAs could also cause
656 significant demethylation of genomic regions enriched in repeated sequences. Repeated
657 sequences, which make up more than half of the human genome, are generally highly
658 methylated, and their dynamics, to some extent, are associated with normal development and
659 tumorigenesis. A previous study of methylation in repeated sequences has shown that, with
660 increasing age from adulthood, there is a global decrease in DNA methylation in repeated
661 sequences and intergenic genome sequences [38]. We also observed that expression of dCas9
662 methyltransferase alone or together with gRNA can inhibit HEK293T cell growth (**Supplementary**
663 **Fig. 12**). The hypo-methylated DMRs could potentially be the result of inhibited cell proliferation by
664 dCas9 methyltransferase and gRNAs. This should be investigated in future studies.

665
666 Improvement of dCas9 methyltransferase specificity, to minimize the gRNA-dependent and
667 gRNA-independent off-target activity, is crucial for future applications of the technology.
668 McDonald, et al., has observed significant reduction in off-target methylation using DOX inducible
669 dCas9-DNMT3A. Consistent with these findings, we found that reducing the dCas9
670 methyltransferase and gRNA expression levels, as well as lowering the dCas9 methyltransferase
671 nuclear entry efficiency (**Supplementary File 1**), can reduce off-target methylation. However, this
672 approach also reduced on-target methylation levels accordingly. Thus, this may not represent a
673 plausible way of increasing the specificity of the system. New approaches should be developed to
674 reduce off-target methylation while maintaining sufficient on-target methylation efficiencies. The
675 results presented in this study highlight the importance of inclusion of extensive controls in
676 subsequent experiments, such as catalytically inactive dCas9 methyltransferase mutants,
677 scrambled gRNAs, and gRNA free settings. This is necessary for reliable interpretations of
678 correlations between specific DNA methylation events by dCas9 methyltransferase, gene
679 expression regulation and phenotypic effects.

680
681 In this study, we have observed that dCas9 methyltransferases can efficiently inhibit expression
682 of genes in human cells. However, the transient inhibition of gene expression could be resulted
683 from both promoter methylation and blockage of transcription by dCas9 methyltransferases. A
684 previous study reported that targeted DNA methylation by a zinc finger-based methyltransferase
685 is not stably maintained [39]. Our time-course experiments to study the inhibition of gene
686 expression is gradually decreased during *in vitro* expansion of the transfected cells. This could be

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687 the result of removal of the *de novo* established epigenetic marks, dilution of the **dCas9**
688 **methyltransferase** expression plasmids, and/or negative selection of the cells expressing **dCas9**
689 **methyltransferases**. We **also realize that** DNA methylation and gene expression **analyses** were
690 conducted in cells transiently transfected with **dCas9 methyltransferase** expression plasmids,
691 which might lead to **severe** overexpression of the **dCas9 methyltransferases**. Thus, future studies
692 **could benefit from being conducted** in cells stably or conditionally expressing **low copy numbers**
693 of **dCas9 methyltransferase** to minimize off-target methylation. Taken together, **our study is the**
694 **first to reveal novel characteristics of the on-target and off-target DNA methylation by dCas9**
695 **methyltransferases on a genome-wide scale with single-base resolution and highlights the need**
696 **for development of CRISPR DNA methylation editing systems with higher specificity.**

697
698 **Conclusions**

699 The **dCas9 methyltransferases** presented here, and other dCas9 fusion protein systems
700 described previously [11, 12, 15, 16], provide useful tools for targeted epigenome editing.
701 Continued improvement of the specificities of these systems and combining tools to enable
702 simultaneous modification of multiple histones and DNA loci will enable more precise and stable
703 regulation of gene structure and function. Such CRISPR gRNA-guided programmable epigenetic
704 modification tools will hopefully have broad research applications to delineate the association
705 between specific epigenetic changes, gene-expression regulation, and phenotypes.

706
707 **Availability of supporting data**

708 RNA sequencing, WGBS, and ChIP-seq data are available from the publicly available repository
709 (GEO).
710 RNA-seq: GSE74935
711 **WGBS: GSE92310, GSE92311**
712 ChIP-seq: GSE92261

713
714 **Declarations**

715 **Competing Interests Statement**

716 The authors declare no competing financial interests.

717
718 **Author contributions**

719 L.L., L.B. and Y.L., conceived the idea.
720 H.Y., J.W., L.B., X.X., A.L.N., and Y.L. planned and oversaw the study
721 L.L., Y.Liu., F.X., J.H., T.F.D., T.S.P., B.H., L.Y., Q.Z., F.F., S.L., K.T.J. L.F., E.S., and Y.L.
722 performed experiments and analyzed the data.
723 L.L., J.H., and Y.L. prepared the figures.

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724 L.L. and Y.L. drafted the manuscript and all authors revised the manuscript.

725

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733 Denmark.

734

735 **Abbreviations**

736 CRISPR: Clustered Regularly Interspaced Short Palindromic Repeats

737 Cas9: CRISPR-associated protein 9

738 dCas9: Nuclease deficient Cas9 or dead Cas9

739 FACS: Fluorescence-activated cell sorting

740 WGBS: whole-genome bisulfite sequencing

741 CGI: CpG island

742 UTR: Untranslated region

743 DHS: DNase I hypersensitivity sites

744 PAM: Protospacer adjacent motif

745 gRNA: guide RNA

746 ZF: zinc finger protein

747 TALE: transcription-activator-like effectors

748 qPCR: Quantitative PCR

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875 **Figure captions**

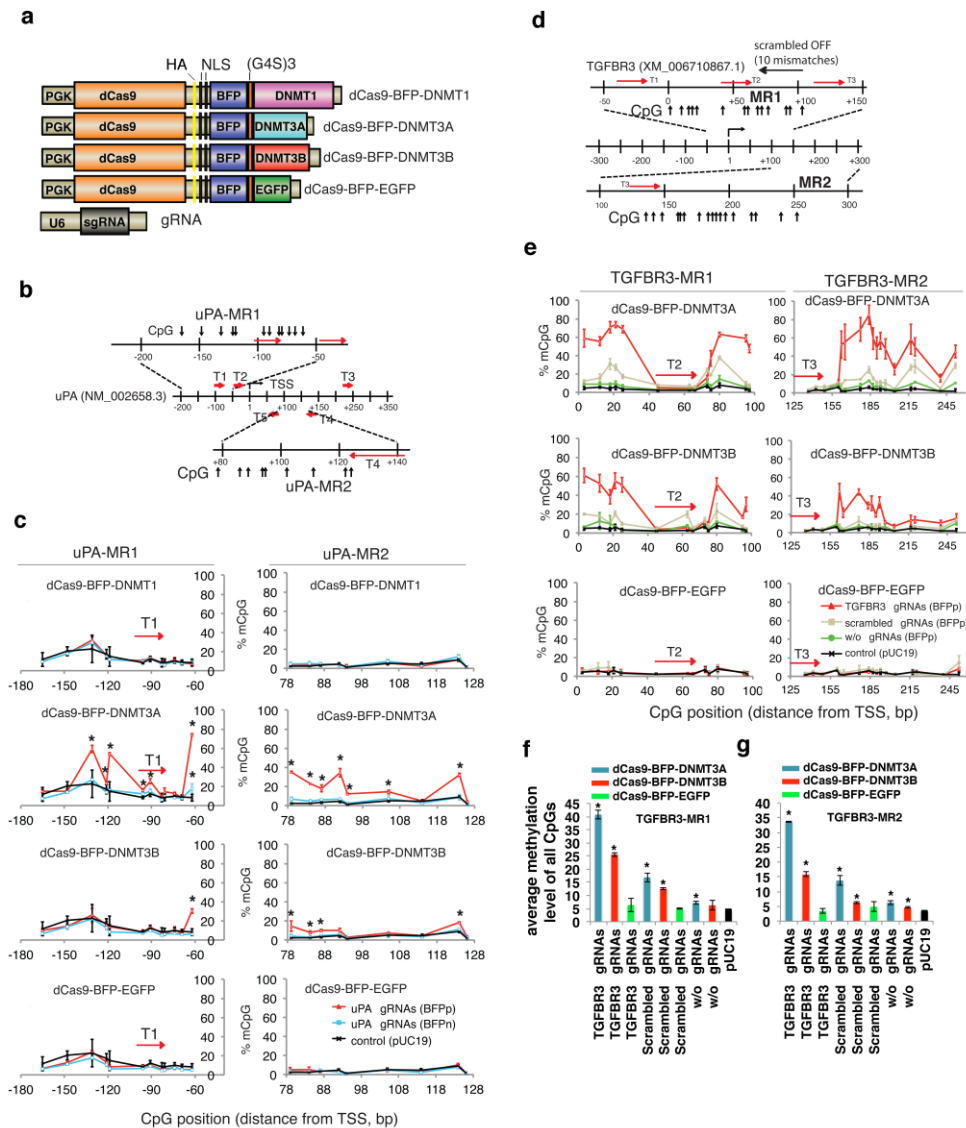


Fig. 1 De novo uPA and TGFBR3 methylation by RNA-guided dCas9 methyltransferases

(a) Schematic illustration of the dCas9 methyltransferase expression vectors. PGK: phosphoglycerate kinase promoter; G4S: GGGGS linker; NLS: nuclear localization signal; U6: human U6 promoter.

(b) Schematic illustration of the uPA promoter and gRNA target sites (T1-T5), two uPA methylated regions (uPA-MR1, uPA-MR2) and CpGs analyzed by bisulfite pyrosequencing. TSS: Transcription start site. Numbers indicate distances in base pairs from TSS.

(c) Line plots of the percentage of methylated CpGs (mCpG). Red line: the BFP positive cells (BFPp). Light blue line: BFP negative cells (BFPn). Note that %mCpG in control cells transfected with pUC19 has been re-plotted as a reference (black line). BFPn cells include cells expressing

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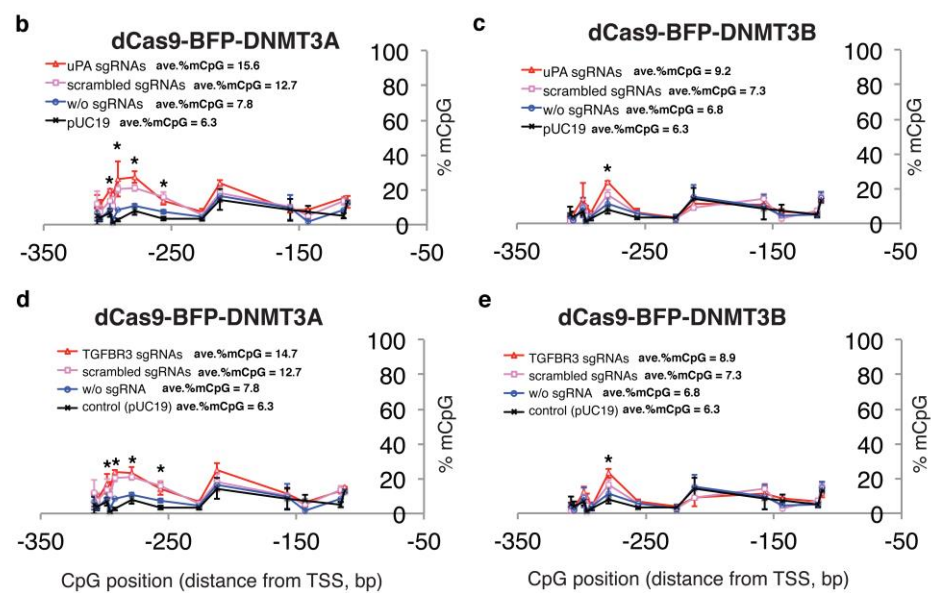
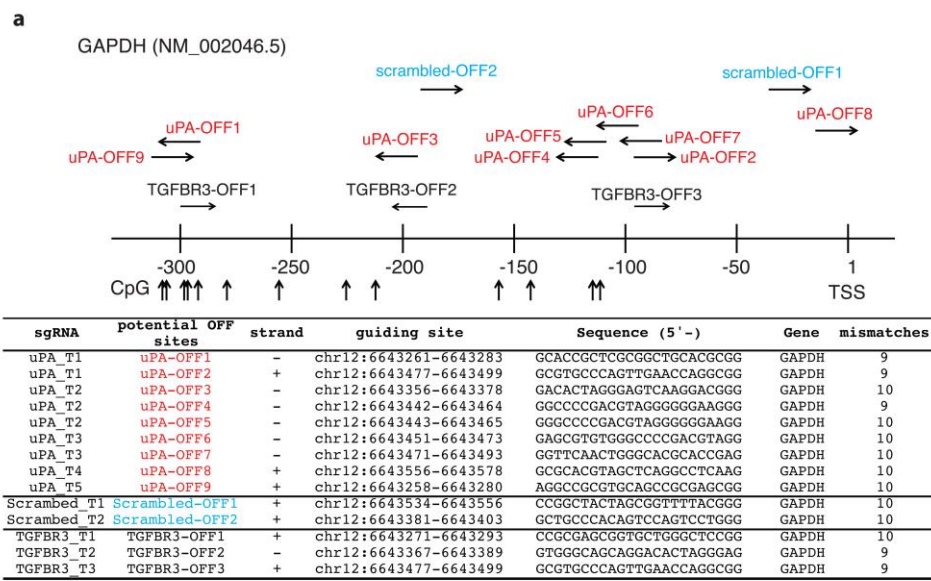
887 very low level of dCas9 methyltransferase. Each data point represents mean \pm SD (n = 2-4).
888 Asterisk (*) indicates statistical significance (p < 0.05) compared to the control after Bonferroni
889 correction.

890 **(d)** Schematic illustration of the human *TGFBR3* promoter locus, *TGFBR3* gRNA binding sites
891 (red arrows), potential off-target binding sites (black horizontal arrows) of the scrambled gRNA,
892 and CpG sites.

893 **(e)** Line plots of % mCpG at the *TGFBR3* promoter in cells expressing dCas9 methyltransferase
894 with (red line) or without (green line) *TGFBR3* gRNAs, or with the scrambled gRNAs (gray line).
895 Note that %mCpG in control cells transfected with pUC19 has been re-plotted as a reference
896 (black line). Each data point represents mean \pm SD (n = 2-5).

897 **(f-g)** Bar chart of average methylation levels for *TGFBR3*-MR1 **(f)** and *TGFBR3*-MR2 **(g)** CpG
898 sites. Values represent mean \pm SD (n = 3). Asterisk (*) represents P value < 0.05 compared to
899 pUC19 (ANOVA).

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Fig. 2 Off-target methylation of GAPDH promoter by dCas9 methyltransferases and gRNAs

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904 (a) Schematic illustration of the *GAPDH* promoter. Potential off target sites and CpGs analyzed
905 by bisulfite pyrosequencing are indicated. Sequences of potential off-target binding sites by *uPA*,
906 *TGFBR3* and scrambled gRNAs with maximum 10 mismatches are listed.

907 (b-d) Line plots of *GAPDH* promoter methylation in FACS-sorted HEK293T cells 48 hours after
908 transfection with **dCas9 methyltransferases** and gRNAs. The methylation profiles from the
909 pUC19-transfected samples were re-plotted as reference. Each data point in the graph
910 represents the mean \pm SD (n = 2 independent transfections). Average methylation levels for all
911 CpGs analyzed are presented next to line legends. Asterisks (*) represent P value < 0.05
912 compared to pUC19 (ANOVA).

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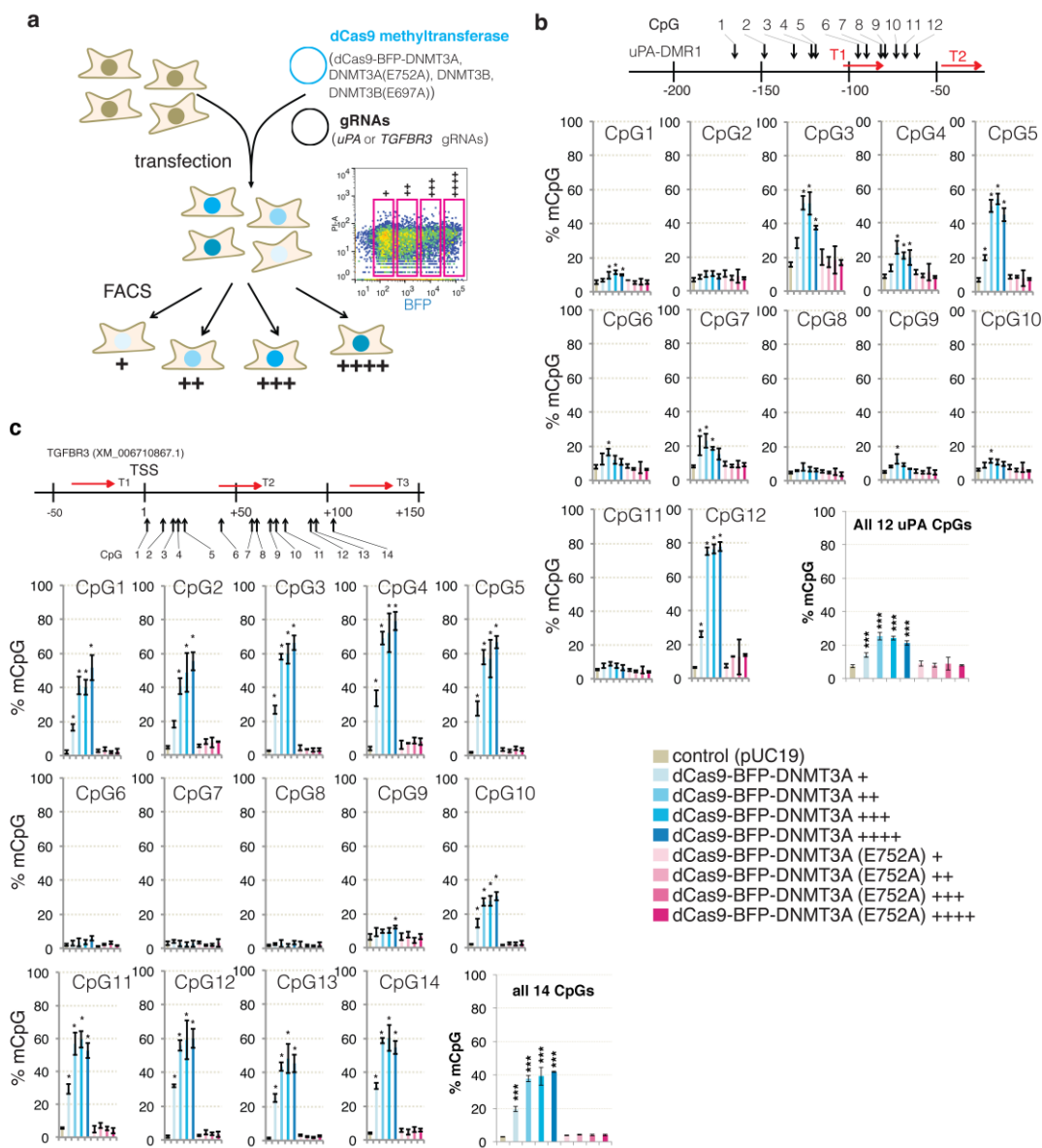


