Assessing the readability of ClinicalTrials.gov

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ABSTRACT

Objective ClinicalTrials.gov serves critical functions of disseminating trial information to the public and helping the trials recruit participants. This study assessed the readability of trial descriptions at ClinicalTrials.gov using multiple quantitative measures.

Materials and Methods The analysis included all 165,988 trials registered at ClinicalTrials.gov as of April 30, 2014. To obtain benchmarks, the authors also analyzed 2 other medical corpora: (1) all 955 Health Topics articles from MedlinePlus and (2) a random sample of 100,000 clinician notes retrieved from an electronic health records system intended for conveying internal communication among medical professionals. The authors characterized each of the corpora using 4 surface metrics, and then applied 5 different scoring algorithms to assess their readability. The authors hypothesized that clinician notes would be most difficult to read, followed by trial descriptions and MedlinePlus Health Topics articles.

Results Trial descriptions have the longest average sentence length (26.1 words) across all corpora; 65% of their words used are not covered by a basic medical English dictionary. In comparison, average sentence length of MedlinePlus Health Topics articles is 61% shorter, vocabulary size is 95% smaller, and dictionary coverage is 46% higher. All 5 scoring algorithms consistently rated ClinicalTrials.gov trial descriptions the most difficult corpus to read, even harder than clinician notes. On average, it requires 18 years of education to properly understand these trial descriptions according to the results generated by the readability assessment algorithms.

Discussion and Conclusion Trial descriptions at ClinicalTrials.gov are extremely difficult to read. Significant work is warranted to improve their readability in order to achieve ClinicalTrials.gov’s goal of facilitating information dissemination and subject recruitment.

Keywords: readability, comprehension, clinical trial, ClinicalTrials.gov, electronic health records, natural language processing

INTRODUCTION

Background and Significance

Clinical trials are the bedrock of research for a variety of medical interventions including drugs, devices, and therapies intended to improve treatment efficacy and patient outcomes. Today, many clinical trials must be registered in a US National Institutes of Health repository (http://ClinicalTrials.gov) as a means to improve their accessibility to the public and to enhance participant recruitment.1,2 Although ClinicalTrials.gov does not include all trials ever conducted, recent regulatory requirements have led to the exponential growth of the number of studies registered, with a 10-fold increase occurring over the past decade.3

Each study registered at ClinicalTrials.gov is accompanied with a detailed description covering all aspects of the trial protocol including the disease(s) targeted, intervention under evaluation, and requirements for participant recruitment. The registry hence serves not only as a mechanism for ensuring the ethics and integrity of the trials through increased transparency, but also a credible source of information for patients who are interested in participating or in learning about the results of the trials. As described in the ClinicalTrials.gov mission statement, the website was established in part to fulfill the goal of “providing patients, their family members, health care professionals, researchers, and the public with easy access to information on publicly and privately supported clinical studies on a wide range of diseases and conditions.”4 Additionally, the US Congress Food and Drug Modernization Act, which led to the creation of ClinicalTrials.gov, requires that the details about all clinical trials registered must be “in a form that could be readily understood by the public.”5

However, the registry’s potential for facilitating information dissemination and participant recruitment could be limited if the public, with varying literacy levels, are unable to read and properly understand the descriptions of the trials. Poor readability can also be a source of self-selection bias undermining the broad applicability of study findings, as those who are able to better comprehend the trial protocols may be more likely to volunteer for study participation.6 Thus, it is important to investigate the readability of trial descriptions available at ClinicalTrials.gov to ensure that the study information can be effectively conveyed to a wide audience with varying literacy.

