Family Caregiver Involvement for Long-Term Care Residents at the End of Life

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Objectives. To examine family caregiver involvement for long-term care (LTC) residents during the last month of life. We examined direct (personal care and meals) and indirect (management and monitoring) types of caregiver involvement and the relationship between the type of involvement and predisposing, enabling, and need characteristics. We also examined whether the frequency of involvement changed during the end-of-life (EOL) period.

Method. We used an expanded version of Andersen’s Behavioral Model to conceptualize predictors of family involvement for 438 residents in 125 residential care/assisted living and nursing home settings. Bivariate and multivariate analyses examined relationships among variables.

Results. More than one-half of family caregivers monitored, managed care and assisted with meals, and 40% assisted with personal care tasks. The enabling characteristic of days visited and the need characteristic of caregiver role strain were related to each of the 4 types of involvement. However, the other correlates were distinct to the type of involvement.

Discussion. Families are involved in EOL care in LTC settings. Higher role strain is related to more involvement in each of the 4 types of involvement, suggesting that whether involvement is by desire, perceived need, or both, there is cause to more critically examine the family caregiver’s desired role and need for support.

Key Words: Long-term care facilities—Family caregiver involvement—End of life.

Background

More than two million older adults in the United States live in nursing homes (NHs) and residential care/assisted living (RC/AL) settings (National Center for Assisted Living, 2011; National Center for Health Statistics, 2012). Although some may return to their previous homes, most will remain and die either in the long-term care (LTC) setting or in a hospital soon after transfer from the LTC residence (Froggatt et al., 2006; Hanson, 2003; Zerzan, Stearns, & Hanson, 2000; Zimmerman et al., 2005). During the time older adults are living and dying in these settings, their care is provided by the LTC staff and often by family members who provided care before the LTC placement.

In fact, family involvement in LTC settings is the norm rather than the exception. For example, family caregivers, typically unpaid relatives or friends who provide, arrange, or oversee care, average between 4.0 and 9 hr/week visiting and/or performing tasks (Gaugler, Anderson, Zarit, & Pearlin, 2004; Port et al., 2005). While there, caregiving often involves hands-on care in addition to new roles such as monitoring care, advocating for the resident, and maintaining the resident’s continuity and connectivity with other family members and friends (Bern-Klug & Thompson, 2008; Davies & Nolan, 2006; Dempsey & Pruchno, 1993; Gaugler, 2005; Keefe & Fancey, 2000; Port, 2004).

Consequently, family involvement in LTC is a multidimensional construct that includes visiting, advocacy, and monitoring, as well as providing personal hands-on and emotional care (Gaugler, 2005; Whitlatch, Schur, Noelker, Eraz, & Looman, 2001). It has been conceptualized as direct and indirect and also as instrumental and socioemotional support (Keefe & Fancey, 2000; Penrod, Kane, & Ross, 2000; Carswell & Dalziel, 2001). Direct or instrumental involvement tasks include providing physical support as well as personal comforts, whereas indirect tasks include managing and overseeing care, being “on-call,” and developing a relationship with LTC staff.

Despite its multidimensional components, family involvement in LTC is often conceptualized and examined simply as the number and/or frequency of visits (Gaugler, 2005). Further, although we know that community-based family caregivers report more involvement, as well as increased strain and burden, during the end-of-life (EOL) period of their family members (Penrod, Hupcey, Baney, & Loeb, 2011; Redinbaugh, Baum, Tarbell, & Arnold, 2003; Schulz et al., 2003; Wolff, Dy, Frick, & Kasper, 2007), remarkably little is known about EOL involvement of family caregivers of dying LTC residents (Forbes-Thompson & Gessert, 2005; Oliver, Porock, & Zweig, 2005b; Whitaker, 2009; Zarit, 2004).
Thus, not only has little research addressed the multidimensional nature of family involvement, but little is known about family involvement in LTC at the EOL. Also unknown is whether the involvement of family caregivers changes at the EOL. This lack of knowledge makes it difficult to understand the family caregiver’s role, how it can be supported, and perhaps how it can be better integrated with the services provided by the LTC staff. Surely, the EOL is an important time in the life of a family caregiver, and understanding its context in the LTC setting has become increasingly important as the numbers of individuals living and dying in these settings has and will continue to increase (Teno, 2003; Zerzan et al., 2000).