Fig. 3 On-target methylation by dCas9 methyltransferases

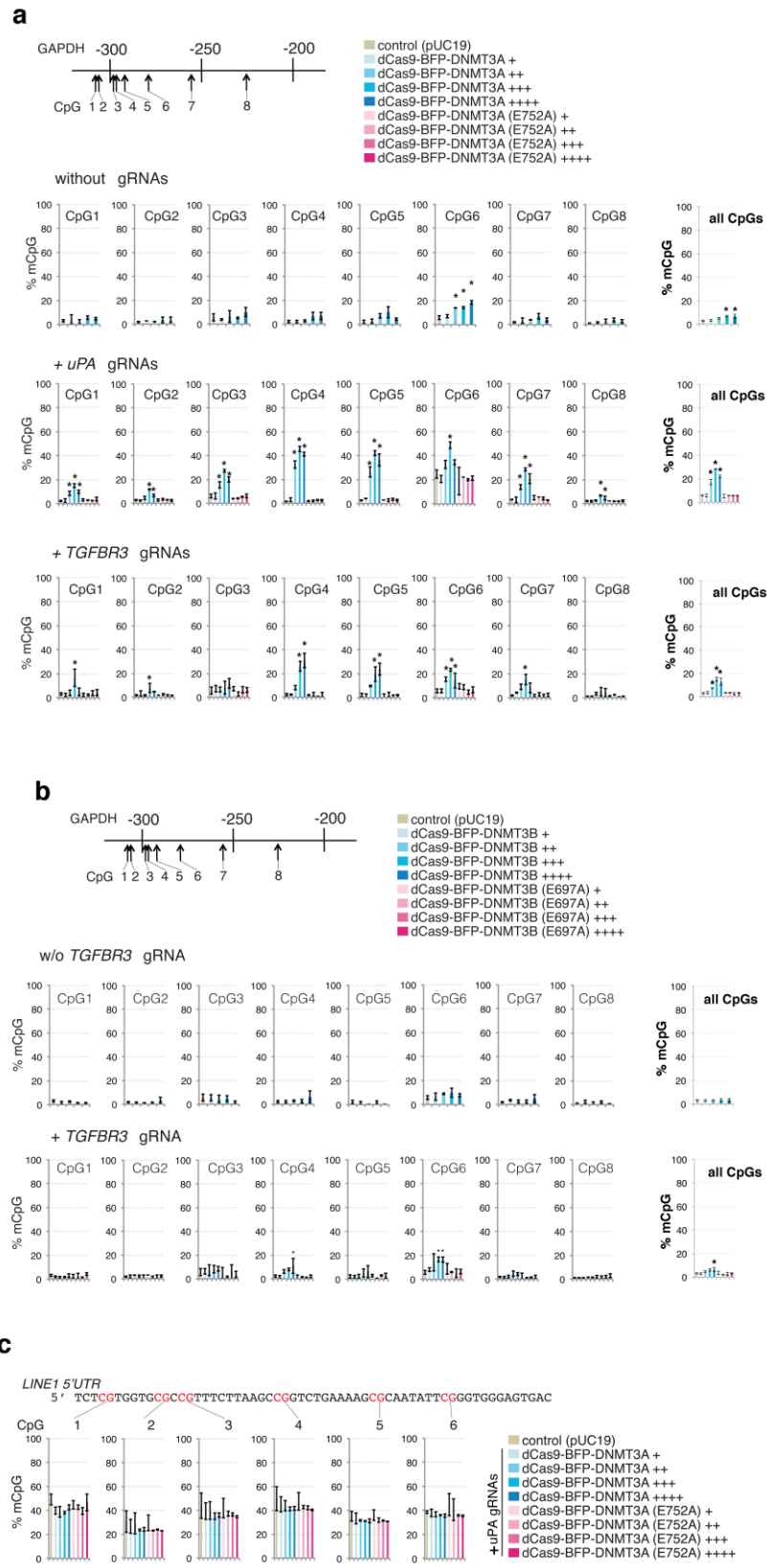
(a) Schematic illustration of the experiment. dCas9 methyltransferase-expressing cells were enriched by FACS 48 hours after transfection and sorted according to the BFP signal: +, ++, +++, +++++. Right: Representative FACS plot and gating.

(b-c) Bar charts indicating % mCpG for individual CpG and average values of all CpG sites in the uPA (b) and TGFBR3 (c) target regions. The schematic illustrations above the bar graphs show gRNA binding sites and CpG sites analyzed. Value represents mean \pm SD (n = 3). Asterisk (*) indicates statistical significance (p < 0.05, ANOVA) compared to the control after Bonferroni correction. Figure legend for bar graphs in (b) and (c) is presented at bottom-right.

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929 **Fig. 4 Off-target methylation by dCas9 methyltransferases**

930 **(a)** Bar charts indicating % mCpG at individual CpGs and total % mCpG (8 CpG sites) for the
931 *GAPDH* promoter in cells expressing different levels (BFP signal: +, ++, +++, +++) of dCas9-
932 BFP-DNMT3A or dCas9-BFP-DNMT3A(E752A) alone or together with either *uPA* or *TGFBR3*
933 gRNAs.

934 **(b)** Bar charts indicating % mCpG in the *GAPDH* promote in cells expressing different levels (BFP
935 signal: +, ++, +++, +++) of dCas9-BFP-DNMT3B or dCas9-BFP-DNMT3B(E697A) alone or with
936 *TGFBR3* gRNAs.

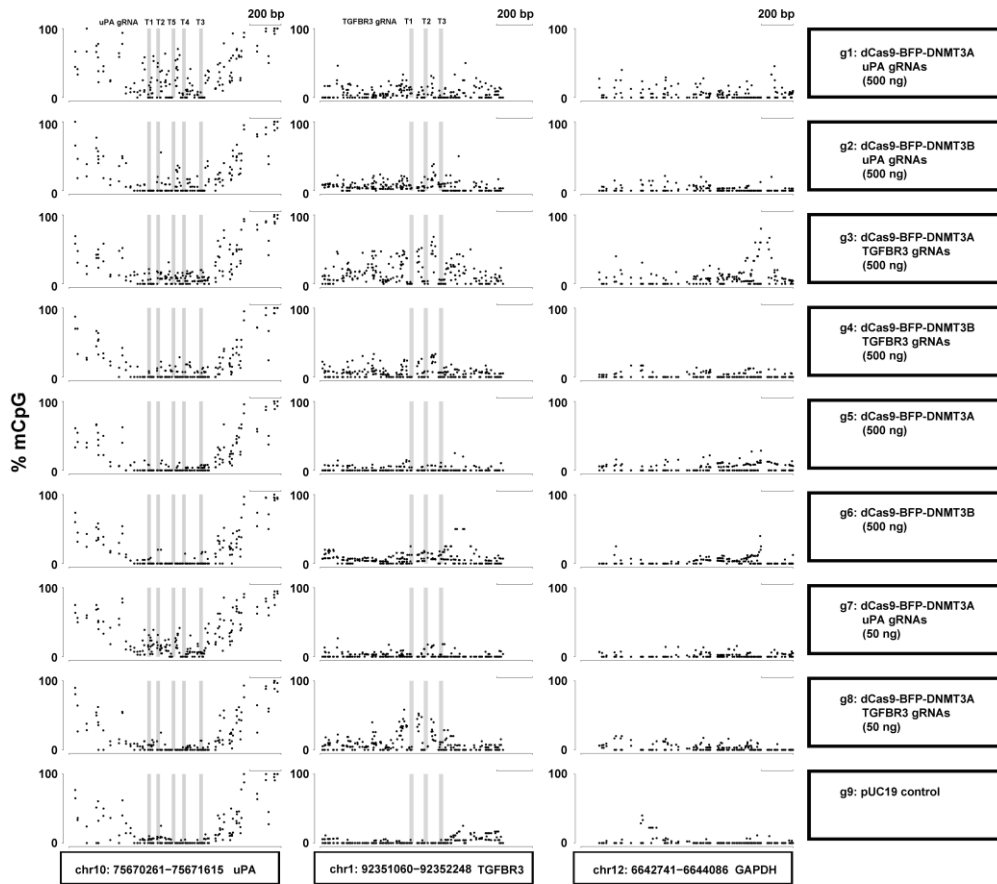
937 **(c)** *LINE1* 5'UTR methylation in cells expressing *uPA* gRNAs with different levels of either dCas9-
938 BFP-DNMT3A or dCas9-BFP-DNMT3A(E752A). Cells transfected with pUC19 were used as
939 controls. Values represent mean \pm SD (n = 3). Asterisks (*) represent P value < 0.05 (ANOVA)
940 compared to pUC19.

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Fig. 5

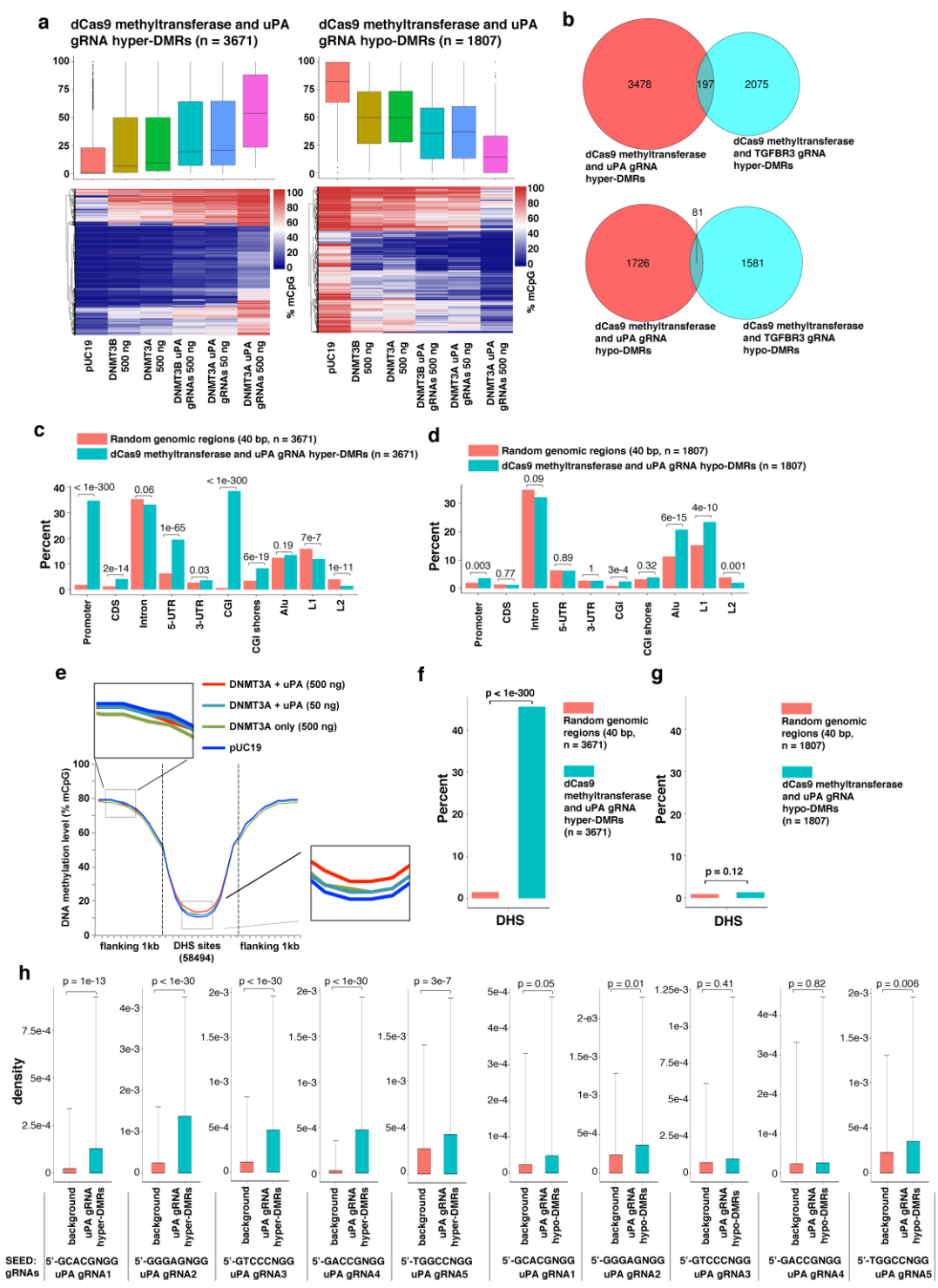


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944 **Fig. 5 De novo methylation of *uPA*, *TGFBR3* and *GAPDH* promoters by dCas9**
945 **methyltransferase measured with WGBS. Dot plots of % mCpG for individual CpG sites in the**

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946 *uPA*, *TGFBR3* and *GAPDH* promoter regions. Each dot represents one CpG site. Right panel
947 indicates the transfected plasmids. mCpG levels were quantified by WGBS. Scale bar, 200 bp.
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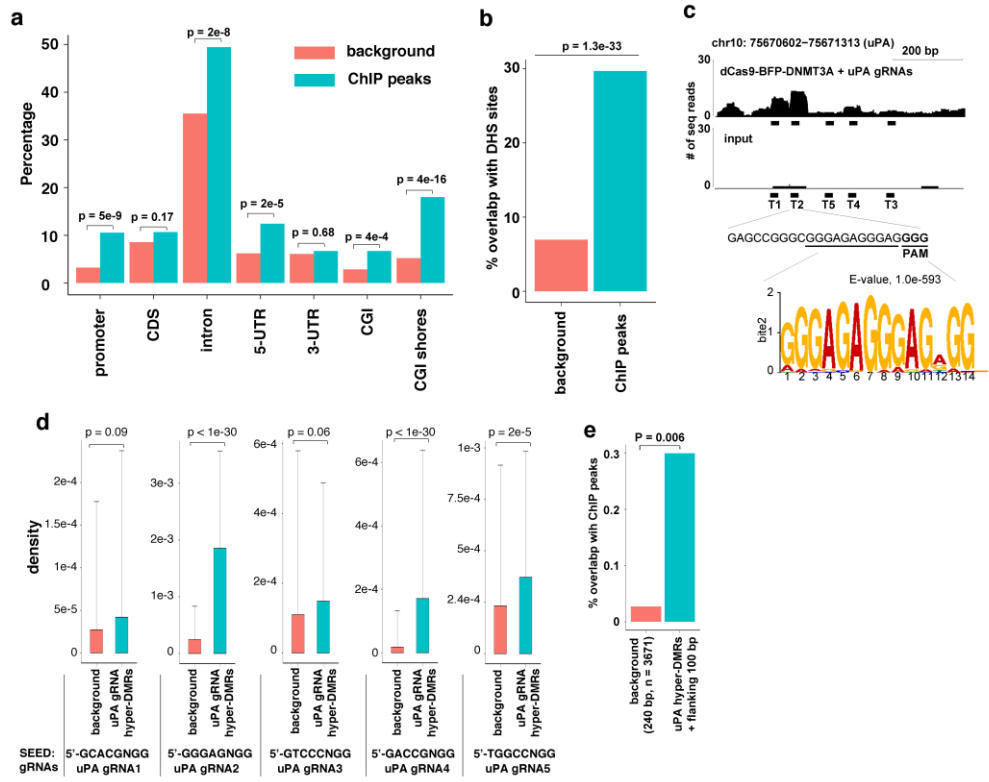
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Fig. 6 Genomic characteristics of off-target DMRs caused by dCas9 methyltransferases and uPA gRNAs

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953 (a) Box plot (top) and heatmap clustering (bottom) of the hypermethylated (left) and
954 hypomethylated (right) DMRs resulting from dCas9 methyltransferases and *uPA* gRNAs.
955 (b) Venn diagram presentation of hypermethylated (top) or hypomethylated (bottom) DMRs
956 caused by dCas9 methyltransferases and *uPA* gRNAs compared to *TGFBR3* gRNAs.
957 (c-d) Bar chart illustrating the percentage of the identified *uPA* hypermethylated (c) or
958 hypomethylated (d) DMRs that fall into the different types of genomic regions indicated.
959 Background represents a random sample of the same number of similar sized genomic windows
960 that fall into the categories indicated. Values above bars are P values between background and
961 *uPA*-DMRs (Fisher's exact test).
962 (e) Metaplot of average CpG methylation levels in 58,494 DNase I hypersensitive sites (DHS) and
963 1 kb upstream and downstream flanking regions.
964 (f-g) Bar chart of % *uPA* hypermethylated (f) or hypomethylated (g) DMRs falling into DHS core
965 regions.
966 (h) Density of 5nt-SEED-NGG for *uPA* gRNAs (T1 to T5) in background genomic windows and
967 *uPA* DMRs + flanking 100 bp. Values represent median density with one standard deviation. P
968 values (t-test) are given above the bar charts.
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972 **Fig. 7 Correlation between dCas9-BFP-DNMT3A off-target binding and off-target**
973 **methylation**

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974 (a) Bar chart illustrating the percentage of ChIP peaks from cells expressing dCas9-BFP-
975 DNMT3A and uPA gRNAs or background control regions (random sampling of the same number
976 of similar sized genomic windows as the ChIP peaks) falling into the different types of genomic
977 regions indicated. P-values between background and ChIP peaks indicated above bars, Fisher's
978 exact test.

979 (b) Bar chart of % ChIP-peaks falling into DHS core regions.

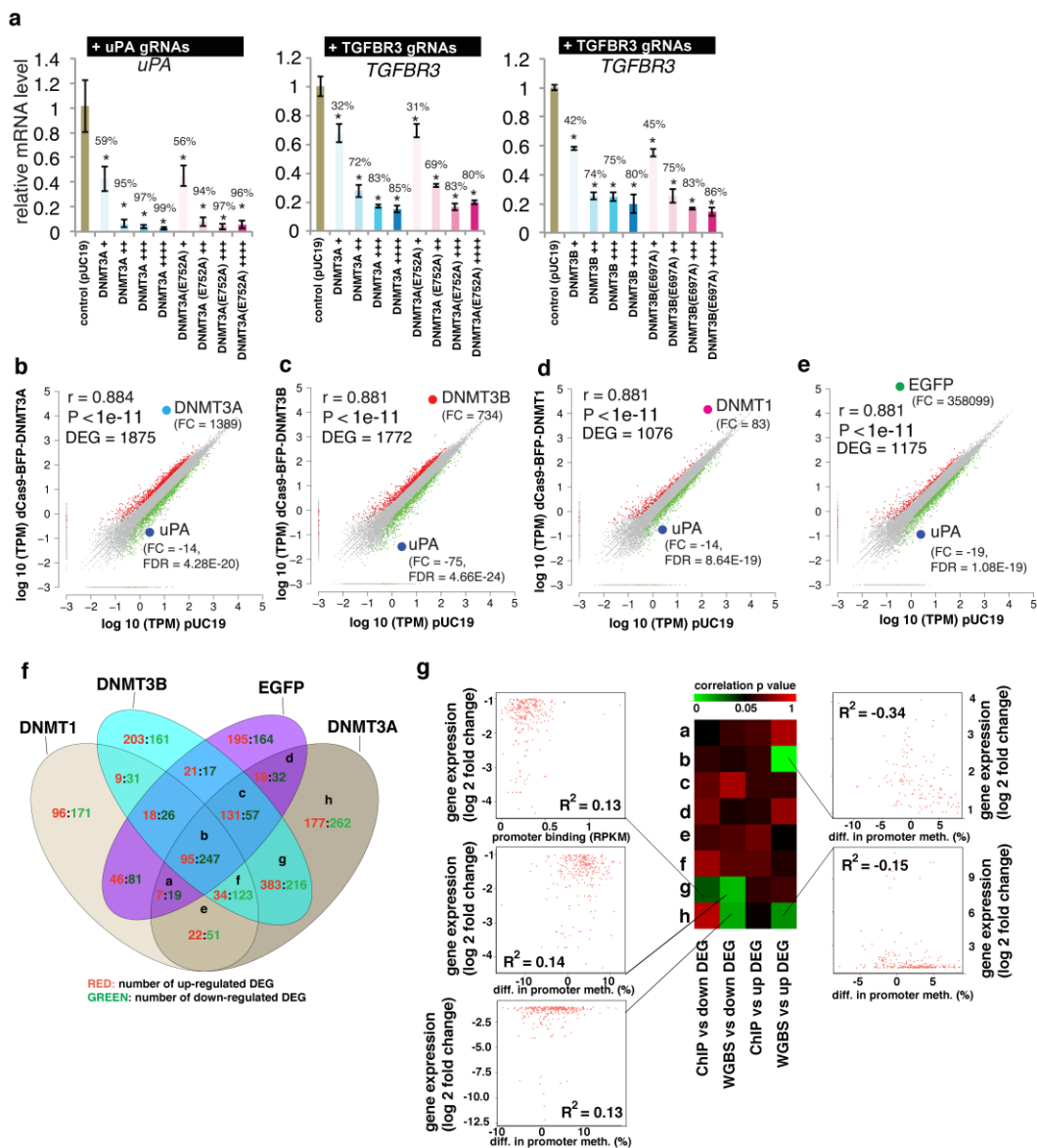
980 (c) Representative plot of ChIP-seq reads in the *uPA* promoter, uPA gRNA T2 sequences, and
981 the top motif identified by MEME-ChIP.