Readability is known to affect the comprehensibility and communication effectiveness of text.7 Developing readability measures and validating/applying them in different empirical settings have thus been of great interest to researchers and educators in a wide range of domains.8–11 In this study, we evaluated the readability of ClinicalTrials.gov trial descriptions using 4 general-purpose readability scoring algorithms12–16 in addition to a measure specifically developed to work with medical text.17 The evaluation was conducted by comparing the readability of trial descriptions to the readability of 2 other related but distinct corpora: (1) Health Topics articles from MedlinePlus, a website created and maintained by the US National Library of Medicine to provide the general public high-quality information about diseases, conditions, and wellness;18 and (2) clinician notes retrieved from the electronic health records (EHRs) system used at our institution that were created for conveying internal communication among medical professionals. We hypothesized that clinician notes...
would be most difficult to read, followed by clinical trial descriptions and then MedlinePlus Health Topics articles.

Previous Work
While we are not aware of any prior studies that have specifically looked at the readability of clinical trial descriptions, there has been an empirical work to assess laypersons’ ability to retell the trial descriptions they read, revealing considerable comprehension errors.20,21 In addition, there have been studies investigating the readability of patient handouts and health education pamphlets,21–23 online health content,24–26 and informed consent forms.11,27 These studies consistently found that patient consent forms for both patient care as well as clinical research were often written in very complex language,28–32 with one study suggesting that surgical consent forms were written at the level of scientific journals.23 Even Institutional Review Board consent form templates, which are intended to serve as the model for easy-to-understand text for laypersons, were deemed too complex for their proposed benchmarks (i.e., 5th- and 10th-grade reading level).34

Nevertheless, prior readability studies conducted in healthcare have several notable limitations. First, the sample size employed was often small (no more than a few hundred documents). Second, most of the studies applied readability scoring algorithms developed for general purposes that do not take into account the unique characteristics of healthcare text.35 In this study, we attempted to address these limitations by analyzing a much larger dataset, consisting of all trials registered at ClinicalTrials.gov, all health articles from MedlinePlus, and 100,000 randomly selected clinician notes retrieved from an EHR system, using both general-purpose and medical specific readability assessment measures.

MATERIALS AND METHODS
Corpora and Text Features
We comparatively analyzed 3 corpora in this study. The first corpus contained all 165,988 clinical trial studies available at ClinicalTrials.gov as of April 30, 2014. Each trial provided a detailed description on the website about its study objectives, target patient population(s), and approaches in the following 4 structured sections: Purpose, Eligibility, Contacts and Locations, and More Information. Among them, the Purpose section often begins with a narrative introduction and ends with a “detailed description” subsection orienting readers to the most important facts about the study setting(s) and the overall research design (a sample is provided in Figure 1). Because these narratives serve as the entry point for readers to skim and decide whether the trial is of potential interest and worth exploring further, their readability is crucial. We therefore focused our analysis on these narratives extracted from the Purpose section. For convenience, we refer to this corpus as “Trial Description” in this paper. It contained approximately 1.5 million sentences and 33 million words.

In addition to “Trial Description,” we analyzed 2 other corpora in order to obtain benchmarks to better interpret the results generated by readability scoring algorithms. The second corpus we analyzed consisted of all 955 “Health Topics” articles in English available at MedlinePlus as of April 30, 2014 (a sample is provided in Figure 2). Because these Health Topics articles are carefully curated by the US National Library of Medicine with the goal of disseminating high-quality, easy-to-understand health information to the general public, they should be highly comprehensible by laypersons and thus should receive the best readability evaluation scores. This corpus is referred to as “MedlinePlus” in this paper. It contained a total of 13,630 sentences and 136,032 words. Note that 3 other types of consumer-oriented materials available at MedlinePlus (a Medical Encyclopedia, Drug & Supplements information, and “Video & Cool Tools”) were not included in our MedlinePlus corpus. This is because the Encyclopedia and the Drug & Supplements information are highly structured (i.e., a majority of this content is expressed via bullet points), and the “Video & Cool Tools” are mostly multimedia resources with little text for analysis.