We use the Behavioral Model of Health Services Use (Andersen, 1995; Andersen & Newman, 1973) to conceptualize family caregiver involvement at the EOL in LTC settings. The model outlines components that are predictive of health care utilization and has been successfully used to predict provision of family help in the community and also in LTC settings (Gaugler & Kane, 2001; Kwak, Haley, & Chiriboga, 2008; Lyons & Zarit, 1999; Montoro-Rodriguez, Kosloski, & Montgomery, 2003; Noelker & Bass, 1989). Gaugler and Kane (2001), in a study of informal help in assisted living, expanded the model by adding components of the LTC residence that may enable informal help. We too add a residence-level component to the model (see Figure 1), thereby allowing this study to examine characteristics related to the family caregiver, resident, and residence.

The model posits predisposing, enabling, and need characteristics. Predisposing characteristics are related to an individual’s propensity to use services; enabling characteristics facilitate or hinder informal or formal service use; and need characteristics are conditions, typically health conditions, that necessitate the need for services. Although we are unable to example causality in this article, the model does suggest an explanatory process whereby predisposing variables influence enabling variables, and enabling variables influence need, including perceived need (Andersen, 1995). For example, using the selected variables in this study, a predisposing characteristic such as employment may influence the enabling characteristic of number of days visited, which in turn may influence the need characteristic of caregiver role strain.

In this study, we examined characteristics found to be related to family involvement in general and more specifically to family involvement in LTC settings. Therefore, we place demographics (i.e., race, employment, and education) and relationship to the decedent in the predisposing domain (Friedemann, Montgomery, Rice, & Farrell, 1999; Yamamoto-Mitani, Aneshensel, & Levy-Storms, 2002). Although, white family caregivers and spousal caregivers have been documented to visit more and also to provide more help with instrumental activities of daily living, those with higher levels of education and competing demands such as employment are found to visit less (Gaugler, Leitsch, Zarit, & Pearlin, 2000; Gladstone, Dupuis, & Wexler, 2006; Port et al., 2001; Ross, Rosenthal, & Dawson, 1997; Seddon, Jones, & Boyle, 2002).

Based on previous studies, we conceptualize the resident’s length of stay, along with the caregiver’s travel time to residence and days visited as enabling characteristics (Dempsey & Pruchno, 1993; Gaugler, Anderson, & Leach, 2003). The number of other helpers available to provide care to the resident as well as the caregiver’s number of...
Dependent variables may also enable or hinder caregiver involvement (Gladstone et al., 2006). The enabling characteristics of shorter travel time and days visited are typically associated with increased family involvement (Gaugler & Kane, 2001; Port et al., 2001), whereas additional helpers and other dependents may decrease the family caregiver’s involvement (Dempsey & Pruchno, 1993). Although, some studies indicate that family caregivers tend to visit less as the resident’s length of stay increases (Gaugler, 2005; Pruchno & Rose, 2002), less is known about the resident’s length of stay in relationship to caregiver involvement.

We include characteristics of the setting (i.e., type and proprietary status), use of hospice, and perception of staff support as residence-enabling characteristics (Friedemann, Montgomery, Mairberger, & Smith, 1997; Gaugler & Kane, 2001; Port et al., 2005). We expect that perceptions of more staff support will be related to less family involvement and that involvement will be higher in RC/AL and for-profit settings due to fewer staff in RC/AL and evidence of poorer outcomes in for-profit sites (Amirkhanyan, Kim, & Lambright 2008; O’Neill, Harrington & Kitchener, 2003). Further, a core value of hospice is the inclusion of family as part of the unit of care (Karihari-Martin, McCann, Herbert, Haffer, & Phillips, 2012; Oliver, Porock, Demiris, & Courtney, 2005a). Therefore, the use of hospice and/or knowing that death is expected (included subsequently in the need domain), both pivotal turning points in the caregiving experience, may be associated with higher involvement.

Finally, prior evidence suggests that poorer health of the care recipient will be associated with more caregiver involvement and that poorer caregiver health (physical and emotional) will be associated with less caregiver involvement (McCann, Hebert, Bienias, Morris, & Evans, 2004; Pavalko & Woodbury, 2000). We have also documented that perceptions of poorer quality of care and an awareness that the resident is dying are associated with more involvement from family caregivers (Dobbs & Montgomery, 2005; Gaugler, 2005; Tornatore & Grant, 2004; Vohra Brazil, Hanna, & Abelson, 2004).