982 (d) Density of 5nt-SEED-NGG for uPA gRNAs (T1 to T5) ChIP peaks. Background is a random
983 sample of the same number of similar sized genomic windows as ChIP peaks. Values represent
984 median density with one standard deviation. P values are given for the indicated comparisons (t-
985 test).

986 (e) Bar plot of % ChIP peaks overlapping with hypermethylated DMRs caused by dCas9
987 methyltransferase and *uPA* gRNAs. Background is a random sample of the same number of
988 similar sized genomic windows as DMRs.

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Fig. 8 Effect of dCas9 methyltransferases on gene expression

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993 **(a)** Relative gene expression levels of *uPA* and *TGFBR3* in cells expressing different levels of
994 dCas9-BFP-DNMT3A, dCas9-BFP-DNMT3B, dCas9-BFP-DNMT3A(E752A), or dCas9-BFP-
995 DNMT3B(E697A). mRNA expression was measured by qPCR and quantified as fold change
996 compared to control cells transfected with pUC19. Bar charts depict mean change in mRNA level
997 compared to pUC19 controls. Data represent mean \pm SD (n = 3 independent transfections). Mean
998 percentage decrease in mRNA level compared to pUC19 is presented on top of bars. Asterisks
999 (*) represent P value < 0.05 compared to pUC19.

1000 **(b-e)** Dot plots of log₁₀ (transcripts per million (TPM)) for all genes expressed in the BFP positive
1001 (BFPp) cells expressing *uPA* gRNAs (T1-T5) and dCas9-BFP-DNMT3A **(b)**, dCas9-BFP-
1002 DNMT3B **(c)**, dCas9-BFP-DNMT1 **(d)**, or dCas9-BFP-EGFP **(e)** plotted against log₁₀ (TPM) in a
1003 pUC19 control group. Differentially expressed genes (DEG) are marked in red (up-regulated) and
1004 green (down-regulated) (fold change \geq 2, FDR < 0.001). Fold changes compared to pUC19 and
1005 FDR p-values for DNMT1, *DNMT3A*, *DNMT3B*, *EGFP*, and *uPA* are shown.

1006 **(f)** Venn diagram representation of cross-comparison of DEGs.

1007 **(g)** Integrative analysis of gene expression change, promoter methylation and promoter binding
1008 caused by dCas9-BFP-DNMT3A and *uPA* gRNAs for the different clusters of DEGs. Heatmap
1009 represents linear regression p values. Dot plots were given for significant correlations (p < 0.05).

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1016 Supplementary Figure Legends

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1018 Supplementary Fig. S1 Validation of dCas9 methyltransferase expression and uPA
1019 promoter methylation

1020 (a) Schematic overview of the human DNA methyltransferases (DNMT1, DNMT3A and DNMT3B)
1021 with the N-terminal regulatory region, a C-terminal catalytic domain (CD), and the cytosine C5-
1022 DNA methyltransferase motifs highlighted. The first amino acid (a.a) residue of the C-terminal
1023 catalytic domain, which was fused to the dCas9, is indicated by an arrow.

1024 (b) Representative FACS sorting and Re-analysis of HEK293T cells 48 hours after transfection.
1025 Gating for BFP positive (BFPp) and negative (BFPn) cells are indicated.

1026 (c) Laser scanning microscopy of dCas9 methyltransferase expression in HEK293T cells, 48
1027 hours after transfection. The BFP signal from the dCas9-BFP-DNMT1 transfected cells was
1028 enhanced since the BFP signal from the dCas9-BFP-DNMT1 fusion was initially weaker
1029 compared to that from the other three fusion proteins. Scale bar: 20 µm.

1030 (d) Validation of RNA-guided uPA methylation (uPA-MR1) by dCas9-BFP-DNMT3A using bisulfite
1031 Sanger sequencing.

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1033 Supplementary Fig. S2 Validation of dCas9 methyltransferase-mediated TGFBR3
1034 methylation in HEK293T cells by bisulfite Sanger sequencing

1035 TGFBR3 methylation by dCas9 methyltransferase and gRNAs was validated by bisulfite Sanger
1036 sequencing. CpG methylation status is indicated according to the absolute nucleotide position
1037 and color-coded as red, methylated; blue, unmethylated; or white, unknown methylation state
1038 based on the sequencing signal.

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1040 Supplementary Fig. S3 Validation of de novo methylation of uPA by dCas9
1041 methyltransferase and uPA gRNAs

1042 Line plots of uPA-MR2 methylation in cells transfected with pUC19 (control), dCas9-BFP-
1043 DNMT3A or dCas9-BFP-DNMT3B only, and dCas9-BFP-DNMT3A or dCas9-BFP-DNMT3B
1044 together with either uPA gRNAs or scrambled gRNAs.

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1046 Supplementary Fig. S4 Effect of dCas9 methyltransferases on two potential off-target sites
1047 (SH2D3C and FAM221A).

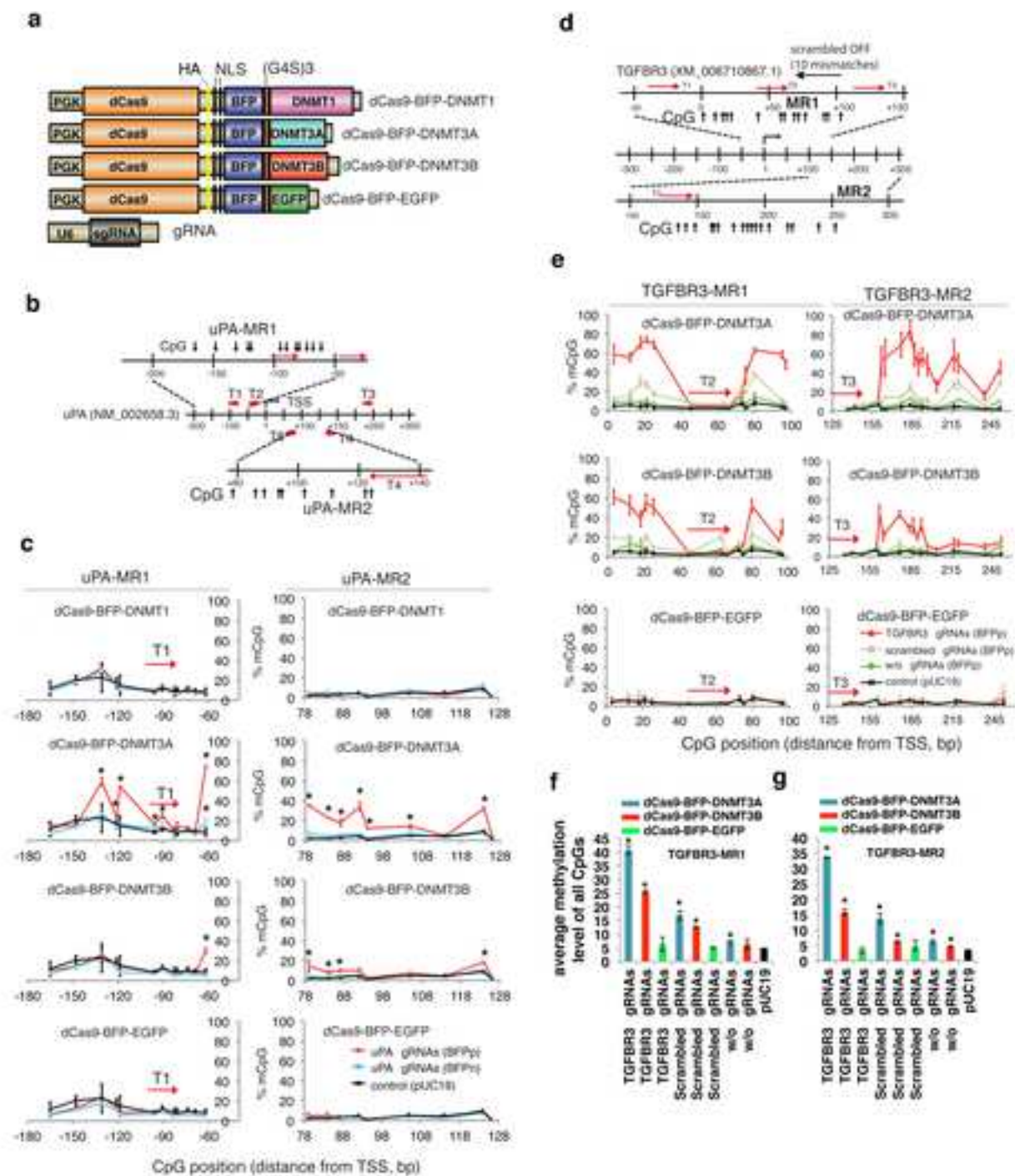
1048 (a-b) Schematic illustration of the SH2D3C (b) and FAM221A (c) off-target loci, with off-target
1049 sites indicated by red arrows. Sequences of uPA gRNA (T2), SH2D3C, and FAM221A off-target
1050 sites are given above, with the PAM (red letters) and mismatches (green letters) indicated. CpGs
1051 analyzed are indicated by black arrows; numbers indicate distances (in bp) from the transcription
1052 start site (TSS) of the gene (SH2D3C, NM_001252334.1) or (FAM221A, XM_011515369.1). Y-

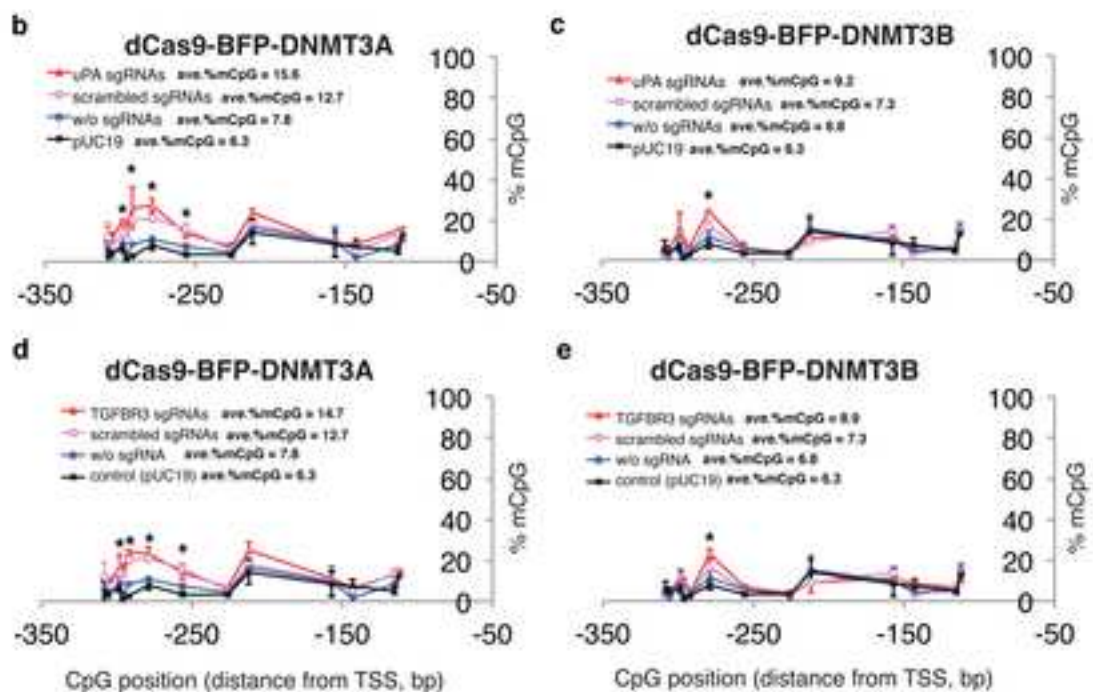
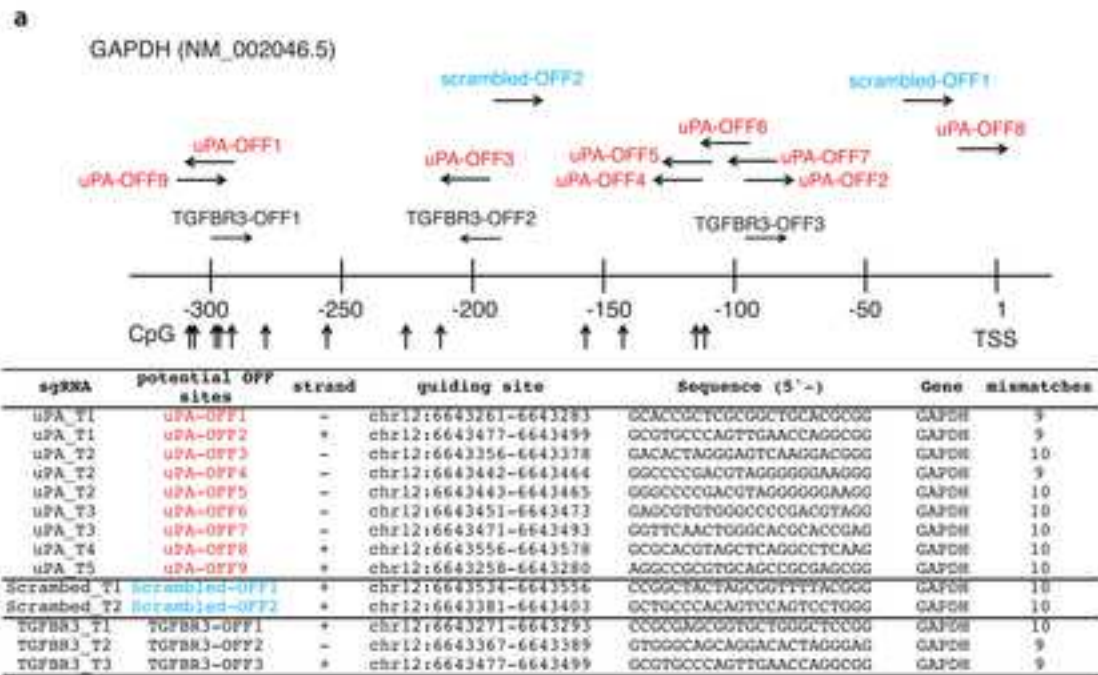
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4 1053 axis represents % mCpG level for each CpG site and X-axis represents distance (in bp) from
5 1054 TSS. The CpG methylation level from the control samples (pUC19 transfection) was re-plotted as
6 1055 a reference. Each data point in the graph represents the mean percentage of CpGs methylated \pm
7 1056 SD (n = 2, independent transfections).
8
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12 1059 **Supplementary Fig. S5 Effects of DNMT3B catalytic activity and expression level on *de***
13 1060 ***novo* TGFBR3 methylation**
14
15 1061 Bar charts of % mCpG level for individual CpG sites of the *TGFBR3* targeted regions in **dCas9**
16 1062 **methyltransferase**-expressing cells. Cells were enriched by FACS 48 hours after transfection and
17 1063 sorted according to the BPF signal: +, ++, +++, +++++. The schematic illustrations above the bar
18 1064 charts show gRNA binding sites and CpG sites analyzed. Asterisk (*) indicates statistical
19 1065 significance (p < 0.05, ANOVA) compared to the pUC19 control group after Bonferroni correction.
20 1066 Percentage values represent % decrease of *TGFBR3* expression compared to pUC19.
21 1067
22
23 1068 **Supplementary Fig. S6 WGBS analysis of cells expressing dCas9 methyltransferase and**
24 1069 **gRNAs**
25
26 1070 (a) Summary of WGBS including clean data, clean reads, clean rate, mapped reads, uniquely
27 1071 mapped reads and rate, and bisulfite conversion rate, for each experimental group and control
28 1072 (pUC19).
29
30 1073 (b) Average percentage of methylated cytosine (% mC) for whole-genome CpG sites, CHG sites,
31 1074 and CHH sites. "H" represents A, C, and T.
32
33 1075 (c-d) Average mCpG level (percentage) stratified according to individual chromosome or whole
34 1076 genome for all samples measured by WGBS.
35 1077
36 1078 **Supplementary Fig. S7 Differentially methylated regions (DMRs) identified by DSS-single**
37 1079 **method.** DMRs were categorized as hypermethylated or hypomethylated compared to control
38 1080 sample (pUC19 transfection).
39 1081
40
41 1082 **Supplementary Fig. S8 Histogram charts of the distribution of DMR length (bp), and**
42 1083 **number of CpGs per DMR.** DMRs included in this figure are those remaining after the stringent
43 1084 filtering step (see methods). Mean DMRs length (in bp) and mean number of CpG per DMR were
44 1085 given for each chart.
45 1086
46
47 1087 **Supplementary Fig. S9 Genomic characteristics of off-target DMRs caused by dCas9**
48 1088 **methyltransferases and TGFBR3 gRNAs**