The third corpus analyzed in this study consisted of 100,000 free-text narrative clinician notes (a sample is provided in Figure 3) randomly retrieved from the EHR system in use at the University of Michigan Health System, a tertiary care academic medical center with over 45,000 inpatient admissions and 1.9 million outpatient visits annually.30 The homegrown EHR system, called CareWeb, allows clinicians to create notes via dictation/transcription or via typing.37 These notes are generally unstructured, but clinicians could use simple, customizable text-based templates if desired. The corpus contained multiple document types retrieved from CareWeb generated in both inpatient and outpatient areas including admission notes, progress notes, radiology reports, and narrative assessments and plans. Because these clinician notes were composed by medical professionals and intended to be read by other medical professionals, we hypothesized that they would be most difficult to read across the 3 study corpora. This “EHR” corpus contained over 5 million sentences with about 56 million words.

For patient privacy protection reasons, all documents contained in the EHR corpus were first de-identified before they were used in this study. The identification was performed using the MITRE Identification Scrubber Toolkit,38 and was based on a well-performing, locally developed model that we previously evaluated and reported in the literature.39 Identifiable information including names, ages, and dates was replaced with standardized placeholders such as [NAME], [AGE], and [DATE], with the majority of the clinical text remaining in its original form.

Surface Metrics
We first characterized each corpus using 4 surface metrics: average document length, average sentence length, vocabulary size, and vocabulary coverage. Vocabulary size is defined as the number of distinct words contained in the corpus. Vocabulary coverage is the percentage of distinct words that can be found in known dictionaries.

In the empirical study, we developed a medical English dictionary by combining entries extracted from an open-source English spell-check tool, GNU Aspell,40 with medical terms extracted from an open-source medical spelling checker, OpenMedSpel.41 In addition, we created a comprehensive dictionary of medical terminologies based on the content of the Unified Medical Language System (UMLS) 2013AB Metathesaurus, which includes more than 2.9 million concepts and 11.4 million unique concept names from over 160 source vocabularies.32 We refer to the first dictionary as the Basic Medical English Dictionary (“Med-Dict”) and the second as “UMLS.”

Readability Measures
In this study, we applied 4 general-purpose readability scoring algorithms and one medical specific algorithm to measure the readability of each corpus. The 4 general-purpose measures have been popularly used in a wide range of domains, including healthcare, all of which produce a readability score in the form of the number of years of education required to comprehend the material under evaluation.42 Below, we briefly summarize the mechanism underlying each of these
general-purpose readability measures. More in-depth descriptions of these measures are provided in Supplementary Appendix A:

1. New Dale-Chall (NDC), computed based on the average number of words per sentence and the percentage of “unfamiliar” words not covered in a pre-defined dictionary;12,13

2. Flesch-Kincaid Grade Level (FKGL), computed based on the weighted sum of the average number of words per sentence and the average number of syllables per word, and then adjusted by a baseline score;14

3. Simple Measure of Gobbledygook (SMOG), computed based on the square root of the average number of syllables per word of words that have 3 or more syllables.15

4. Gunning-Fog Index (GFI), computed based on the average number of words per sentence and the complexity-syntax patterns of the words.16

The fifth readability scoring algorithm, called the Medical-Specific Readability Measure (MSRM), was developed by co-authors of this paper (Q.T.Z. and J.P.) specifically for assessing the readability of medical text.17 Besides average sentence length and average word length, MSRM makes use of several additional text features such as average number of sentences per paragraph and parts of speech. Rather than producing an absolute score, MSRM estimates the relative distance of the features of the text being evaluated to those of a set of easy-to-read text samples and a set of difficult-to-read text samples. The
easy-to-read samples consist of content extracted from online health education materials whereas the difficult-to-read samples consist of text extracted from scientific journal articles and medical textbooks. The scores produced by the algorithm range between −1 and 1, wherein 1 indicates the best readability. The mathematical underpinning of MSRM can be found in the original publication\(^\text{17}\) as well as in Supplementary Appendix A.