Using this model, we ask three research questions:

1. What types of involvement (i.e., personal care, meals, management, and monitoring) do family caregivers provide during the last month of life of LTC residents?
2. What predisposing, enabling, and need characteristics are associated with each type of involvement?
3. Does involvement change in the last month of life, and if so, is such a change associated with recognition that the EOL is near?

Method

Sample

Data were collected from NHs and RC/AL settings across four states (Florida, Maryland, New Jersey, and North Carolina) that participated in the Collaborative Studies of Long-Term Care End of Life in Residential Care/Assisted Living and Nursing Homes project. The settings constituted a stratified random sample from states that exhibit variability in RC/AL regulations, as described elsewhere (reference removed for anonymous review). A facility liaison (the administrator, care supervisor, or a designee) was contacted once a month to identify deaths of residents within the last month. A death was considered eligible if the resident had lived in the facility for ≥15 days of the last month of life and died in the facility or within 3 days of leaving the facility by transfer or discharge. Residents who did not meet these criteria were ineligible because it was determined there was insufficient time to fully reflect having received EOL care in that setting.

For each eligible death, the facility liaison identified the family member who was most involved in decisions and who visited and/or spoke with the resident or staff at least once during the last month of life. We sent the identified family member a letter expressing condolences and informing him/her about the study approximately 8 weeks following the resident’s death; within 2 weeks of sending the letter, the family member was called and asked to participate in a telephone interview. All procedures were approved by the University of North Carolina’s Institutional Review Board.

Between July 2002 and January 2005, interviews were completed with one family caregiver for 451 decedents from 30 NHs and 94 RC/AL settings (44% of eligible decedents; 68% of those for whom a family member was identified by the setting and could be contacted within a 6-month window). Three-quarters (74%) of interviews were completed within 4 months post death and almost all (97%) were completed within 6 months post death. The caregiver sample for this study includes the 438 family members who provided complete information on task provision in the last month of life.

Dependent Variables

We examined involvement in four caregiving tasks; two direct tasks and two indirect tasks. Caregivers were asked: in the last month of the resident’s life, how often did you help in activities such as: (a) bathing, toileting, dressing, or grooming; (b) mealtime, such as feeding; (c) management activities, such as managing finances or legal matters, straightening up the room or checking and cleaning clothes; and (d) monitoring and overseeing the care given by staff such as asking questions about medical care, diet, or medication. Caregivers were then asked to think more broadly about the last year of life and to indicate how often they were involved in each of the four types of tasks the year before the resident died.

Based on the distribution of the data, we defined the two direct involvement tasks (personal care and meals) as helping more than once in the past month. We defined the two indirect tasks (monitoring and management) as helping at least twice a week.
Independent Variables

Predisposing variables were the caregiver’s race, relationship to decedent, employment status, and education. Enabling variables included length of stay in the LTC setting, travel time to the setting, number of other informal helpers available in the last month of the decedent’s life, the number of dependents of the caregivers, and the number of days caregivers visited in the last month of life.

Residence-enabling variables included the type of setting (RC/AL or NH), proprietary status, hospice services (yes/no), and social support from staff based on a nursing assistant support for families scale (Whitlatch et al., 2001). The scale consists of 11 items with scores ranging from 0 (never/always never or no instance) to 3 (always/always always). Scores were summed with higher scores indicating more perceived staff support (Cronbach alpha = .89).

Need variables consisted of decedent and family caregiver variables. Decedent need variables were cognitive status (impaired vs. intact), course of illness in the last year of life (stable health; steady, slow decline in health; or a series of ups and downs in health), death expected (yes/no), and symptom burden. Symptom burden was based on the caregiver’s perception of the decedent’s severity and frequency of symptom occurrence in four areas during the last month of life: pain, shortness of breath, skin cleanliness, and nutrition. Scores for each area ranged from 0 to 9 and were summed to provide a total score ranging from 0 to 36, with higher scores indicating more symptom burden (Hanson et al., 2008).