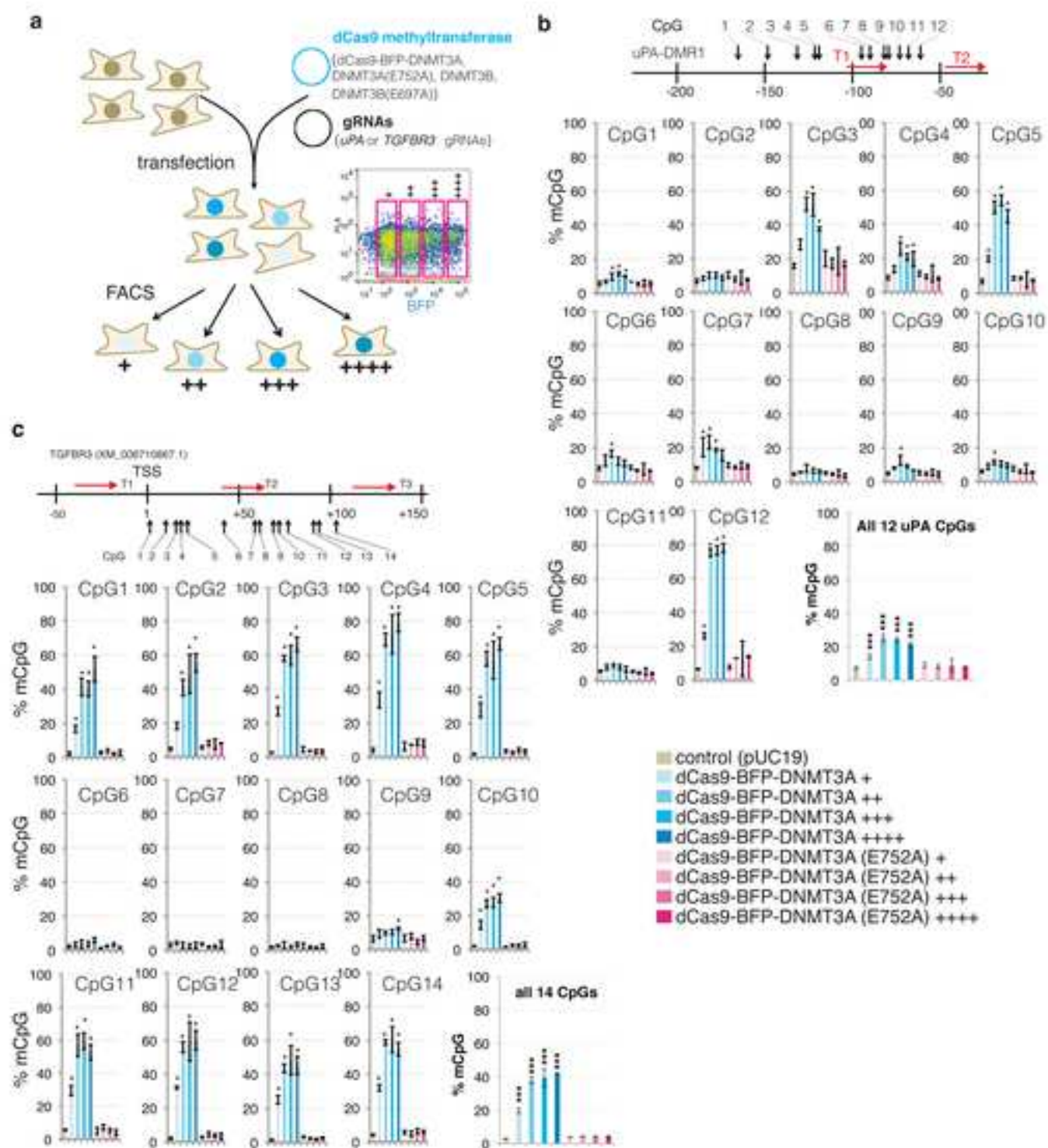
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4 1089 (a) Box plot (top) and heatmap clustering (bottom) of the hypermethylated (left) and
5 1090 hypomethylated (right) DMRs caused by *dCas9 methyltransferases* and *TGFBR3* gRNAs.
6
7 1091 (b-c) Bar chart illustrating the percentage of the identified *TGFBR3* hypermethylated (c) or
8 1092 hypomethylated (d) DMRs that fall into the different types of genomic regions indicated.
9
10 1093 Background represents of a random sample of the same number of similar sized genomic
11 1094 windows that fall into the categories indicated. Values above bars are P values between
12 1095 background and *TGFBR3* DMRs, Fisher's exact test.
13
14 1096 (d) Metaplot of average CpG methylation levels in 58,494 DNase I hypersensitive sites (DHS)
15 1097 and 1 kb upstream and downstream flanking regions.
16
17 1098 (e-f) Bar chart of % *TGFBR3* hypermethylated (f) or hypomethylated (g) DMRs falling into DHS
18 1099 core regions.
19
20
21 1100 (h) Density of 5nt-SEED-NGG for *TGFBR3* gRNAs (T1 to T3) in background genomic windows
22 1101 and *TGFBR3* DMRs + flanking 100 bp. Values represent median density with one standard
23 1102 deviation. P values (t-test) are given above the bar charts.
24
25 1103
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27 1104 **Supplementary Fig. S10 Average methylation levels of seven genomic regions in all**
28 1105 **annotated genes (hg19).** (a-d) Each line indicates the genome-wide average methylation levels
29 1106 across seven genomic regions: upstream 2kb of the transcription start site, first exon, first intron,
30 1107 internal exons, internal introns, last exon, and downstream 2kb of the last exon.
31
32 1108
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36 1110 **Supplementary Fig. S11 The average methylation level in ChIP-peaks and flanking regions.**
37 1111 Bar chat presents the average methylation level of all *dCas9-BFP-DNMT3A* and *uPA* gRNA off-
38 1112 target binding sites (n = 7754) found by ChIPseq, as well as the 2kb upstream and downstream
39 1113 region.
40
41 1114 **Supplementary Fig. S12 Effect of *dCas9 methyltransferases* and *uPA* gRNAs on cell**
42 1115 **growth.** Cell growth was determined by counting the number of cell clones derived from 1,000
43 1116 BFP positive cells after transfection. Values represent mean and one standard deviation from 6
44 1117 experimental repeats. Asterisks represent a p value < 0.05 (ANOVA) compared to *pUC19*
45 1118 transfection control.
46
47 1119
48
49 1120
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51 1121 **Supplementary Fig. S13 Effects of *dCas9 methyltransferases* on mCherry expression in**
52 1122 **fluorescence reporter cell lines**
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54 1123 (a) Schematic illustration of the mCherry fluorescence transgene expression cassette. The target
55 1124 sites of the gRNAs within the CMV promoter are indicated by red arrows (5'-3', targeting sense or
56 1125 antisense strands).

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4 1126 (b) Southern blot analysis of five cell clones with the transgene cassette randomly and stably
5
6 1127 integrated into the genome.
7 1128 (c) Flow cytometry-based analysis of the percentage of BFP positive cells in the fluorescence
8
9 1129 reporter cells at 2, 5, 8 and 14 days after transient transfection with CMV gRNAs (T1-T3) and
10 1130 dCas9-BFP-DNMT1, dCas9-BFP-DNMT3A, dCas9-BFP-DNMT3B, or dCas9-BFP-EGFP.
11 1131 (d-h) % mCherry fluorescence median intensity in these five clones at day 2, 5, 8, and 14 days
12 1132 following transient transfection with CMV gRNAs (T1-T3) and dCas9-BFP-DNMT1, dCas9-BFP-
13 1133 DNMT3A, dCas9-BFP-DNMT3B, or dCas9-BFP-EGFP. Control cells were transfected with
14 1134 pUC19. Percent inhibition of mCherry expression was calculated by normalizing the median
15 1135 mCherry fluorescence intensity to that from the pUC19 transfected cells at each time point.
16 1136 Figures are plotted using the mean % mCherry median \pm SD (n = 3, independent transfections).
17 1137 ANOVA with Bonferroni comparison was performed for cell clone 2. "a", "b", "c", and "d," indicates
18 1138 a p-value < 0.05 compared to the pUC19 control for the corresponding transfection group.
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24 1139
25 1140 **Supplementary Fig. S14 Validation of hypermethylated DMRs and DHS methylation caused**
26 1141 **by dCas9-BFP-DNMT3A and uPA gRNAs by WGBS**
27 1142 (a) Box plot of % methylation level of hypermethylated DMRs dCas9 found in previous WGBS
28 1143 experiment. The WGBS in the repeat experiment was conducted as described in the methods
29 1144 sections, but cells were not FACS enriched and sequenced in lower depth than previous
30 1145 experiment.
31 1146 (b) Metaplot of average CpG methylation levels in 58,494 DNase I hypersensitive sites (DHS)
32 1147 and 1 kb upstream and downstream flanking regions. P value represents Wilcoxon matched pairs
33 1148 signed rank test between treated and control groups.
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40 1150 **Supplementary Table S1** List of plasmids deposited to Addgene, qPCR primers, gRNA
41 1151 sequences, bisulfite PCR primers, bisulfite pyrosequencing primers, and DNA regions analyzed
42 1152 for methylation.
43 1153 **Supplementary Table S2** List of hypermethylated DMRs caused by dCas9 methyltransferases
44 1154 and uPA gRNAs
45 1155 **Supplementary Table S3** List of hypomethylated DMRs caused by dCas9 methyltransferases
46 1156 and uPA gRNAs
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51 1157
52 1158 **Supplementary Table S4** List of hypermethylated DMRs caused by dCas9 methyltransferases
53 1159 and TGFBR3 gRNAs
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56 1160
57 1161 **Supplementary Table S5** List of hypomethylated DMRs caused by dCas9 methyltransferases
58 1162 and TGFBR3 gRNAs
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6 gRNAs
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10 1167 **Supplementary File 1 Extended discussion and results**
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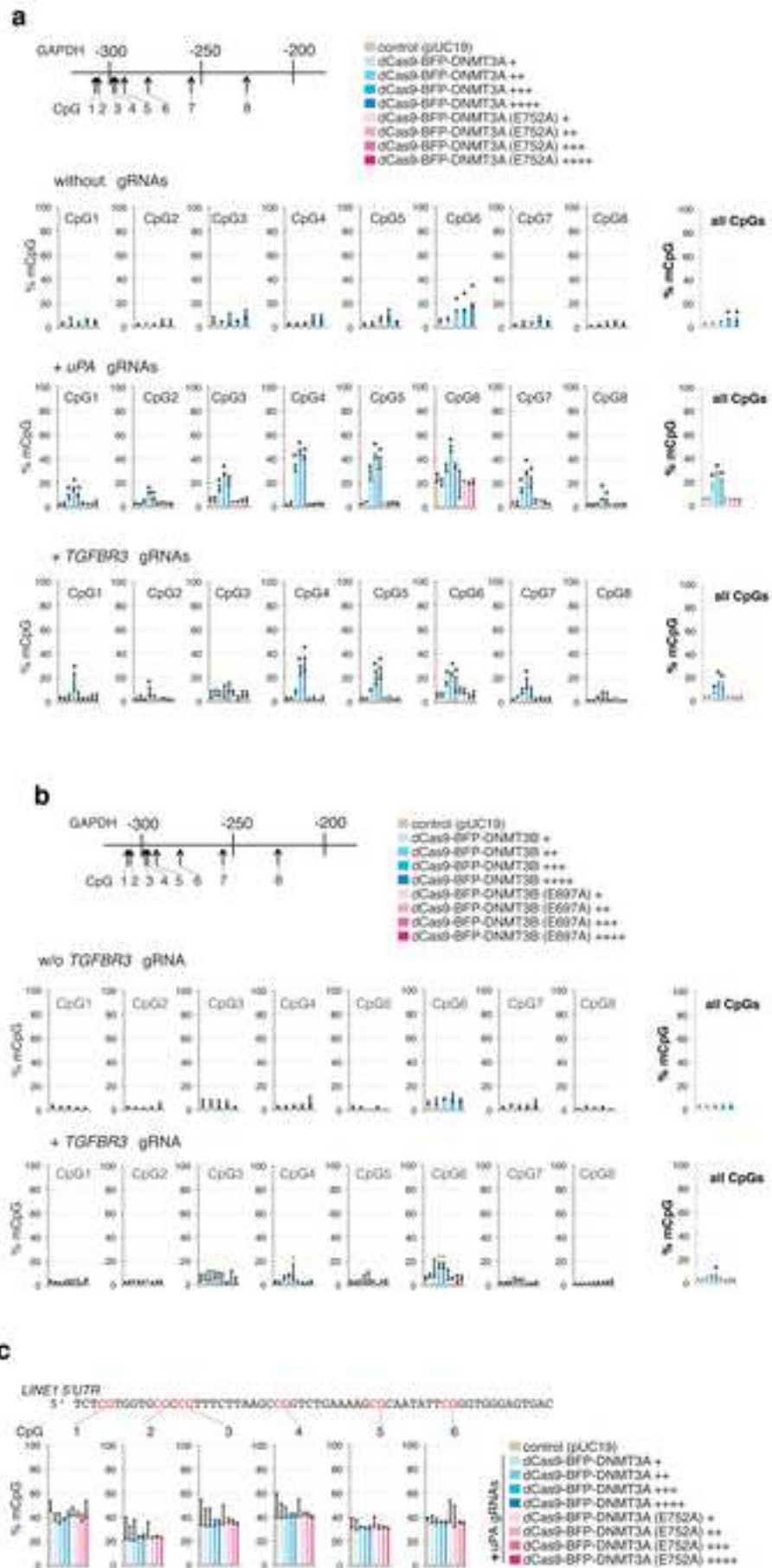
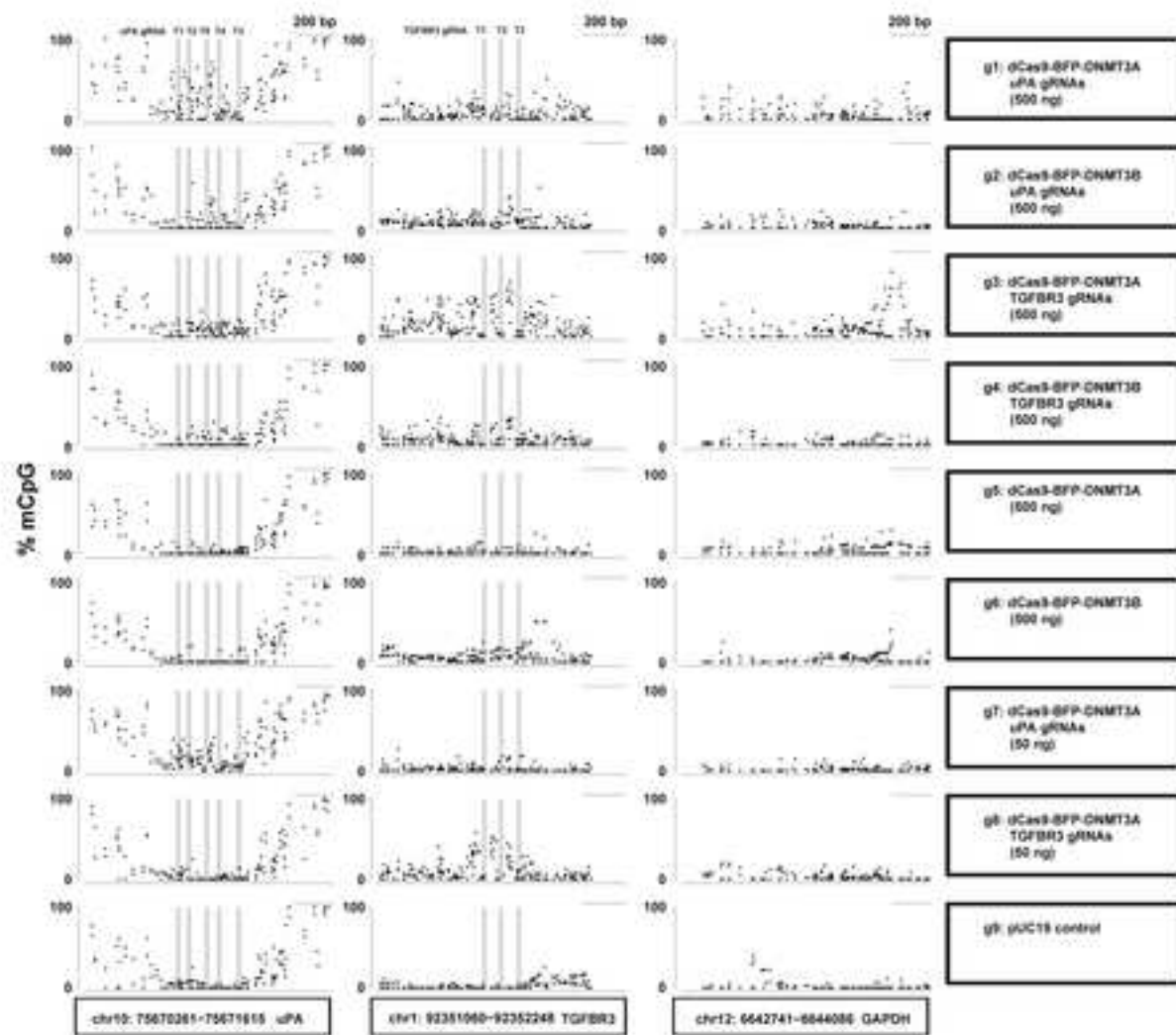
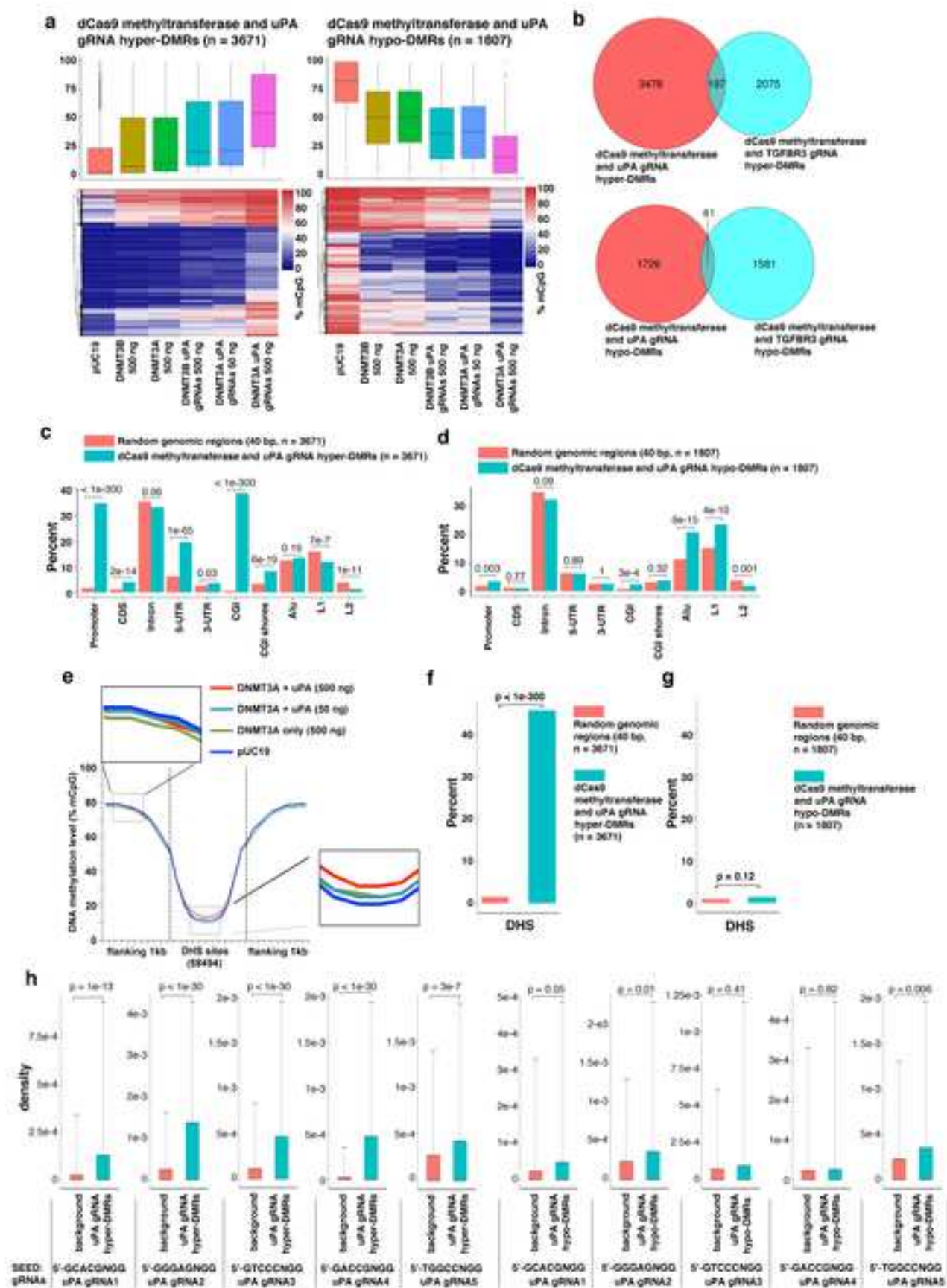
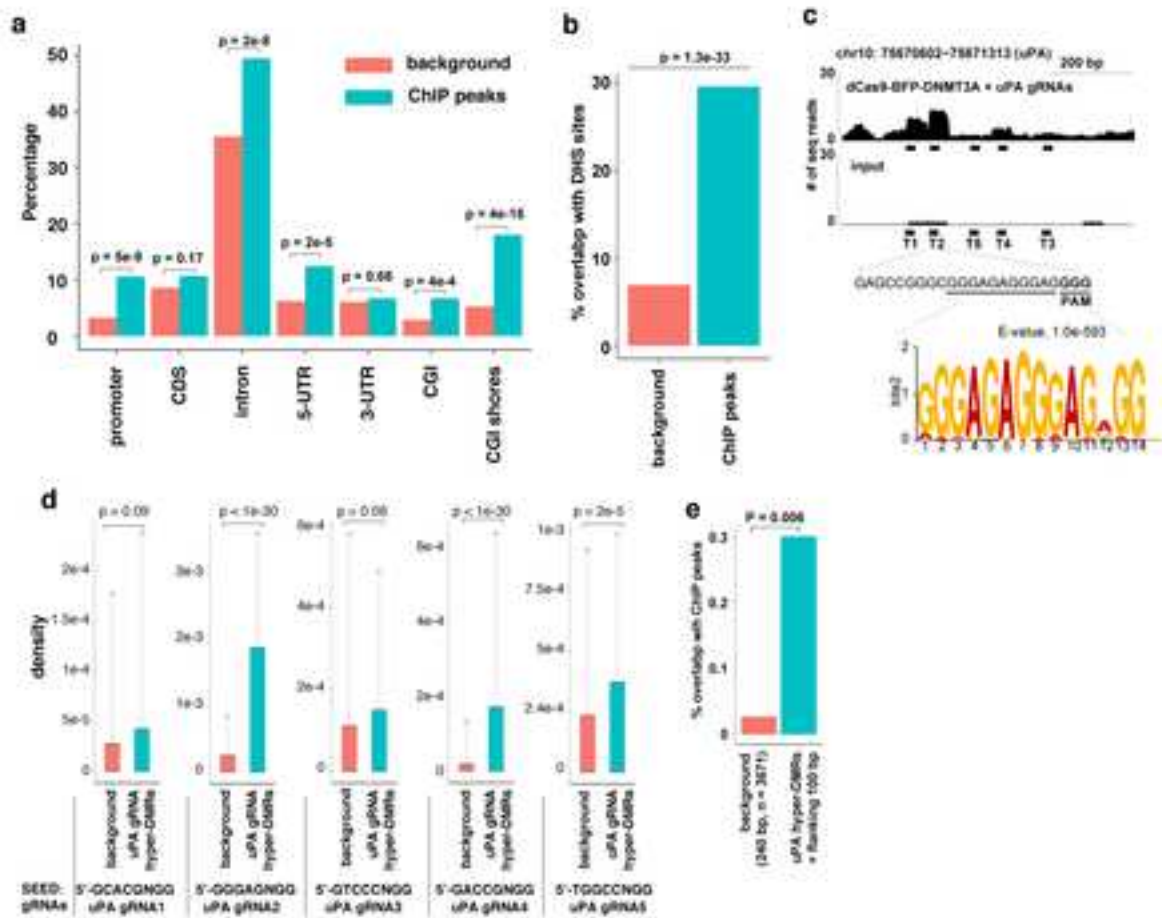
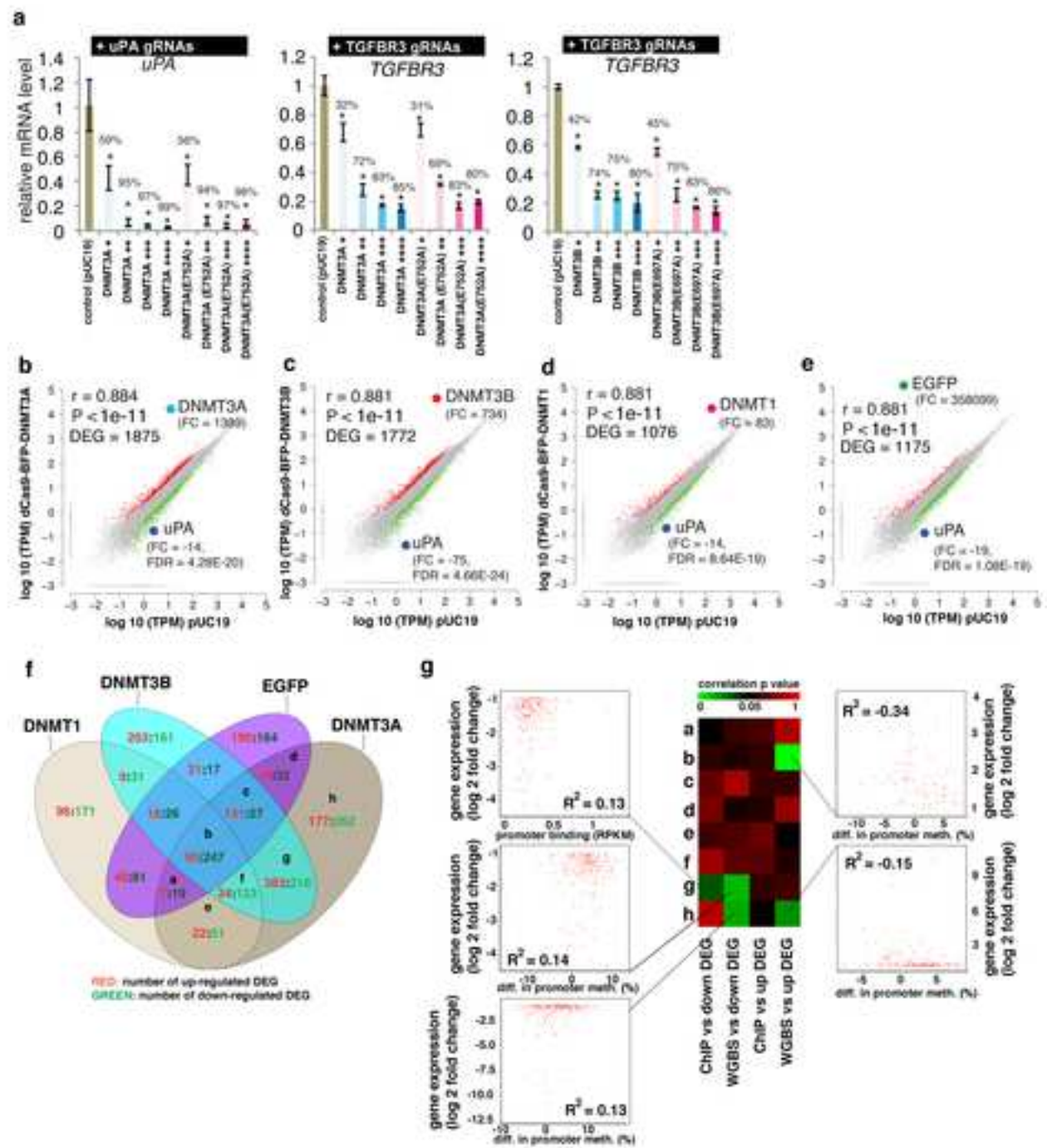