Analysis Procedures
The readability of each of the study corpora was independently evaluated using the 5 scoring algorithms. We also produced a composite score by averaging the grade level metrics generated by the 4 general-purpose measures. No stop words were removed prior to the analysis as it might change the text features and subsequently affect the readability scoring. Pairwise differences among the readability scores of the 3 corpora were conservatively tested using Analysis of variance (ANOVA) with Tukey’s Honestly Significant Difference. All statistical analyses were performed in R version 3.0.2. The Institutional Review Board at the University of Michigan reviewed and approved the research protocol of this study.

RESULTS
Surface Metrics
The surface metrics of the 3 study corpora are reported in Table 1. Consistent with our hypothesis, MedlinePlus Health Topics articles appear to the easiest to read as they have the shortest average sentence length, smallest vocabulary size, and highest vocabulary coverage (97.1% by Med-Dict alone and 99.4% by Med-Dict and UMLS combined). In comparison, the average sentence length of the Trial Description corpus is more than twofold longer than that of MedlinePlus, and its vocabulary size is more than twenty times larger. Further, only one third of the words used in ClinicalTrials.gov descriptions are covered by Med-Dict. The EHR corpus, not surprisingly, has the largest vocabulary size and the least vocabulary coverage by known dictionaries, and is therefore likely most difficult to read.

Readability Scores
Table 2 reports the readability scores. All 5 measures consistently rated ClinicalTrials.gov trial descriptions as the most difficult corpus, which requires 15.9–21.1 years of education on average to be able to proficiently read and understand. The MedlinePlus Health Topics articles were consistently rated as the corpus that is easiest to read, requiring no more than a high school level of education (8.0–11.3 years). The scores of the EHR corpus always fell in the middle range. The Tukey’s Honestly Significant Difference test results showed that the differences across the mean readability scores of the 3 corpora are all statistically significant regardless of the readability measure used. In Table 3, we provide some sample narratives illustrating text that was rated easy to read vs. text that was rated difficult to read.

DISCUSSION
While there have been studies assessing the readability of healthcare text such as patient education materials and informed consent forms,\(^\text{1,2,21–23,27–33}\) to the best of our knowledge, no research has been conducted to date to evaluate the readability of trial descriptions at ClinicalTrials.gov. As this federal registry plays an important role in informing the general public about clinical trial studies, information published at the website should be prepared in a manner that can be easily read and understand by laypersons. Our evaluation however suggests concerning results. Every readability scoring algorithm we employed in the study rated ClinicalTrials.gov trial descriptions as the most difficult corpus, on average requiring 18 years of education, or a postgraduate level, to proficiently read and comprehend. While these readability algorithms do not provide precise measures of the number of years of education required to comprehend the material, our results strongly suggest that ClinicalTrials.gov trial descriptions have severe readability issues.

This study was not designed to provide concrete guidelines as to how to improve the readability of ClinicalTrials.gov. That said, the results do suggest several areas where potential improvements could be made. For example, the very long average sentence length of the Trial Description corpus adversely affected its readability scores. Breaking down long sentences into shorter ones can thus be a quick way to improve the readability of many trial descriptions. Further, about two thirds of the words used in ClinicalTrials.gov trial descriptions are not found in the basic medical English dictionary. Those submitting these descriptions could find the process of changing all these terms to be a significant burden, or they may view trial descriptions without complex
medical nomenclatures to be less scientifically rigorous. Thus, an alternative strategy might be to provide a consumer-oriented companion version of the description that uses plain layperson English; e.g., “chickenpox virus” instead of “varicella zoster virus,” and “removal of kidney stone” instead of “nephrolithotomy.”

In this study, we applied multiple measures to ensure the reliability of readability scoring. The fact that MedlinePlus Health Topics articles were consistently rated as the easiest-to-read corpus to a certain degree validates the readability measures we applied. The finding that clinician notes are generally easier to read than ClinicalTrials.gov trial descriptions is however surprising. This might be due to the fact that the available readability scoring algorithms are not best suited to evaluate the readability of clinician notes due to their unique characteristics. Prior research does show that machine-rated readability of clinical notes often generates convoluted results: when evaluated at the lexical level, the readability of clinical notes tends to be comparable to that of easy-to-read documents (e.g., consumer-oriented education materials); while when evaluated at the syntactic and semantic level, it tends to be comparable to that of difficult-to-read documents (e.g., publications in scientific journals). Another possible explanation is that clinician notes “speed-written” in time-sensitive patient care environments are often succinct, and complex medical words are often abbreviated or “acronymized,” resulting in shorter sentences and shorter words with fewer syllables that may work in their favor when rated by readability scoring algorithms. With