Family caregiver need variables consisted of caregiver role strain, physical health, and a perception of overall perceived quality of the LTC setting. Role strain was assessed using seven items related to the stress and strain a caregiver felt as a result of having a relative in a LTC setting (Whitlatch et al., 2001). Scores ranged from 7 to 28, with higher scores indicating more emotional strain (Cronbach alpha = .89). The general health subscale of the Medical Outcomes Study was used to assess physical health (McHorney, Ware, Lu, & Sherbourne, 1994). Scores ranged from 0 to 100, with higher scores reflecting better health (Cronbach alpha = .82; Ware & Sherbourne, 1992). Caregivers also rated the overall care the resident received in the last month of life (poor, fair, good, and very good).

Analysis

We used descriptive statistics and correlations among measures of involvement in different types of tasks to report the type and frequency of tasks family caregivers provided in the last month of life. The statistical significance of associations among dichotomous measures of involvement in different tasks was evaluated using Generalized Estimating Equations (GEE) applied to logistic regression; GEE is employed, specifying an exchangeable working correlation, to account for lack of independence (i.e., clustering) among responses within settings (Diggle, Heagerty, Liang, & Zeger, 2002). Similarly, GEE logistic regression models were used to examine correlates of involvement in each type of task. Finally, to examine change in involvement from 1 year to the month prior to death, we used McNemar’s test (paired analyses).

Results

Most family caregivers were white (91%) and women (72%) with 48% being adult daughters or daughters-in-law (see Table 1). Their average age was 60. Sixty-four percent of the caregivers were married, 58% were employed, and 79% had some college experience or a college degree. Residents had an average length of stay of about 2 years (X = 2.3; SD = 2.7) and most (79%) were cognitively impaired. The course of illness for most (67%) was a steady, slow decline; a series of ups and downs for 23%; and stable health until death for the other 10%. Caregivers reported awareness that death was expected for 53% of the residents.

The majority of the caregivers (68%) lived within 30 min and visited an average of 15.4 (SD = 10.4) days in the last month of life. Ten percent of caregivers reported fair or poor quality of care, 27% reported good quality of care, whereas the other 63% reported very good quality of care.

In terms of types of tasks conducted in the last month of life, 69% of caregivers were involved in monitoring care; 61% in meals; 56% in management, and 40% were involved in the provision of personal care. In Table 2. The mean number of task in which they were involved was 2.3 (SD = 1.3). Caregivers reported monitoring care, 17 times on average in the last month of life; managing care, 13.2 times; helping with meals, 10.8 times; and providing personal care, 9.7 times.

Personal Care

Multivariate analyses (Table 3) showed that the enabling characteristics of days visited as well as the facility-enabling characteristic of facility type were associated with provision of personal care. Family caregivers who visited more days (OR [odds ratio] = 1.05; p = .001) provided more personal care. Further, residents in both smaller RC/AL settings (OR = .37; p = .01) and new model settings (OR = .33) provided less personal care than those in NHs (p = .01). In addition, the need characteristic of higher caregiver role strain (OR = 1.06; p = .04) was associated with more provision of personal care. No predisposing variables were associated with personal care.

Meals

Similar to personal care, enabling and facility-enabling characteristics were associated with provision of meals. In the case of enabling characteristics, caregivers who visited more days (OR = 1.08; p < .001) and those with more helpers (OR = 1.42; p < .03) provided more help with meals. For
facility-enabling characteristics, residents in for-profit facilities (OR = .51; p = .02) had less family involvement with meals than residents in not-for-profit settings. Although no predisposing characteristic was associated, the need characteristic of caregiver role strain (OR = 1.06; p = .05) was again significant, with those who reported higher levels of role strain providing more help with meals.

Management
Enabling characteristics were once again associated with involvement. For provision of management tasks, shorter distance from facility (OR = 3.25; p = .007) and days visited (OR = 1.04; p = .01) were associated with more management tasks. Similarly to the involvement with personal care and meals, higher role strain (OR = 1.07; p = .01) was associated with provision of more management. The need characteristic of course of illness, in this case stable health, was associated with providing less management (OR = .37; p = .05). Also similar to provision of personal care and meals, no predisposing variable was associated with the provision of management.