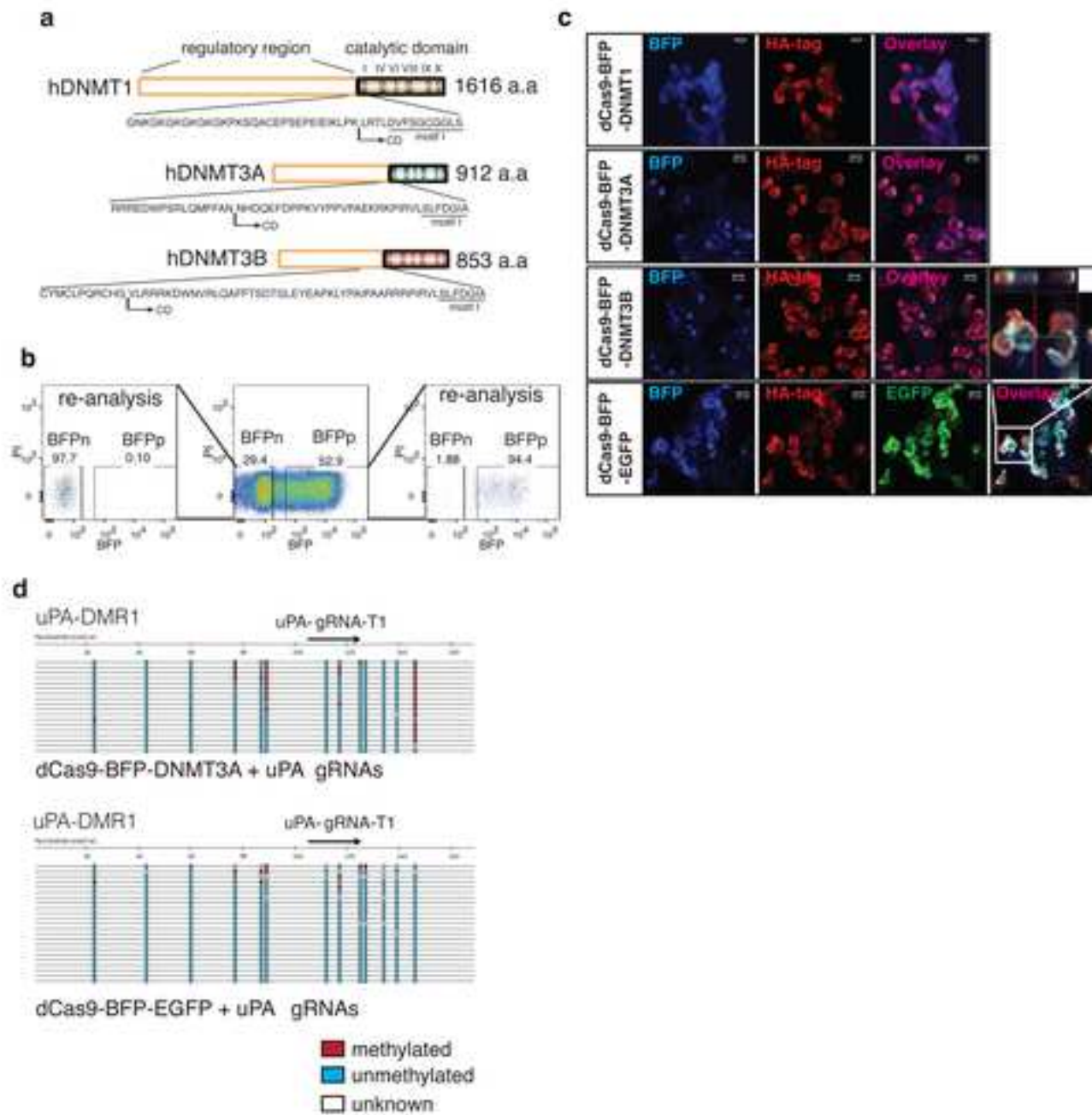
Fig. 5

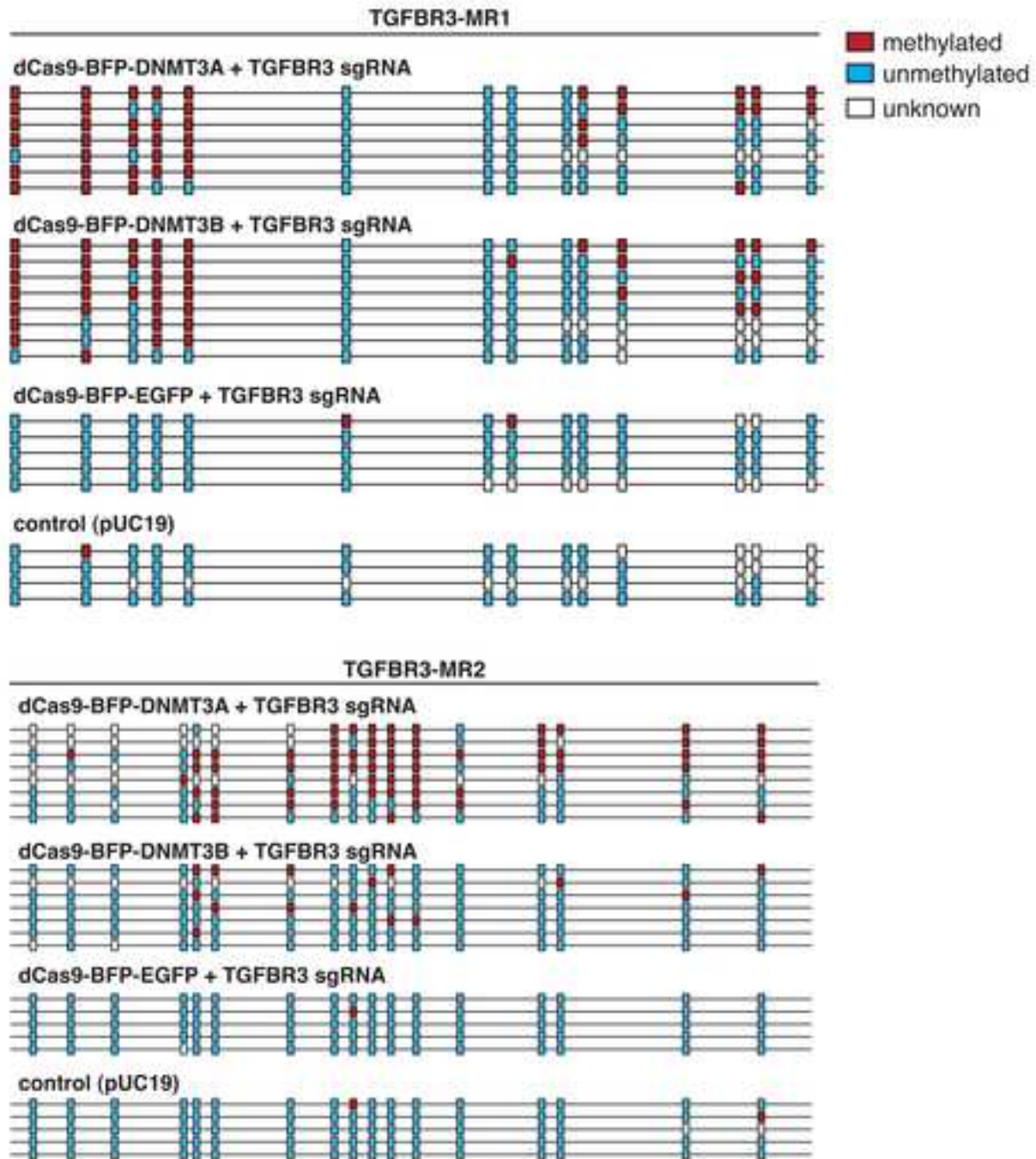


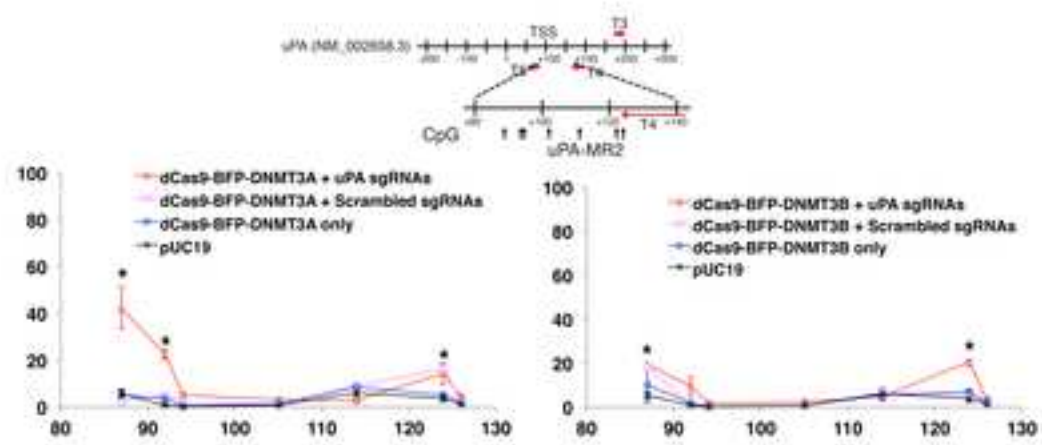


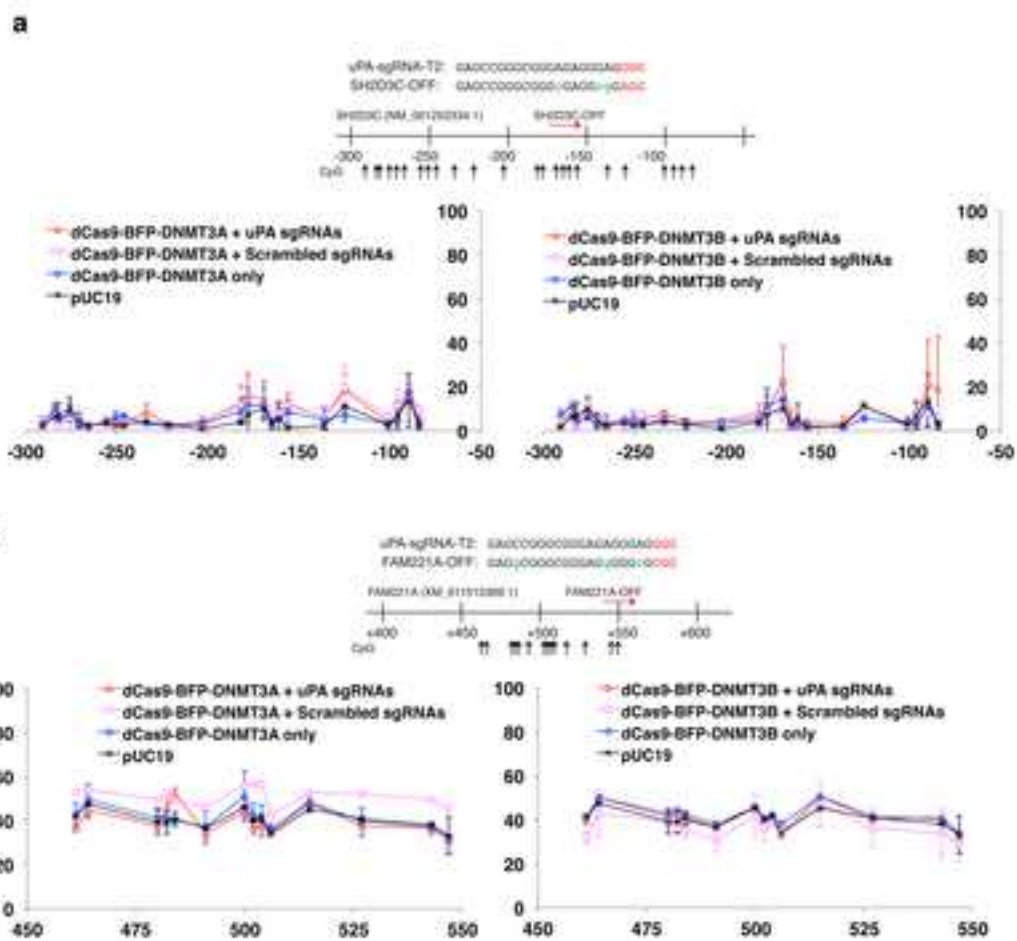


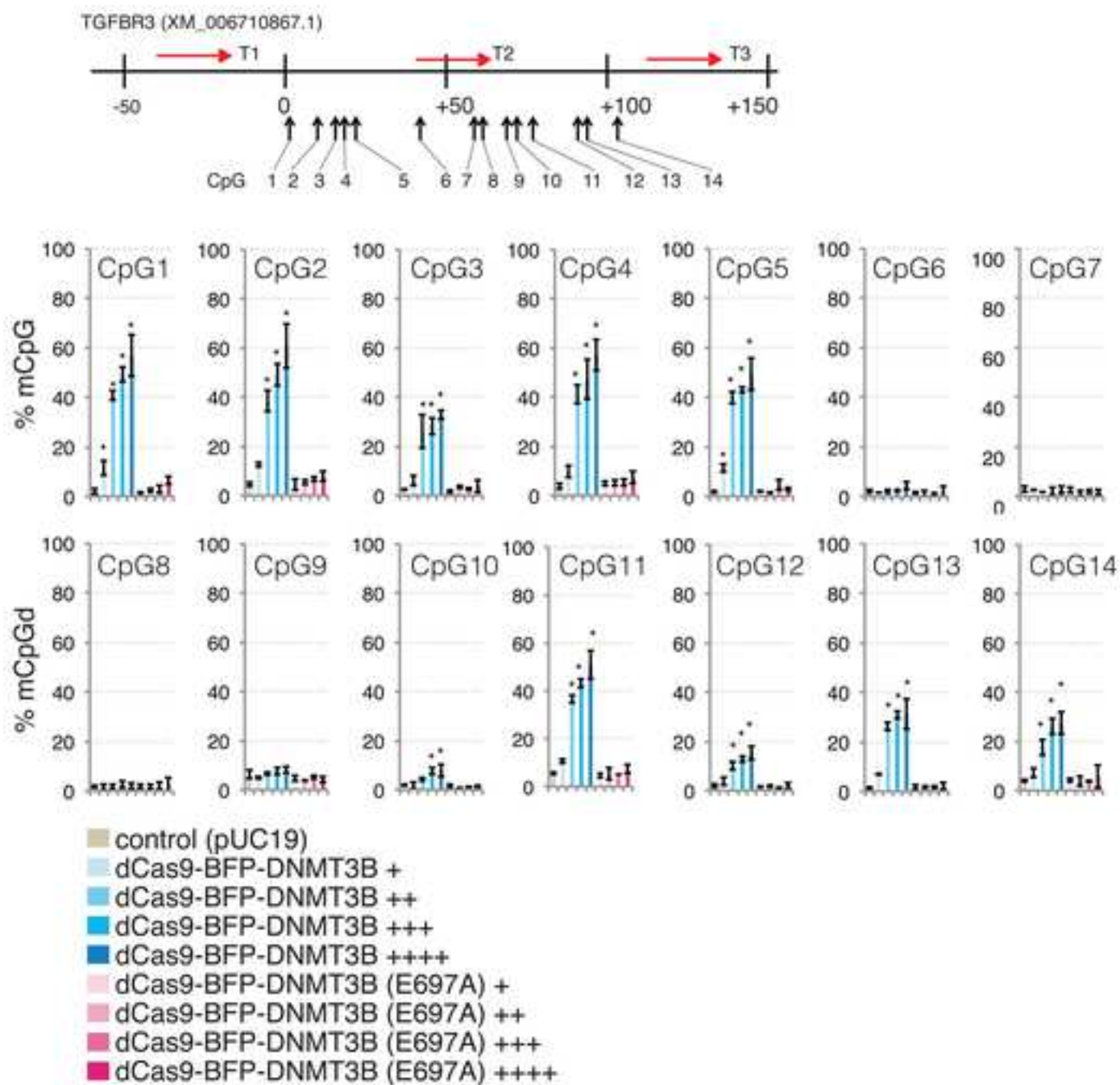








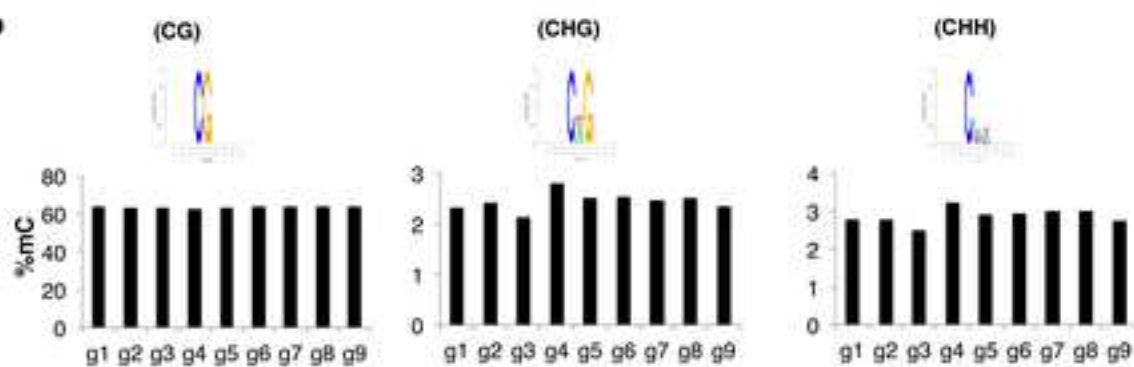




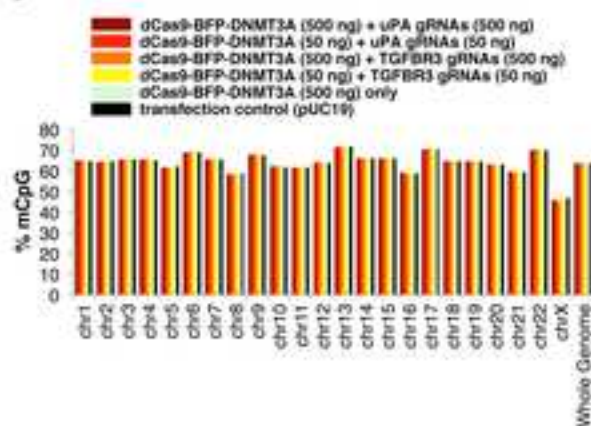
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Sample ID	Description	Clean Data Size(bp)	Clean Reads Number	Clean Rate(%)	Mapped Reads	Mapping Rate (%)	Uniquely Mapped Reads	Uniquely Mapping Rate (%)	Bisulfite Conversion Rate (%)
g1	dCas9-BFP-DNMT3A (500 ng) + uPA gRNAs (500 ng)	100,601,409,600	670,676,064	95.06	558316453	83.25	558316453	83.25	99.63
g2	dCas9-BFP-DNMT3B (500 ng) + uPA gRNAs (500 ng)	103,778,694,600	691,857,964	95.64	575422550	83.17	575422550	83.17	99.52
g3	dCas9-BFP-DNMT3A (500 ng) + TGFBR3 gRNAs (500 ng)	117,505,796,100	783,371,974	94.06	651431832	83.16	651431832	83.16	99.65