### Table 1: Surface metrics

<table>
<thead>
<tr>
<th>Surface metrics</th>
<th>Trial Description</th>
<th>MedlinePlus</th>
<th>EHR</th>
</tr>
</thead>
<tbody>
<tr>
<td>Average sentence length (number of words)</td>
<td>26.1</td>
<td>10.2</td>
<td>12.3</td>
</tr>
<tr>
<td>Vocabulary size</td>
<td>147,978</td>
<td>69,939</td>
<td>307,750</td>
</tr>
<tr>
<td>Vocabulary covered by Med-Dict (%)</td>
<td>34.8</td>
<td>97.1</td>
<td>15.3</td>
</tr>
<tr>
<td>Vocabulary covered by UMLS (%)</td>
<td>38.0</td>
<td>66.9</td>
<td>17.6</td>
</tr>
<tr>
<td>Vocabulary covered by UMLS + Med-Dict (%)</td>
<td>53.7</td>
<td>99.4</td>
<td>24.6</td>
</tr>
</tbody>
</table>

Likely associated with the best readability; Likely associated with the poorest readability.

### Table 2: Readability scores

<table>
<thead>
<tr>
<th>Scoring algorithm</th>
<th>Trial Description</th>
<th>MedlinePlus</th>
<th>EHR</th>
</tr>
</thead>
<tbody>
<tr>
<td>NDC</td>
<td>15.8 ± 0.8</td>
<td>11.3 ± 2.3</td>
<td>15.1 ± 1.7</td>
</tr>
<tr>
<td>FKGL</td>
<td>17.2 ± 4.2</td>
<td>8.0 ± 1.4</td>
<td>9.1 ± 1.9</td>
</tr>
<tr>
<td>SMOG</td>
<td>17.9 ± 3.1</td>
<td>10.7 ± 1.3</td>
<td>11.9 ± 1.5</td>
</tr>
<tr>
<td>GFI</td>
<td>21.1 ± 4.6</td>
<td>10.9 ± 1.9</td>
<td>12.9 ± 2.2</td>
</tr>
<tr>
<td>Average of NDC, FKGL, SMOG, and GFI</td>
<td>18.0 ± 3.0</td>
<td>10.2 ± 1.5</td>
<td>12.2 ± 1.5</td>
</tr>
<tr>
<td>MSRM</td>
<td>−0.44 ± 0.28</td>
<td>−0.10 ± 0.23</td>
<td>−0.36 ± 0.18</td>
</tr>
</tbody>
</table>

Best readability; Worst readability. Abbreviations: NDC, New Dale-Chall; FKGL, Flesch-Kincaid Grade Level; SMOG, Simple Measure of Gobbledygook; GFI, Gunning-Fog Index; MSRM, Medical-Specific Readability Measure.

### Table 3: Sample text from each of the study corpora

<table>
<thead>
<tr>
<th>Corpus</th>
<th>Readability</th>
<th>Average Number of Years of Education Required for Proficient Reading</th>
<th>Sample text</th>
</tr>
</thead>
<tbody>
<tr>
<td>Trial Description</td>
<td>Easy</td>
<td>7.2</td>
<td>“This study plans to learn more about the immune system’s response to breast cancer in young women.”</td>
</tr>
<tr>
<td>Hard</td>
<td>58.9</td>
<td></td>
<td>“The primary objectives of this study are: To evaluate the safety and tolerability of TH-302 monotherapy and in combination with bortezomib in subjects with relapsed/refractory multiple myeloma. To identify the dose-limiting toxicities and determine the maximum tolerated dose of TH-302 monotherapy and in combination with bortezomib in subjects with relapsed/refractory multiple myeloma. To identify a recommended Phase 2 dose for TH-302 and dexamethasone with or without bortezomib in subjects with relapsed/refractory multiple myeloma.”</td>
</tr>
</tbody>
</table>