Monitoring
Results showed that the predisposing characteristics of family caregiver education and relationship to decedent were associated with monitoring. Spouses (OR = .27) and other relatives (OR = .46) provided less monitoring than adult daughters or daughters-in-law (p = .04). However, a college education (OR = 1.83; p = .04) was associated with more monitoring. The enabling characteristic of more days visited (OR = 1.12; p < .001) was also associated with more monitoring, whereas caregivers with more dependents (OR = .75; p = .03) provided less monitoring. In term of need characteristics, the course of illness, in this case stable course of health (OR = .30; p = .05), was related to less monitoring, whereas higher role strain (OR = 1.07; p = .02) was again related to provision of more involvement.

Comparing involvement during the last year to the last month of life, there was a statistically significant change in the degree of involvement when an EOL period was recognized (data not shown). For example, when death was expected, the percent of family caregivers who reported involvement in care monitoring (at least two times per week) increased from 45% in the last year of life to 63% in the last month of life (p < .001). Similar increases in the percent of caregivers who helped with meals (52%–57%; p = .03) and management activities (47%–52%; p = .003) were noted when death was expected. However, there was no significant change in providing assistance in personal care when the EOL was expected.

Discussion
We asked three research questions related to family involvement at the EOL in LTC settings. Answering the first
question (types of involvement during the last month of life), we found that the family caregivers were involved in both direct and indirect tasks. More than one-half of family caregivers were involved with indirect tasks of monitoring and managing care and the direct task of assisting with meals. Further, although it has been suggested that family members often leave more direct tasks such hands-on personal care to staff, approximately 40% of the family caregivers in this study provided hands-on care such as bathing, toileting, dressing, or grooming.

Findings related to the third question (does involvement change in the last month of life, and if so, is such a change associated with recognition that the EOL is near) indicated that family caregivers tend to be more involved in all examined types of involvement, except personal care when an EOL period was recognized. Given that high levels of symptom burden are still evident at the EOL for many residents and that family members often want to be involved in EOL decision making and “accompany” their loved one on his/her EOL journey, this is an encouraging finding. It also adds to the growing and needed knowledge base related to EOL involvement of family caregivers of dying LTC residents (Forbes-Thompson & Gessert, 2005; Oliver et al., 2005b; Whitaker, 2009; Zarit, 2004).

Together, these findings compel one to appreciate and highlight the benefits of family involvement at the EOL for residents of LTC settings, and question whether their care and outcomes would be worse if not for this involvement. It also calls into question the strain that caregivers experience. We know, for example, that caregivers who reported higher emotional strain have increased mortality and stroke risk (Haley, Roth, Howard, & Stafford, 2010; Schulz & Beach, 1999).

For question two (what predisposing, enabling, and need characteristics are associated with each type of involvement), we found that the enabling characteristic of days visited and the need characteristic of caregiver role strain were related to all four types of involvement. When significant, all other correlates were distinct to the type of involvement. Therefore, not only is involvement multidimensional, the correlates are also distinct.

Similar to findings in other studies, caregivers who visited more often were more involved (Dempsey & Pruchno, 1993; Gaugler et al., 2000; Penrod et al., 2000), again attesting to their role as being more than visitors. However, the finding that caregivers who reported higher role strain were more involved is both intriguing and of potential concern. Of course, given the cross-sectional nature of this study, we cannot determine whether higher strain led to more involvement or whether more involvement led to higher strain. However, regardless of the direction of effect, whether involvement is by desire or perceived need, there is cause to more critically examine the family caregiver’s desired role and need for support. Further, as suggested by Ross and colleagues (2001), LTC staff should support the desired roles that family members are willing and able to provide.

Surprisingly, predisposing characteristics were related only to monitoring. Those with a college degree provided more monitoring than those without, perhaps because they were more aware of the need for monitoring. Also, spouses and other caregivers provided less monitoring than adult daughters. Again, it may well be that daughters are more sensitized to the need to monitor care. However, it is notable that daughters were not more involved in other care tasks, especially personal care. Also notable is that this finding differs from other studies documenting that spouses are typically more involved in care than other family caregivers (Ross et al., 1997; Seddon et al., 2002).