g4	dCas9-BFP-DNMT3B (500 ng) + TGFBR3 gRNAs (500 ng)	109,001,895,600	726,679,304	90.85	604104613	83.13	604104613	83.13	99.63
g5	dCas9-BFP-DNMT3A (500 ng) only	105,265,839,000	701,772,260	90.36	562464794	83	562464794	83	99.62
g6	dCas9-BFP-DNMT3B (500 ng) only	118,394,522,400	789,296,816	95.6	660303371	83.66	660303371	83.66	99.6
g7	dCas9-BFP-DNMT3A (50 ng) + uPA gRNAs (50 ng)	117,366,362,100	762,442,414	67.18	645181340	82.46	645181340	82.46	99.6
g8	dCas9-BFP-DNMT3A (50 ng) + TGFBR3 gRNAs (50 ng)	101,372,416,500	675,616,110	65.25	561172295	83.04	561172295	83.04	99.49
g9	pUC19 control	80,429,413,600	536,196,092	90.08	444407297	82.88	444407297	82.88	99.46

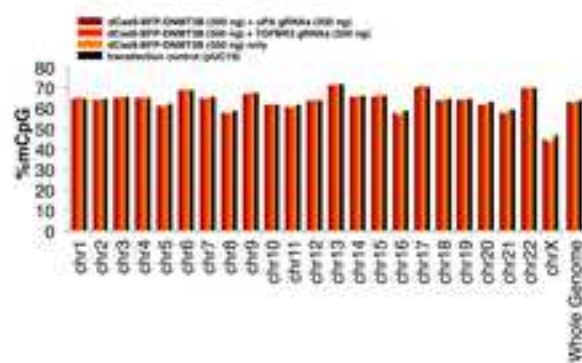
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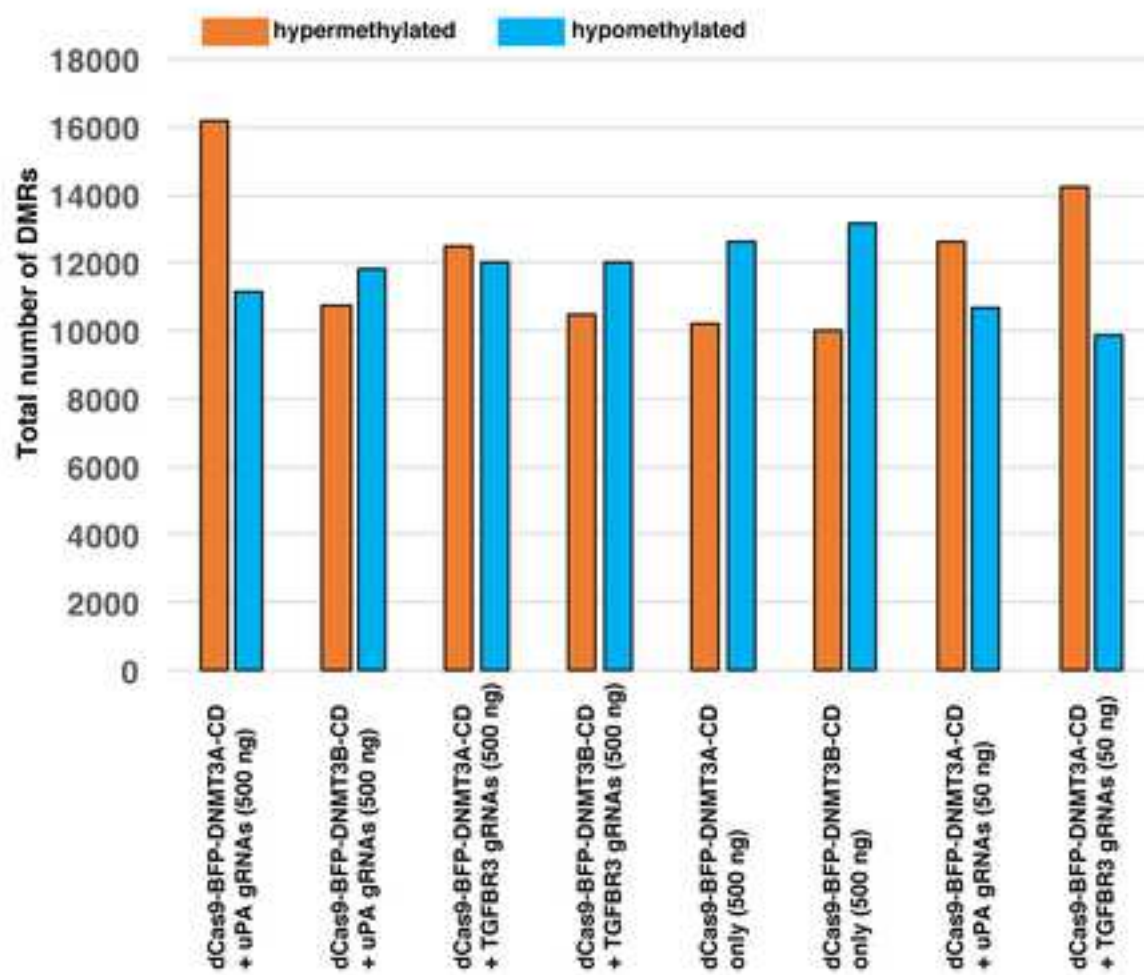


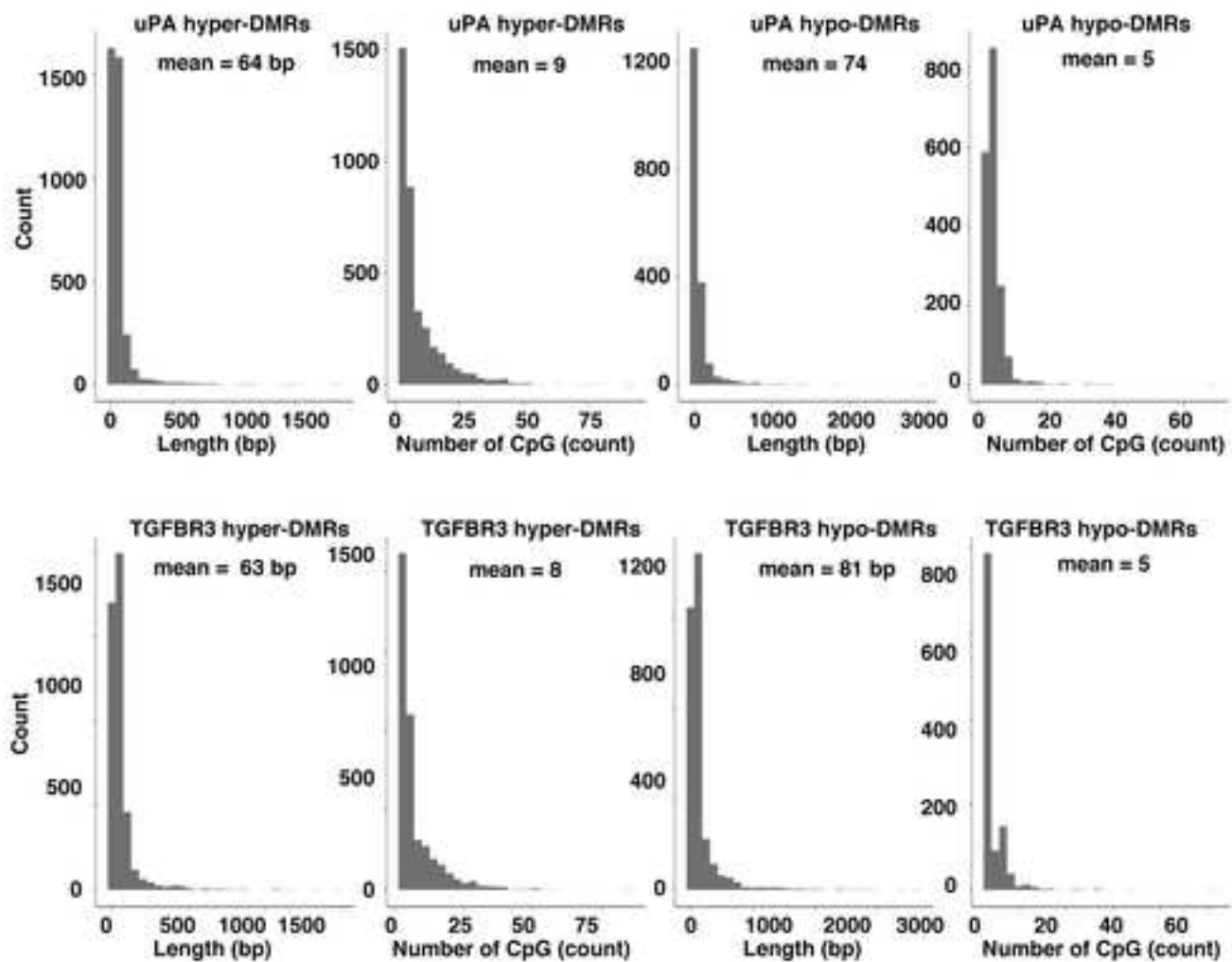
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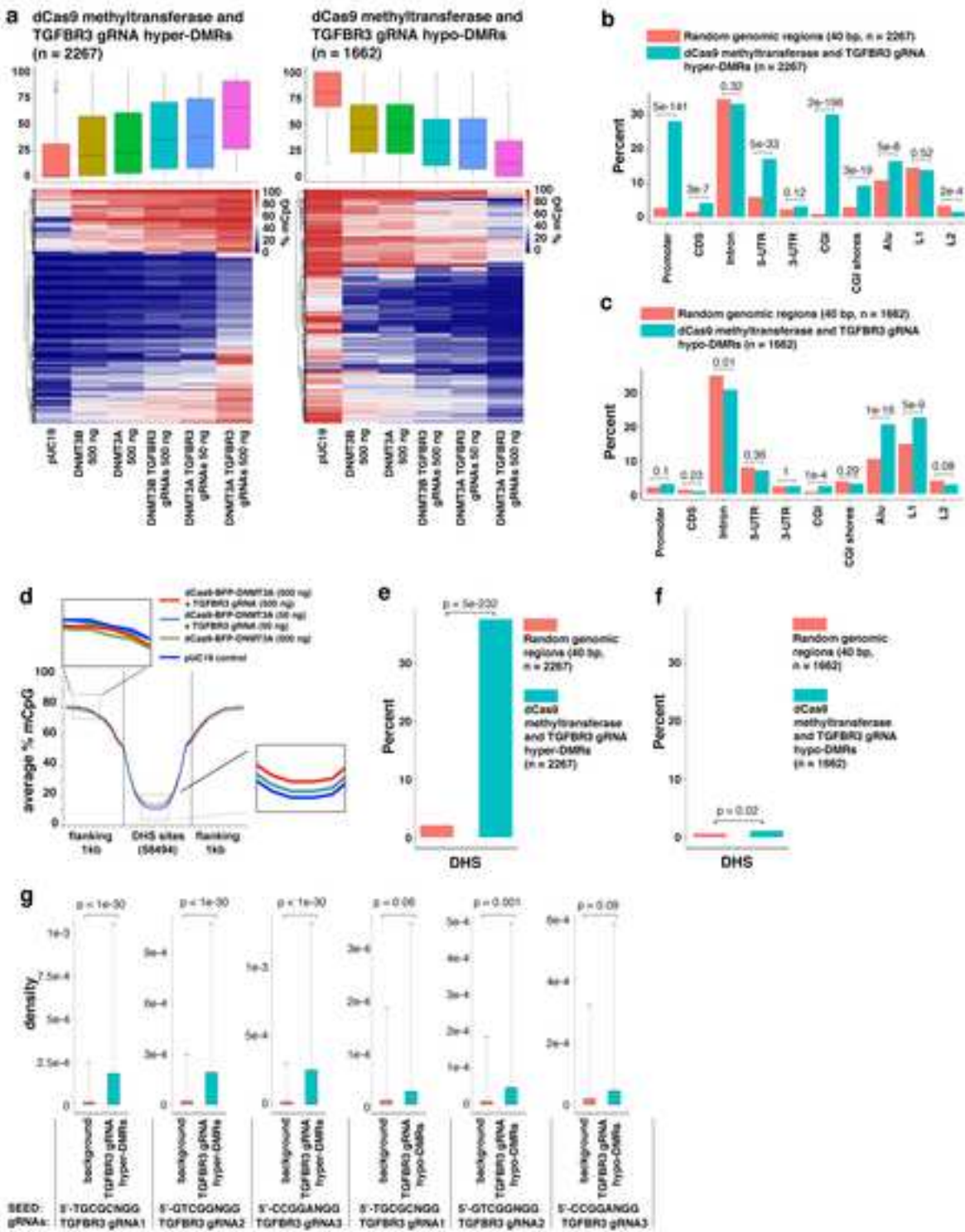