MedlinePlus  

| Easy | 5.2   | “Did you know that the average person has 5 million hairs? Hair grows all over your body except on your lips, palms and the soles of your feet. It takes about a month for healthy hair to grow half an inch. Most hairs grow for up to 6 years and then fall out. New hairs grow in their place.” |
| Hard | 17.2  | “Dupuytren’s contracture: a hereditary thickening of the tough tissue that lies just below the skin of your palm, which causes the fingers to stiffen and bend.” |

EHR

| Easy | 4.6   | “Will continue to follow and assess identified deficits and goals.” |
| Hard | 28.6  | “Right hydroureter confirmed by retrograde pyelogram prior to stent placement.” “CHF with ischemic cardiac myopathy and ejection and an ejection fraction of 35%. PVOD with bilateral carotid stenosis.” |

*Average scores pooling the results generated by the 4 conventional measures.*
The US healthcare system now becoming increasingly “wired,” this situation might improve, or it might deteriorate. On the one hand, modern and “meaningful use” certified EHRs discourage clinicians from writing or dictating unstructured, free-text notes in favor of generating such notes from structured templates or with text generated by computer algorithms. This change has the potential to improve readability as it may reduce the amount of abbreviations, acronyms, and non-standard medical language used in clinician notes. However, on the other hand, EHR-generated clinician notes populated from templates and structured data may appear absurd to human readers, and may lack sufficient context explaining the medical conditions described. Therefore, laypersons, perhaps also clinicians themselves, may find computer-generated notes more challenging to read and understand. To the best of our knowledge, the MSRM method used in this paper developed by Kim et al. was the first and only attempt to develop custom readability scoring algorithms for clinician notes. Future work to develop better algorithms for assessing the readability of medical text, and for understanding and improving the readability of computer-generated clinician notes populated from templates and structured data in modern EHRs, is therefore critically needed.

This study has several limitations. First, even though we included a readability scoring algorithm tailored to evaluating medical documents, the general-purpose readability measures used in the study were not specifically designed to work with healthcare text. Therefore they may not be able to generate highly accurate results. Second, clinician notes that we analyzed were retrieved from a single EHR system. The idiosyncrasies of the system, and of the local culture of clinical documentation, may also introduce biases into the study findings. Lastly, we only used computational methods to quantify the readability of the 3 study corpora, without engaging human readers who should ideally be drawn from a representative patient panel. Thus, the results of this study are only suggestive, not conclusive.

CONCLUSIONS
In this study, we used 5 different scoring algorithms to evaluate the readability of clinical trial descriptions available at ClinicalTrials.gov. The evaluation was conducted in comparison with MedlinePlus Health Topics articles and clinician notes retrieved from an EHR system. The results show that ClinicalTrials.gov trial descriptions are the most difficult corpus, on average requiring 18 years of education in order to proficiently read and comprehend. Because ClinicalTrials.gov serves critical functions of disseminating trial information to the general public and helping the trials recruit patient participants, there is a critical need to develop guidelines and strategies to improve the readability of ClinicalTrials.gov trial descriptions so they can be understood by laypersons.

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COMPETING INTERESTS
The authors have no conflicts of interest to report.

CONTRIBUTORS
D.A.H., Q.M., K.C.T., and K.Z. designed the study. D.T.Y.W. collected the data, performed data analyses, and wrote the first draft of the manuscript, with the assistance of V.G.V., P.M.C., and L.C.A. J.P. and Q.T.Z. advised on computing the Medical-Specific Readability Measure. All authors reviewed and approved the manuscript.

SUPPLEMENTARY MATERIAL
Supplementary material is available online at http://jamia.oxfordjournals.org/.

REFERENCES


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