We too found that the addition of the facility-enabling category was an informative and meaningful addition to Andersen’s model. We found that caregivers in smaller RC/AL and new model settings provided less personal care (bathing, toileting, dressing, or grooming) than families of NH residents. The facility-enabling variable of for-profit facilities was related to less provision of help with meals. Interestingly, the facility-enabling correlates were related to less provision of the direct tasks of personal care and meals and not to the indirect tasks of monitoring and management, perhaps attesting to the need for more direct care from staff for the often sicker population in larger and more traditional care facility such as NHs.
Conversely, related to indirect tasks of management and monitoring was the need characteristic of a steady course of health during the last month of life. Lower levels of management and monitoring were reported when the resident had a steady course of health during the last month of life compared with those who exhibited a steady, slow decline, suggesting that families and staff both recognize and respond to residents who are in a declining state. The implications of this finding relate to its converse, that more stable. Also noteworthy, is the absence of other need characteristics, such as resident’s cognitive status and caregiver’s physical health that are often found to be associated with level of involvement (Gaugler et al., 2003). Further, the need domain in the Andersen’s model is often the most relevant domain.

Even though studies document that family involvement positively affects the care of residents and the emotional health of residents and family caregivers (Gaugler & Teaster, 2006; Gaugler et al., 2003, Jablonski, Reed, & Maas, 2005; Jablonski, Reed, & Maas, 2005).
and also to the capacity of the LTC workforce. 

attends to the well-being of family caregivers at the EOL that the best model to follow in moving forward is one that Wetle, Shield, Teno, Miller, & Welch, 2005; Zimmerman, (Caron, Griffith, & Arcand, 2005; Oliver et al., 2005b; EOL, such as more training and more volunteer manpower that the LTC workforce itself also needs support at the 2002; V ohra et al., 2004), it is important to acknowledge (Bauer & Nay, 2003; Davies & Morgan, 1994; Reid, Chappell, & Gish, 2007; Tomatore & Grant, 2004). Whether they are providing more care to residents because of personal desire or based on perceptions of the existing quality of LTC may be important in terms of the caregiver’s emotional and physical health outcomes (Williams et al., 2008). Of course, the cross-sectional nature of these data make it difficult to discern the direction of effect, and information is missing to understand why (or why not) caregivers were involved and the extent to which their role was fulfilling.

The retrospective design that asks recently bereaved caregivers to reflect upon an emotional time and to reflect on two time points (1 month and 1 year before death) may affect recall and is a limitation of the study. Further, there was some bias in the sample, such that families from NHs were less likely to provide data than those from RC/ AL settings. Although, the multidimensional examination of caregiver involvement as well as the intensity of the involvement were strengths of this study, additional findings related to satisfaction with involvement, and reasons for involvement would contribute to a more nuanced understanding of family involvement in LTC and further understanding of whether involvement is by personal desire or based on other perceptions such as quality of care.

On the other hand, this study has made important contributions in illuminating the family’s role in EOL care in LTC, and the conditions under which family caregiver involvement is more likely. Knowing that involvement is less when the resident course of illness is stable and that involvement increases when EOL is expected is highly relevant for communication between care providers and families. It implies, as does other work, that communication with physicians and other LTC staff is important not only for family satisfaction but also because it can prepare families for their important role in EOL care in LTC (Biola et al., 2007; Penrod et al., 2011; Stagno, Zhukovsky, & Walsh, 2000; Teno, 2003).

Although we and others recommend and encourage LTC staff to prepare families for impending death and to work with family caregivers in the ways they want to be involved (Bauer & Nay, 2003; Davies & Nolan, 2006; Forbes, Bern-Klug, Gessert, 2000; Hanson, Henderson & Menon, 2002; Vohra et al., 2004), it is important to acknowledge that the LTC workforce itself also needs support at the EOL, such as more training and more volunteer manpower (Caron, Griffith, & Arcand, 2005; Oliver et al., 2005b; Wette, Shield, Teno, Miller, & Welch, 2005; Zimmerman, Sloane, Hanson, Mitchell, & Shy, 2003). Thus, it may be that the best model to follow in moving forward is one that attends to the well-being of family caregivers at the EOL and also to the capacity of the LTC workforce.

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AUTHOR CONTRIBUTIONS
Sharon Williams: Responsible for conceptualization of manuscript; selection of measures specific to manuscript and overall responsibility of manuscript.

Christianna Williams: Responsible for conceptualization and conduct of analyses and tables; participation in the discussion section and overall review of manuscript.

Sheryl Zimmerman: Responsible for conceptualization of parent study and overall oversight and conduct of parent study and conceptualization and completion of this manuscript.

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