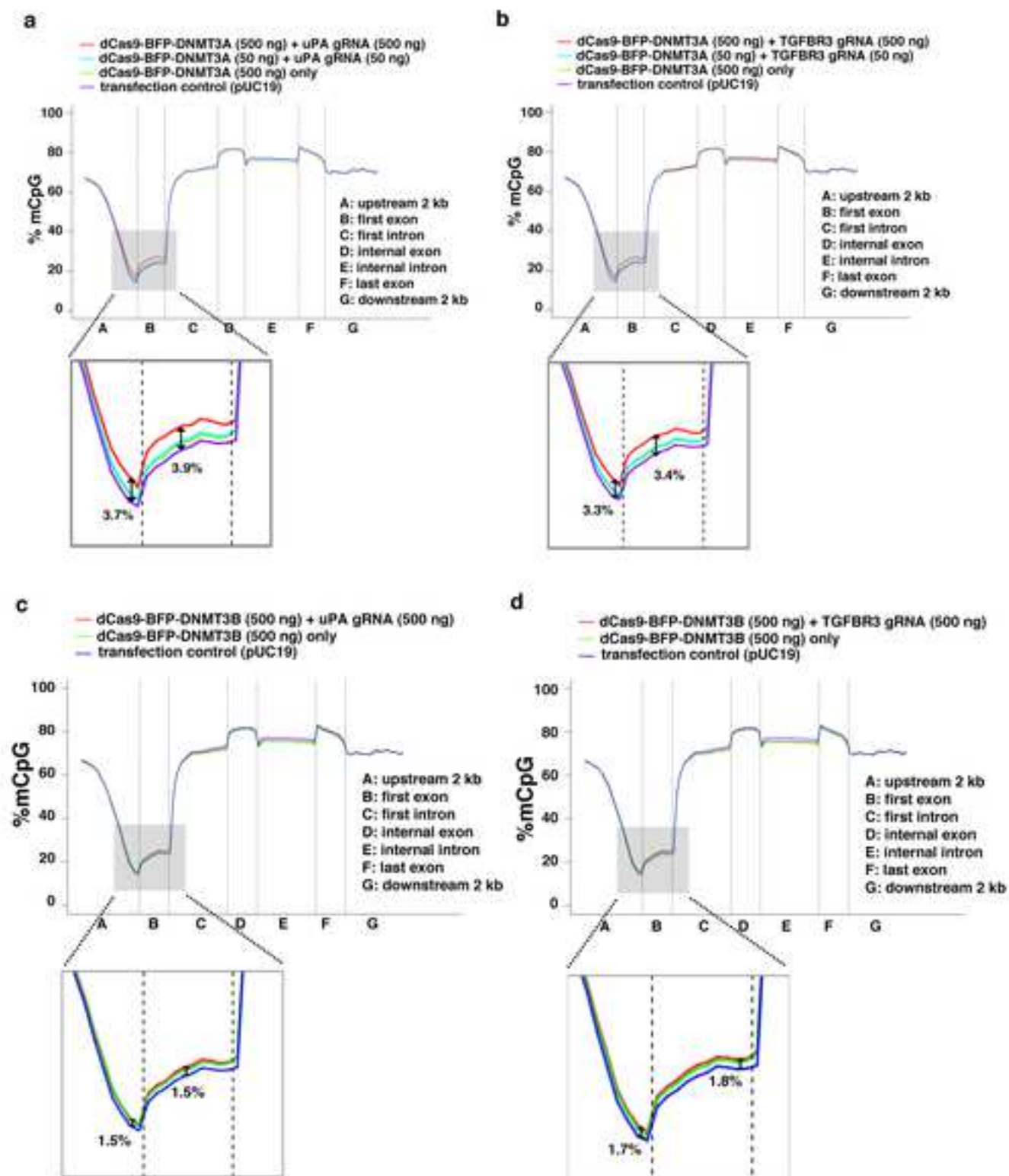
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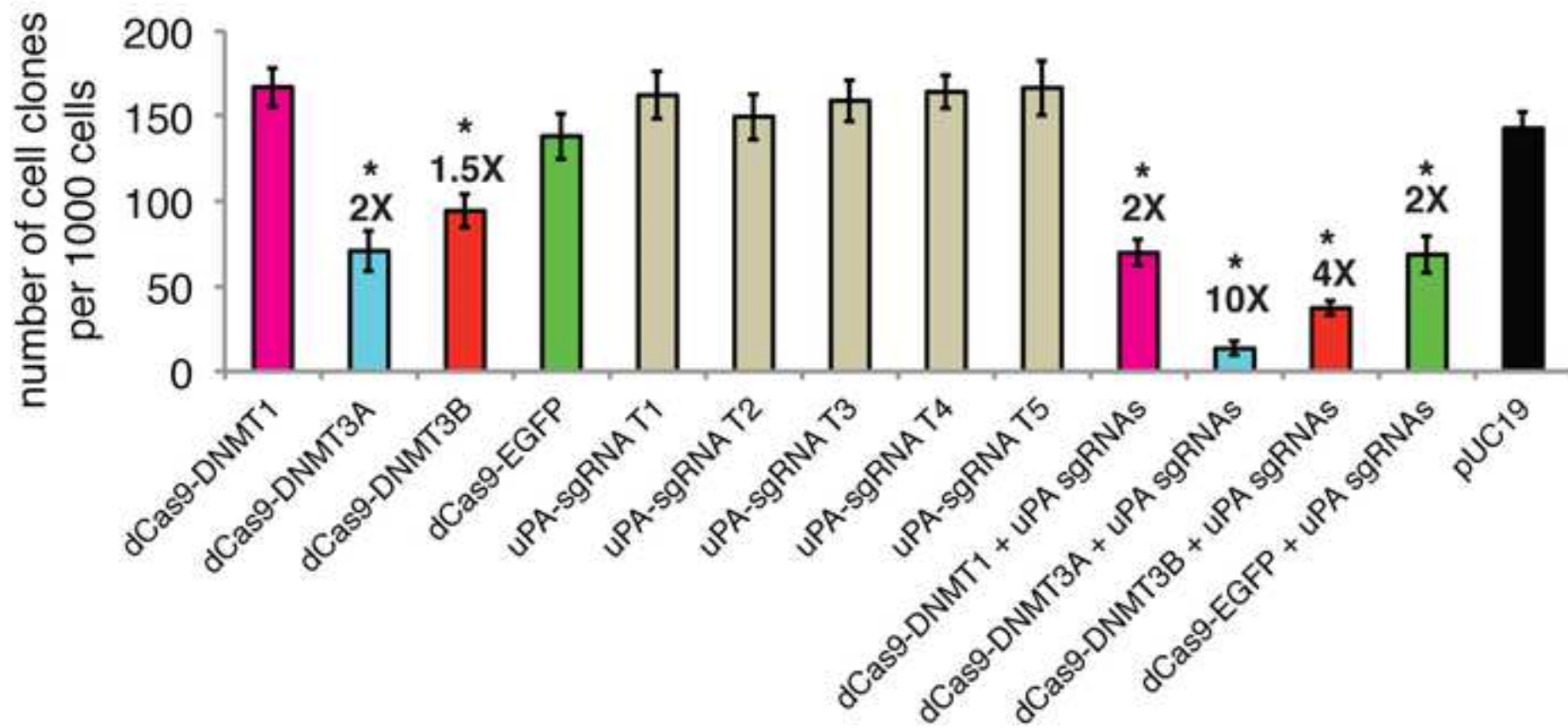


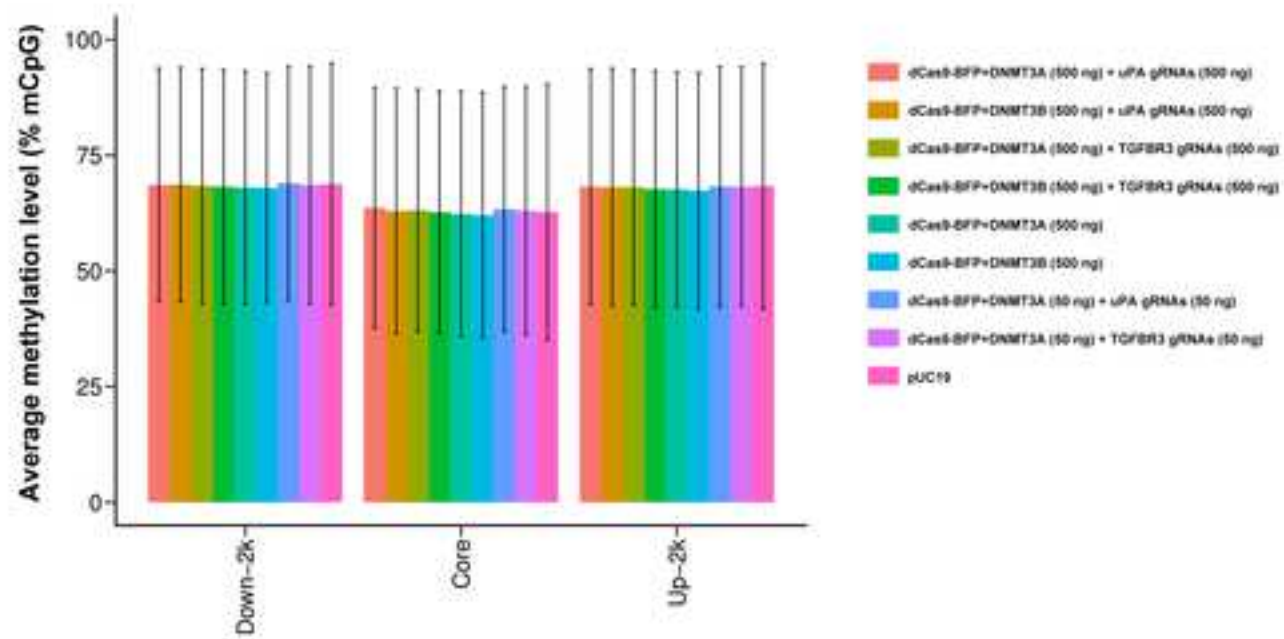


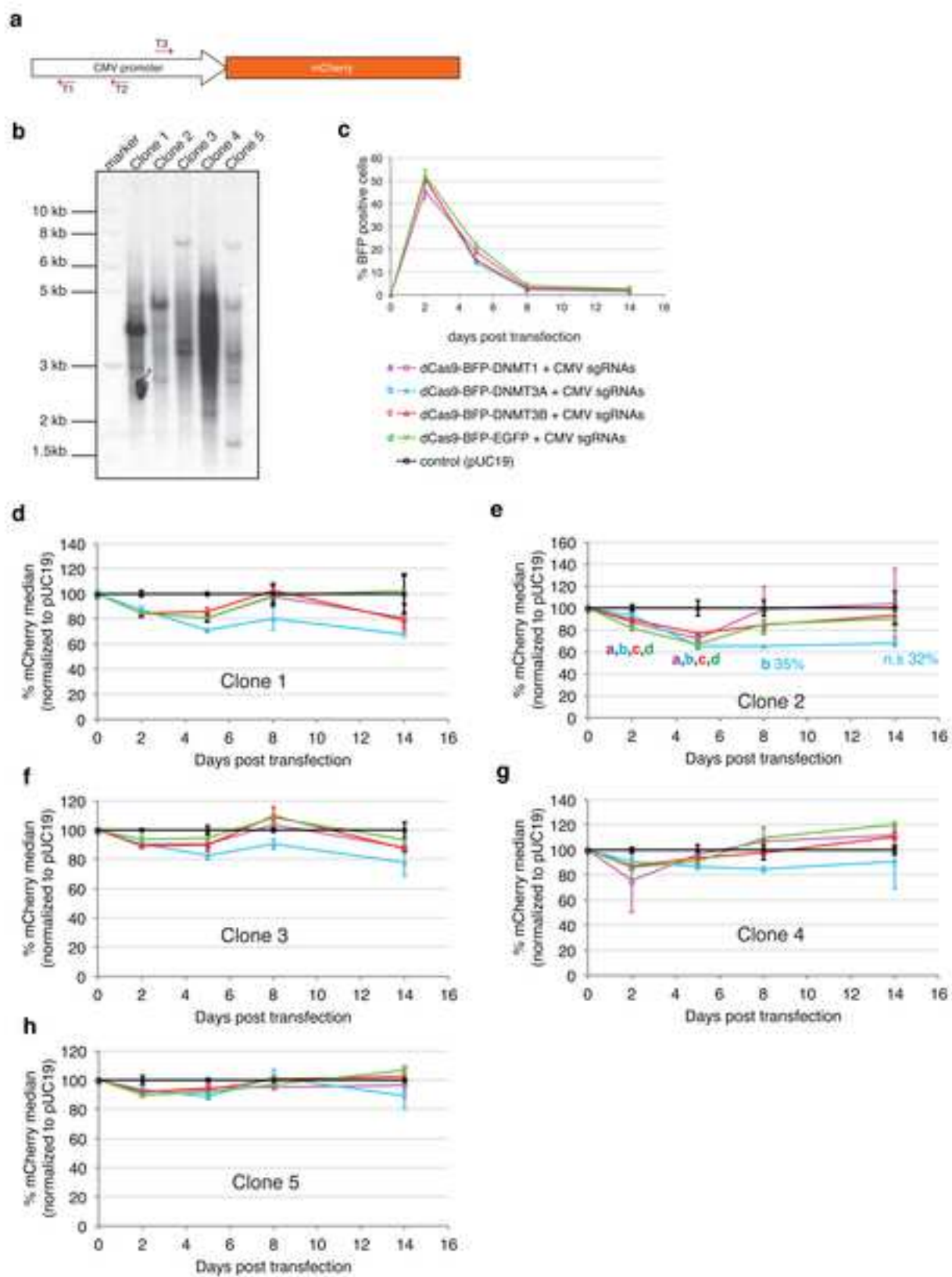






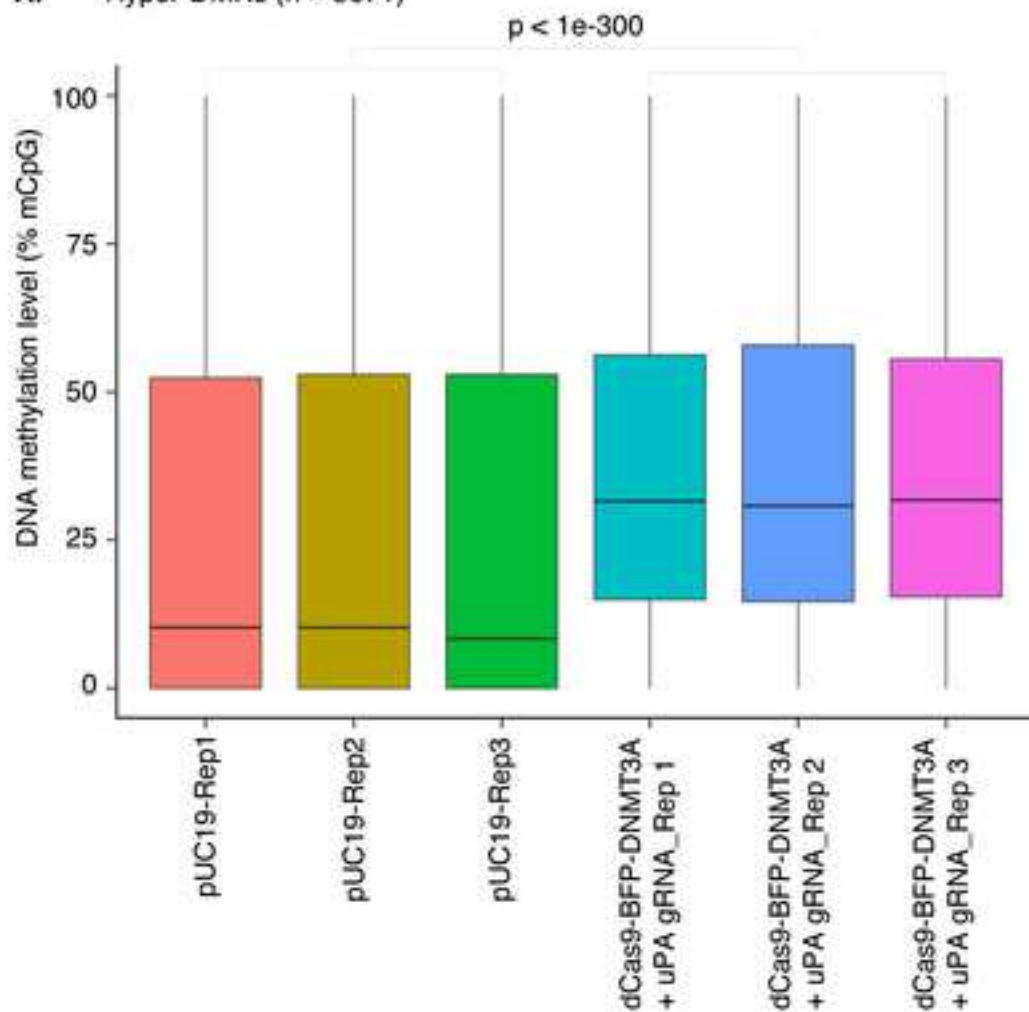




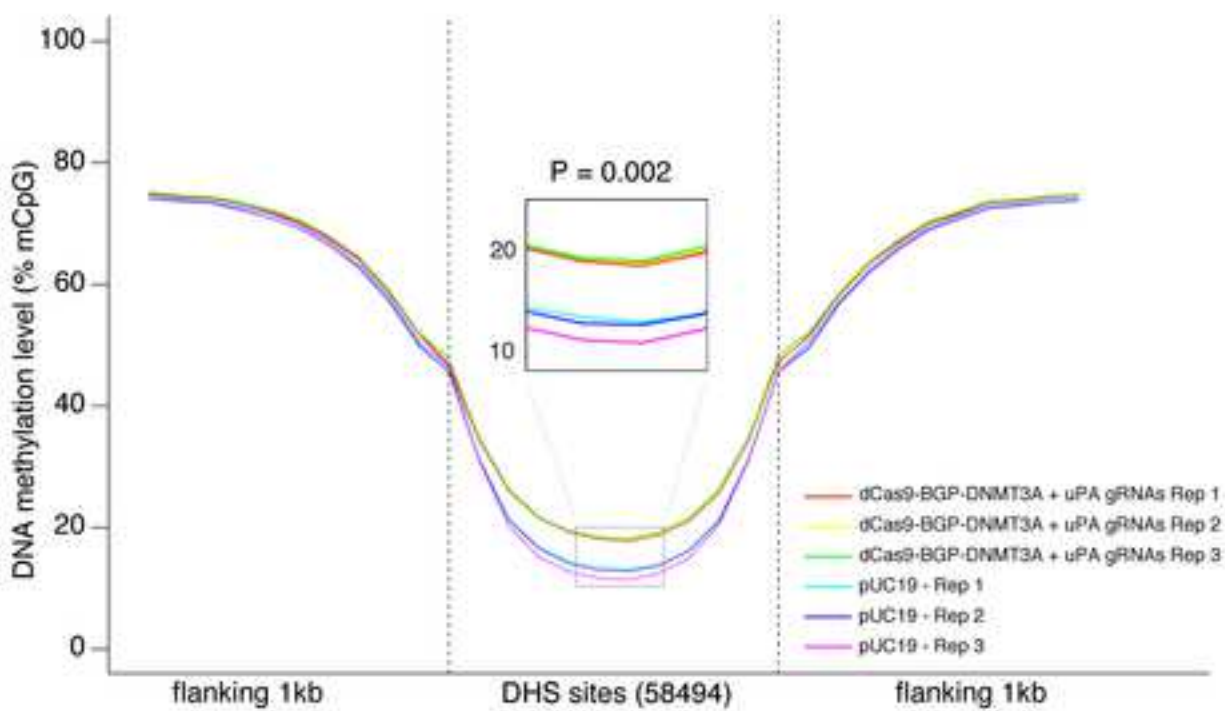


Supplementary Figure 14

A. Hyper-DMRs (n = 3671)



B.



Supplementary File 1: extended text and discussion

DNMT3A and DNMT3B catalytic domain causes gRNA-independent off-target methylation

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Main text:

Upon staining of the dCas9-BFP-DNMT3A and dCas9-BFP-DNMT3B fusion proteins in HEK293T cells, it is evident that most fusion protein is located in the cytoplasm rather than in the nucleus. This is probably due to the large size of the fusion proteins hindering efficient nuclear entry. We therefore reduced the size of the fusion proteins by removing the BFP domain in order to increase the nuclear entry efficiency of dCas9 methyltransferase. Furthermore, to enable enrichment of transfected cells, we introduce a blasticidin expression cassette.

Five expression vectors were constructed encoding the human-codon-optimized dCas9 protein flanked by two copies of an NLS and conjugated to the DNMT3A or DNMT3B catalytic domains, or DNMT3A (E752A), DNMT3B (E697A) or GFP, as well as a blasticidin resistance domain (**Fig. SF1a**). In the system utilized for the experiment, the blasticidin domain is cleaved from the fusion protein upon translation via the self-cleaving 2A peptide.

We first evaluated the *de novo* DNA methylation efficiency of dCas9-DNMT3A and dCas9-DNMT3B (**Fig. SF1b-c**). 10-fold and 3-fold increases of *de novo* methylation of the *TGFBR3* promoter were achieved in cells expressing *TGFBR3* gRNAs with dCas9-DNMT3A or dCas9-DNMT3B, respectively, compared to pUC19 or dCas9-EGFP controls (P value < 0.001, ANOVA). As expected, dCas9-DNMT3A(E752A) or dCas9-DNMT3B(E697A) lacked *de novo* methylation capacity. However, compared to the dCas9-BFP-DNMT3A and dCas9-BFP-DNMT3B fusions, the dCas9-DNMT3A and dCas9-DNMT3B fusions have higher gRNA-independent unspecific methylation (**Fig. SF1d**).

We speculated that the increased unspecific methylation by dCas9-DNMT3A and dCas9-DNMT3B was related to increased gene expression and/or nuclear localization efficiency. Thus, we used fluorescence

imaging to quantify the expression level and nuclear localization of the three EGFP control plasmids: dCas9-BFP-EGFP, dCas9-EGFP, and EF1a-EGFP, our results showed that both expression and nuclear entry levels of dCas9-EGFP is significantly higher (P value < 0.05 , ANOVA) than dCas9-BFP-EGFP (**Fig. SF2a**). Furthermore, we analyzed *GAPDH* promoter methylation in cells expressing dCas9-BFP-DNMT3A, dCas9-DNMT3A, or EF1a-DNMT3A (a control plasmid expressing the DNMT3A catalytic domain under transcriptional control of the EF1a promoter). Our results showed that cells expressing dCas9-DNMT3A and EF1a-DNMT3A showed notably higher mCpG levels than cells transfected with pUC19 (mean diff. mCpG level = 11.7% and 38.5%, P value = 0.012, Wilcoxon matched-pairs signed-rank test), while the difference between cells expressing dCas9-BFP-DNMT3A (CRISPRme1.0) and pUC19 was much smaller (mean diff. mCpG level = 2.3%, P value = 0.012, Wilcoxon matched-pairs signed-rank test) (**Fig. SF2b**).

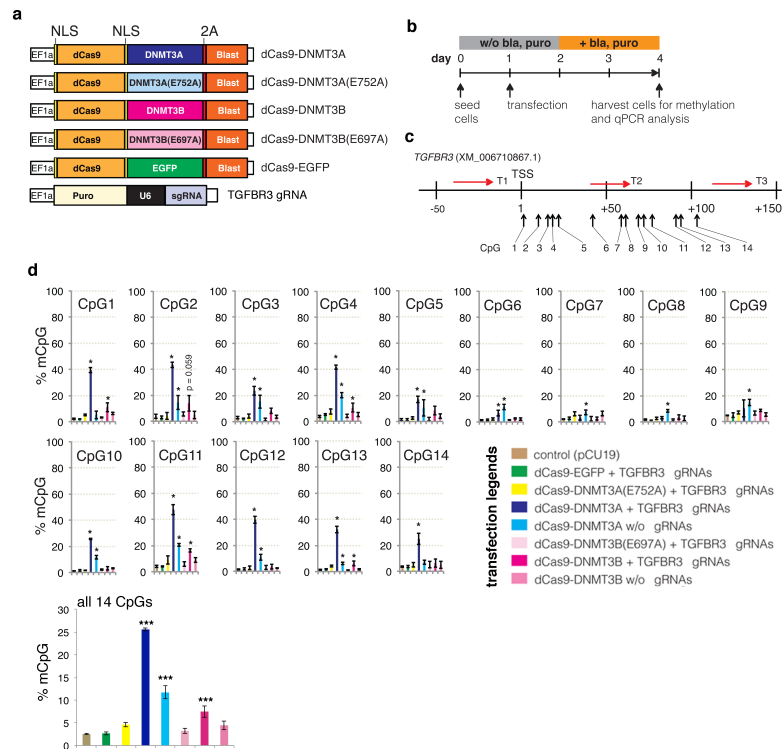
We next measured *LINE1* 5'UTR methylation. There was no significant difference in *LINE1* 5'UTR DNA methylation between cells expressing dCas9-BFP-DNMT3A and dCas9-DNMT3A (**Fig. SF2c**). However, cells transfected with the expression vector EF1a-DNMT3A had significantly higher *LINE1* methylation levels compared to pUC19 control cells (mean diff. % mCpG = 3.1, P value = 0.028, Wilcoxon matched-pairs signed-rank test) (**Fig. SF2c**), suggesting that increased CRISPRme expression and nuclear entry is accompanied by increased gRNA-independent off-target methylation of DNMT3A and DNMT3B fusion proteins.

We also investigated whether titration of the dCas9-DNMT3A and dCas9-DNMT3B plasmids used for transfection could reduce gRNA-independent off-target methylation. To test this, we transfected HEK293T cells with a varying amount of dCas9-DNMT3A plasmid (5, 25, 50, 100, 250, and 500 ng) alone or together with *TGFBR3* gRNAs. Titration of dCas9-DNMT3A caused a concordant decrease in both *TGFBR3* and *GAPDH* methylation (**Fig. SF3a-d**). Next, we tested whether decreasing the gRNA expression level could minimize gRNA-dependent off-target effects. We transfected HEK293T cells with various combinations of the amounts of dCas9-DNMT3A plasmid (5, 25, and 50 ng) and *TGFBR3* gRNAs (5, 25, 50, and 500 ng). Bisulfite pyrosequencing results showed that titration of gRNA transfection quantities decreased both on-target (*TGFBR3*) and off-target (*GAPDH*) methylation concordantly (**Fig. SF3e-h**). Collectively, our results suggest that increasing the expression of dCas9-DNMT3A and gRNAs results in enhancement of both on-target and off-target DNA methylation.

In conclusion, we have shown that fusion of dCas9 to catalytic domains of DNA methyltransferases can achieve RNA-guided methylation of a targeted genomic regions. However, since these catalytic domains are active per se, cautions should be taken when delivering high amount of the fusion proteins/plasmids into cells, as these could cause unspecific methylation of genomic regions. Although GWBS was not conducted for the dCas9-DNMT3A and dCas9-DNMT3B fusions, the genomic regions prone to gRNA-independent methylation are most likely those located in open chromatin regions and CpG islands.

Figures and Figure Captions

Fig. SF1. Generation and validation of dCas9-DNMT3A and dCas9-DNMT3B methyltransferases



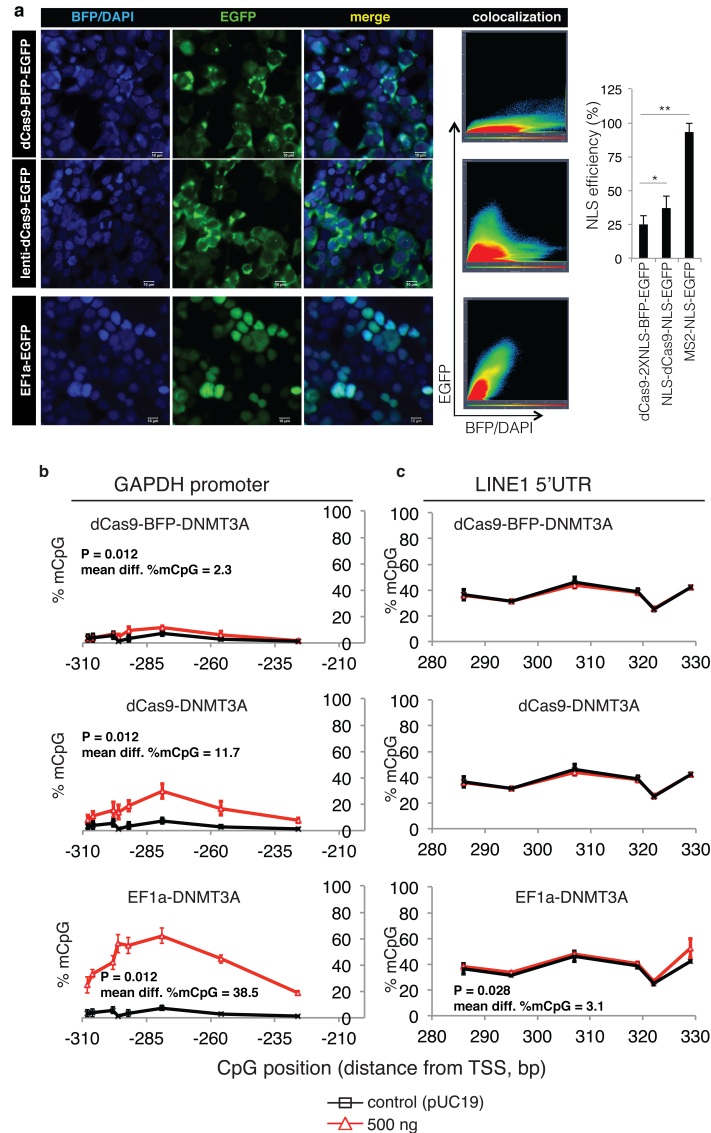
(a) Schematic illustration of CRISPRme 2.0 expression vectors encoding dCas9 flanked by nuclear localization signals (NLS) and fused to (i) a DNMT3A or DNMT3B catalytic domain, (ii) a DNMT3A (E752A) or DNMT3B (E697A) catalytically inactivated domain, or (iii) EGFP, and a blasticidin resistance gene. Expression of the fusion proteins was constitutively driven by the elongation factor-1 alpha (EF1a) promoter.

(b) Schematic illustration of the experiment. Transfected cells were enriched by blasticidin (10 ug/mL) and puromycin (10 ug/mL) antibiotic selection.

(c) *TGFBFR3* promoter with gRNA target sites and CpGs used for subsequent analysis by pyrosequencing.

(d) Bar charts depicting % mCpG for individual and all *TGFBFR3* CpG sites in cells expressing dCas9-DNMT3A, dCas9-DNMT3B, dCas9-DNMT3A(E752A), or dCas9-DNMT3B(E697A) with or without *TGFBFR3* gRNAs. Cells transfected with pUC19 or a dCas9-EGFP fusion were used as negative controls. % mCpG and relative gene expression values are presented as mean \pm SD (n = 3 independent transfections). Asterisks represent P value < 0.05 (*) and < 0.001 (***) (ANOVA). Percentage decrease in gene expression levels compared to pUC19 are presented on top of bars in (d).

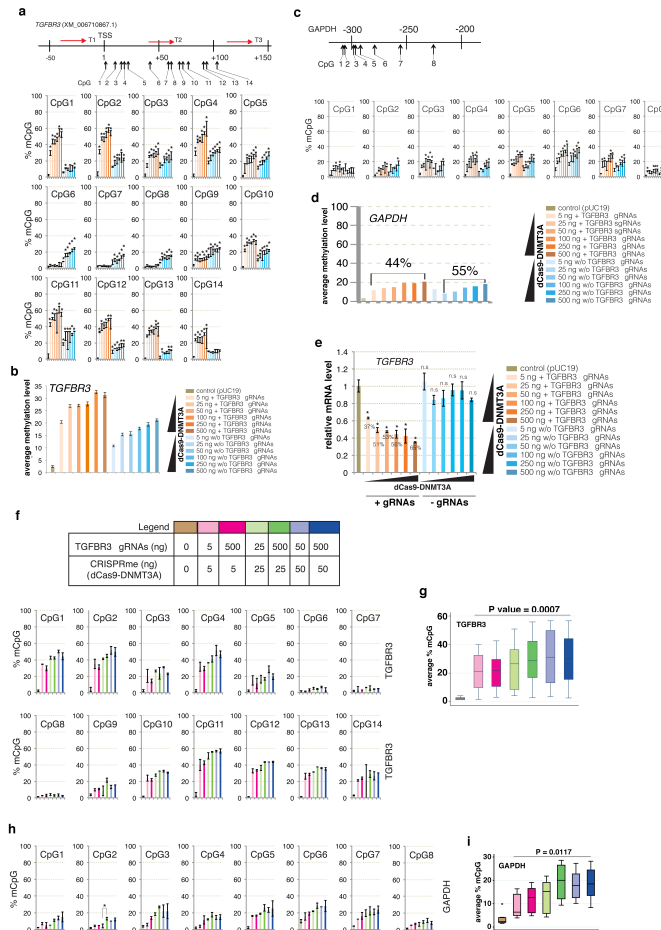
Fig. SF2 Comparison of dCas9 methyltransferase expression, nuclear entry efficiency, and the effects on specificity



(a) Laser scanning fluorescent microscopy of HEK293T cells expressing three EGFP fusion proteins. NLS, nuclear localization signal; BFP, blue fluorescent protein; MS2, the MS2 bacteriophage coat protein. Co-localization of EGFP and BFP/DAPI is observed. Nuclear localization was estimated using Image J. *, p-value < 0.05; **, p-value < 0.01 by ANOVA.

(b-c) Percentage methylation of *GAPDH* (b) and *LINE1* 5'UTR (c). Each data point is presented as mean \pm SD (n = 3, independent transfections). % mCpG levels were analyzed in cells 48 hours after transfection with dCas9-BFP-DNMT3A, lenti-dCas9-DNMT3A, or EF1a-DNMT3A plasmids (500 ng). Cells transfected with an identical amount of the pUC19 plasmid were used as control and re-plotted for the different treatments as reference. Average difference in % mCpG levels between experimental groups and controls are shown together with Wilcoxon matched-pairs signed-rank test P values.

Fig. SF3. Effects of titrating dCas9-DNMT3A, dCas9-DNMT3B and gRNA plasmids on on-target and off-target methylation



(a-b) Bar charts illustrating % mCpG levels for the 14 individual *TGFBR3* CpG sites (a) and the average methylation level for all 14 CpG sites. (b) in HEK293T cells expressing various amounts (5, 25, 50, 100, 250, and 500 ng) of the dCas9-DNMT3A plasmid with or without (w/o) *TGFBR3* gRNAs (500 ng).

(c-d) Bar charts illustrating % mCpG levels for individual CpG sites (c) or average methylation levels (d) in the *GAPDH* promoter in cells expressing different levels (5, 25, 50, 100, 250, and 500 ng) of dCas9-DNMT3A with or without *TGFBR3* gRNAs (500 ng). Cells transfected with pUC19 were used as controls. Asterisk (*) indicates statistical significance ($p < 0.05$, ANOVA) after Bonferroni correction.

(e) Bar charts of relative *TGFBR3* mRNA levels compared to control cells transfected with pUC19. Both CpG methylation and gene expression data represent mean \pm SD ($n = 3$ independent transfections). Asterisk (*) indicates statistical significance (P value < 0.05 , ANOVA) after Bonferroni correction.

(f) (top) Transfection legend. HEK293T cells were co-transfected with various amounts of dCas9-DNMT3A (5, 25, and 50 ng) and the *TGFBR3* gRNAs (5, 25, 50, and 500 ng). (bottom), bar charts of % mCpG for individual CpG sites in the *TGFBR3* promoter (TGFBR3-DMR1).

(g) Box plot of the average of % mCpG for all 14 CpG sites in the *TGFBR3* promoter for each experimental group.

(h) Bar charts illustrating % mCpG for individual CpG sites in the *GAPDH* promoter.

(i) Box plot of the average % mCpG for all 8 CpG sites in the *GAPDH* promoter for each experimental group.

Figures (f, h) are plotted as mean \pm SD ($n = 2$, independent transfections). (g, i) P values are from Wilcoxon matched-pairs signed-rank tests between the 5 ng and 500 ng experimental groups.



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To GigaScience editors

Dear Editor Scott Edmunds:

First of all, we would like to thank you and the reviewers constructive and valuable comments and suggestions for the further improvement of our study. It is very important that we bring the correct and significance finding, technology and knowledge to the broad readers of GigaScience and the scientific community. Secondly, we really appreciate the extended time for us to prepare a better revision.

In the revision, all important changes are highlighted in blue text. Just to highlight a few major revisions here for you:

1. We have conducted two more dCas9 methyltransferase and uPA gRNA ChIP-seq repeats. These NGS data will be deposited to the GigaScience database.
2. We have included both hyper-methylated and hypo-methylated DMRs into the analysis in the revision.
3. We have included a more stringent filtering step for the detection of DMRs.
4. The manuscript has been greatly shorten. We have prepared a separated section for the CRISPR2.0 study, and would like to present it as extended supplementary results.
5. We have carefully revised our conclusion on DNA methylation and gene expression.

Thanks again for giving us the opportunity to submit our revised study for consideration of publication in your journal.

Sincerely,

Yonglun Luo, on behalf of all authors.

29/06/2017, Iceland.

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